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## First report of a morulated Ascaridoidea (Nematoda) egg in an avian coprolite from the Paleogene of the Paraíba Valley, State of São Paulo, Brazil --Manuscript Draft--

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1 Short Communication: First report of a morulated Ascaridoidea (Nematoda) egg in an avian coprolite  
2 from the Paleogene of the Paraíba Valley, State of São Paulo, Brazil

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33 **Abstract**

1  
2 34 Ascaridoidea (Nematoda) is a widespread superfamily of nematodes that comprises gastrointestinal  
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4 35 parasites from all major groups of vertebrates. Although this taxon probably has emerged in the  
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6 36 Carboniferous, its Brazilian fossil record includes mostly eggs, found in ancient remains, collected in  
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8 37 paleontological and archeological sites from the Mesozoic and Cenozoic Eras. The Tremembé Formation  
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10 38 (Oligocene of the Taubaté Basin) has become an important source for paleoparasitological studies in  
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12 39 avian coprolites during the third decade of the 21st century, with reports of eggs only at one single cell  
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14 40 stage, of embryonic development. Here we present the first egg of Ascaridoidea preserved containing  
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16 41 morula, from a bird coprolite recovered from the shales of the Tremembé Formation. Three coprolites,  
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18 42 from the outcrop of Aligra Comércio de Argila S/A, Taubaté municipality (State of São Paulo), were  
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20 43 rehydrated and subjected to spontaneous sedimentation. Based on morphological and morphometric  
21  
22 44 features, diet and zoopaleontological context, the trace fossils were assigned to piscivorous birds. The egg  
23  
24 45 found showed morphological characteristics typical of Ascaridoidea namely spherical form, ornamented  
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26 46 and somewhat thick shell. Moreover, this superfamily includes several taxa that infect piscivorous birds  
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28 47 and fish in heteroxenous life cycles, and produce eggs with similar features as the egg found in the  
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30 48 present study. The paleoparasitological information associated with the paleofaunistic diversity of birds  
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32 49 and fish from the Tremembé Formation, reveal that the ancient Brazilian paleoenvironments provided  
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34 50 subsidies for the rise and success of nematodes infecting these animals during the Paleogene.

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Keywords: Oligocene; Helminth; Nematoda; Parasite; Tremembé Formation; Taubaté Basin.

65 **Introduction**

66 Ascaridoidea (*sensu* Hodda, 2022) is a widespread superfamily of nematodes, which comprises  
67 gastrointestinal parasites infecting mammals, including humans, birds, reptiles, amphibians and fish  
68 (Hartwich, 2009). This taxon probably emerged in the Carboniferous parasitizing terrestrial tetrapods and,  
69 subsequently, moving to aquatic environments and infecting other hosts like bony and cartilaginous fishes  
70 (Li *et al.*, 2018).

71 The Brazilian fossil record related to ascaridoids includes mostly eggs found in ancient remains  
72 collected in paleontological and archeological sites and, even though the egg morphology is rather  
73 uniform and unspecific, some species have been proposed based on these immature forms. For example  
74 *Ascarites rufferi*, was described from an egg found in a coprolite of Cynodontia, the taxonomic bridge  
75 between reptiles and mammals, which was recovered in the Santa Maria Formation, Paraná Basin, Brazil  
76 (Silva *et al.*, 2014). *Bauruascaris cretacicus* and *B. adamantinensis* were proposed based on eggs found  
77 in coprolites of Crocodylomorpha from the Adamantina Formation (Bauru Group, Brazil) (Cardia *et al.*,  
78 2018; 2019). In addition, Poinar & Boucot (2006) described the species *A. priscus* and *A. gerus* from eggs  
79 in a single dinosaur coprolite. In addition, ascaridoid parasitic forms have been more frequently reported  
80 in Cenozoic ancient remains dated from the Holocene (Gonçalves *et al.*, 2003; Leles *et al.*, 2008;  
81 Camacho *et al.*, 2013; Jaeger *et al.*, 2013a, 2013b; Sianto *et al.*, 2014; Guedes *et al.*, 2020; Iñiguez *et al.*,  
82 2022).

83 The Tremembé Formation (Oligocene of the Taubaté Basin), aged between 33.9 and 23.03  
84 million years ago, is an intercalation between shale and clay layers with lacustrine origin, and it has  
85 become an important source for paleoparasitological studies in avian coprolites, providing different well-  
86 preserved morphotypes of helminth eggs, protozoan cysts and non-sporulated oocysts (Carmo *et al.*,  
87 2023; Carmo *et al.*, 2024a). Currently, the helminth eggs from this geological unit have been reported  
88 only at one single cell stage of embryonic development (Carmo *et al.*, 2023). Here we report the first egg  
89 of Ascaridoidea preserved containing morula, from a single bird coprolite recovered from the Paraíba  
90 Valley, as well as discuss some insights into the potential of its lower taxon of origin.

91 **Materials and methods**

92 Three coprolites were recovered from sedimentary rocks of the Tremembé Formation, Taubaté  
93 Basin, in 2023 at the outcrop of Aligra Comércio de Argila S/A, Taubaté municipality (State of São  
94 Paulo). Samples were rehydrated in 10 mL of 0.5% trisodium phosphate (Na<sub>3</sub>PO<sub>4</sub>) solution for 72 h  
95 (Callen, 1967; Fugassa *et al.*, 2006), and subjected to spontaneous sedimentation for 24 h (Hoffman *et al.*,  
96 1934). A drop of sediment was placed on a glass slide, together with a drop of glycerine, covered with a  
97 coverslip (Ferreira *et al.*, 2014), and observed using a light microscope Nikon Eclipse Ei with image  
98 capture system PrimeCam Intervision 12. A total of 20 slides were mounted for each sample of coprolite.  
99 Parasitological identification was performed based on morphology and morphometry (Ferreira *et al.*,  
100 2014); since there is no general literature for taxonomic identification of nematode eggs the present  
101 identification was based on the expertise of the authors and comparisons with specific literature (see  
102 results and discussion for details). The avian origin of the coprolite was interpreted based on criteria

103 adopted by Castro *et al.* (1988), Souto (2017) and Carmo *et al.* (2023). Two females of *Contracaecum*  
104 Railliet & Henry, 1912 (Ascaridoidea, Anisakidae) were collected from a single bird of the species  
105 *Nannopterum brasilianum*, and their uteri were dissected in order to obtain eggs that were used for  
106 comparison with the fossilized material. The genus *Contracaecum* was identified based on the following  
107 criteria: three well-developed labia, excretory pore at the base of subventral labium, presence of  
108 ventriculus with posterior appendix and intestinal caecum (Hartwich, 2009; Gibbons, 2010;  
109 Supplementary Figure S1). We also provided an micrography of an eimeriid oocyst (Fig. 1B), found by  
110 our research group in a previously analyzed sample, from the same geological formation, for  
111 comparisons.

## 112 **Results and discussion**

113 Coprolites were ovoid, grayish-yellow in colour, 10.80 to 28 mm long and 6.93 to 16.30 mm  
114 wide, containing fragmented remains of fishes (Fig. 1A). Based on morphological and morphometrical  
115 features, diet remains and zoopaleontological context, the samples were assigned to piscivorous birds  
116 (Avialae, Neornithes). Some bird species may have benefited from periodic fish mortality due to  
117 seasonality (dry and wet seasons), which possibly supported a great success of this feeding habit in the  
118 Paraíba Valley, during the Oligocene (Olson & Alvarenga, 2002).

119 A single slide from a single coprolite was positive for the parasite form, which was represented  
120 as a spherical egg, measuring 34.21 x 32.44  $\mu\text{m}$ , containing morula, translucent, with somewhat thick and  
121 ornamented shell (Fig. 1D). The identification of immature parasitic forms (*i.e.* eggs and larvae),  
122 preserved without adult worms or genetic material, is rather difficult and generalist, since these forms  
123 hold ancestral ontogenetic traces that are common within a higher taxa (*i.e.* family, order), show  
124 homogeneous morphology and lack specific traits (Carmo *et al.*, 2024b). Nevertheless, the present egg  
125 exhibited morphological features typical of the Ascaridoidea nematodes, *i.e.*, the shell with  
126 ornamentations (resembling a mammillated layer) and the pronounced spherical form. These  
127 characteristics are especially common in eggs from representatives of Ascarididae, Anisakidae and  
128 Raphidascarididae, all belonging to Ascaridoidea (Koie & Fagerholm, 1995; Anderson, 2000; Carrera-  
129 Játiva *et al.*, 2014; Carvalho, 2020).

130 The family Anisakidae was of particular interest in the present context, because it includes some  
131 parasites that use piscivorous birds as definitive hosts and fish as intermediate or paratenic hosts, as well  
132 as produce eggs with similar morphometry and morphology as that found in the present study (Køie &  
133 Fagerholm, 1995; Anderson, 2000; Carrera-Játiva *et al.*, 2014; Carvalho, 2020). It should be mentioned  
134 that the present egg was quite similar to those reported by Carrera-Játiva *et al.* (2014), and mainly to the  
135 morulated stages reported by Huizinga (1967), all assigned to the genus *Contracaecum*.

136 The *Contracaecum* eggs (54.36 - 61.27 x 43.82 - 50.04  $\mu\text{m}$ ; Fig. 1C) recovered from the  
137 dissected females were found in an initial stage of development, before morulation, similar to those  
138 reported by Huizinga (1967). Although the stages of development from these eggs were different, they  
139 showed morphological similarities such as an oval to spherical shape, and an ornamented and relatively  
140 thinned shell that is typical from nematodes that have aquatic life cycles. Moreover, differences observed

141 in the egg size between the fresh and the fossilized materials, may be accounted by intrinsic features of  
142 females from each species. According to Herreras *et al.* (2007), spatial constrictions imposed by uterine  
143 size, may influence the number and size of eggs produced by females of anisakid nematodes.

144 Although the parasitic form reported here may have a size similar to that of some oocysts from  
145 Eimeriidae, previously found in the Tremembé Formation (Carmo *et al.*, 2024a; Fig. 1B), it lacks  
146 common traits such as micropyle and micropyle cap. Moreover, we believe that the internal embryonic  
147 structure represents morula rather than developing sporocysts. In fact, more than ten oocyst morphotypes  
148 were described in the Tremembé Formation, and none of them had preserved sporocysts or sporozoites  
149 (Carmo *et al.*, 2024a). In this sense, sporocysts or sporozoites most likely degrade before feces  
150 fossilization in this paleoenvironment, or the abiotic conditions essential of sporulation (e.g. heat,  
151 humidity and oxygenation) were absent (Fayer, 1980; Berto *et al.*, 2014).

152 Fossilization is a singular phenomenon that takes place under specific conditions, which partially  
153 explains the lack of parasitological studies on material found in paleontological sites (Dentzien-Dias *et*  
154 *al.*, 2013; Carmo *et al.*, 2024b). Although we found only one egg in the present coprolites, the record of a  
155 morula reveals good potential for preservation of parasitic forms in the Tremembé Formation. In addition,  
156 the identification of the Ascaridoidea egg provides insights on the biogeographic distribution of this  
157 taxon, in the Brazilian territory, during the Oligocene.

158 The paleoparasitological information associated with the paleofaunistic diversity of birds and  
159 fishes from the Tremembé Formation (Carmo *et al.*, 2024c) indicate that the ancient Brazilian  
160 paleoenvironments provided conditions for the rising and success of nematodes infecting such hosts  
161 during the Paleogene.

162 Previous paleoparasitological studies from the Tremembé Formation were performed in  
163 coprolites exclusively from the site Fazenda Santa Fé (Carmo *et al.*, 2023). Therefore, this is the first  
164 parasitological analysis in trace fossils from the outcrop of Aligra Comércio de Argila S/A, providing new  
165 perspectives related to the paleoparasitological studies in this lithostratigraphic unit, and expanding the  
166 knowledge on helminth infections in birds that inhabited the Paraíba Valley millions of years ago.

## 167 **Declarations**

168 - *Ethical Approval*

169 Not applicable.

170 - *Additional headings with statements on consent to participate and consent to publish.*

171 Not applicable.

172 - *Conflict of interest*

173 The authors declare none.

174 - *Authors' contributions*

175 Gustavo Macêdo do Carmo: conceptualization, methodology, investigation, writing-original draft,  
176 writing-review & editing, funding acquisition. Ana Hadassa da Silva Guilherme Luiz: methodology,  
177 investigation, writing-original draft. Jotapê Fernandes Passos: writing-original draft. Sueli de Souza  
178 Lima: validation, resources, supervision, funding acquisition. Hermínio Ismael de Araújo-Júnior:  
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180 acquisition.

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184 - *Availability of data and materials*

185 All data and materials used are available in this manuscript.

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290 Captions:

291 **Fig. 1** Avian coprolite with fish bone fragments (A), eimeriid oocyst (B), *Contracaecum* egg (C), and  
292 fossilized egg of Ascaridoidea (C) from the Tremembé Formation, Taubaté Basin, State of São Paulo,  
293 Brazil.

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Belo Horizonte, July 2024.

Dear Editor-in-Chief and Associate Editors of Journal of Helminthology,

First, thank you for the feedback on our manuscript. We also would like to thank the reviewers for taking time for review the work and for all their comments and suggestions. Their attention, detailed and insightful comments, as well as expertise, were crucial on improving the manuscript revised version. Most of the suggested changes were adopted accordingly. Please, find as follows the responses for the most critical comments.

Comment: “The manuscript ‘First report of a morulated Ascaridoidea (Nematoda) egg in an avian coprolite from the Paleogene of the Paraíba Valley, State of São Paulo, Brazil’, reports the first egg of Ascaridoidea preserved containing morula, from a single bird coprolite recovered from the Paraíba Valley. I consider the work to be well written. The methodology is well planned and developed. However, the finding is very poor and does not present consistency. It is a unique and very dubious find. It is not possible to identify its origin, it looks like an insect egg. I consider that this finding does not merit publication in the journal. It would be interesting to do interdisciplinary work with the samples, which are very interesting, and to publish more robust results.”

Response: We acknowledge the reviewer's feedback. However, we believe that the finding is not very poor, since this is a material aged between 33.9 and 23.03 million years ago, making it very difficult to analyse regarding the possible parasite forms, fossilized in it. Moreover, as the reviewer commented, the methodology is adequate, which means that we took all precautions to avoid any source of contamination. The samples used for analysis were taken from the inside of the coprolite and, in this sense, it is unlikely that an insect egg would be fossilized there. In addition, based on the suggestions by reviewer 2, we improved much the evidences towards the our hypotesis that this is a morulated egg from Ascaridoidea, which exhibits a morphology totally different from that of the broad definition of "insect". Based on all these arguments we believe that the result is worth publishing as it provides interesting biological insights on the parasites from a far far way time.

Comment: “I think their identification would be much stronger by showing comparative pictures of relevant taxa. For instance, Thapa et al. (2017) Figure 1 G is comparable. And

Dziewkońska-Rynko, et al. (2007) has a good picture of *Contracaecum* eggs at different stages. I believe it would be worth trying to get permission to reproduce one or more of these, or something similar, to bolster support for their identification.”

Response: We would like to thank the reviewer for the pertinent suggestion. However, we have already cited a study in which it is possible to see *Contracaecum* eggs similar to the one found in our study. Moreover, we added the study by Huizinga (1967) that evaluated in details the egg development of *Contracaecum multipapillatum*, in which figures 1C, D (see at the end of this file) of it show morulated eggs very similar to the one fossilized, found in our work. In addition, we believe that it is more important to use original images, since the photos of the eggs from the indicated references can be restricted by copyrights. In this sense, two females of *Contracaecum* from a single bird of the species *Nannopterum brasilianum* were dissected in order to recover eggs that were used for comparison. Finally, we also added a picture of an oocist from our personal archive, which was found in a coprolite from Tremembé, in order to make it clear its differences for nematode eggs.

Comment: “I note that in Carmo et al. (2024) references are made to a number of papers reporting bird presence in the palaeoenvironment at Tremembé. It would be interesting to the parasitology reader to know what bird taxa could potentially be the producers of the guano?”

Response: We would like to thank the reviewer for another important suggestion. However, it is impossible to make a more precise identification of the zoological origin of these coprolites. The samples were all found unassociated to their producing organisms. In addition, the Tremembé Formation has more than one bird taxon that fed upon fish, which could be the probable zoological tracemaker. Both we and other paleontologists have discussed this issue in previously published papers (mentioned in this manuscript) and the specific origin of these coprolites remains uncertain.

Thank you for your time and I look forward to hearing from you.

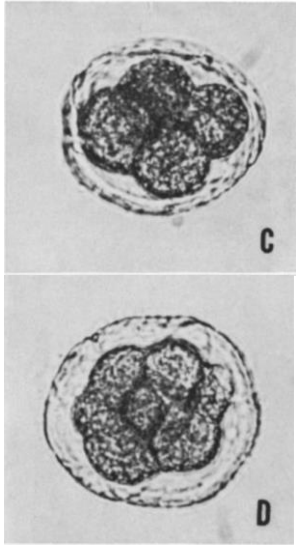
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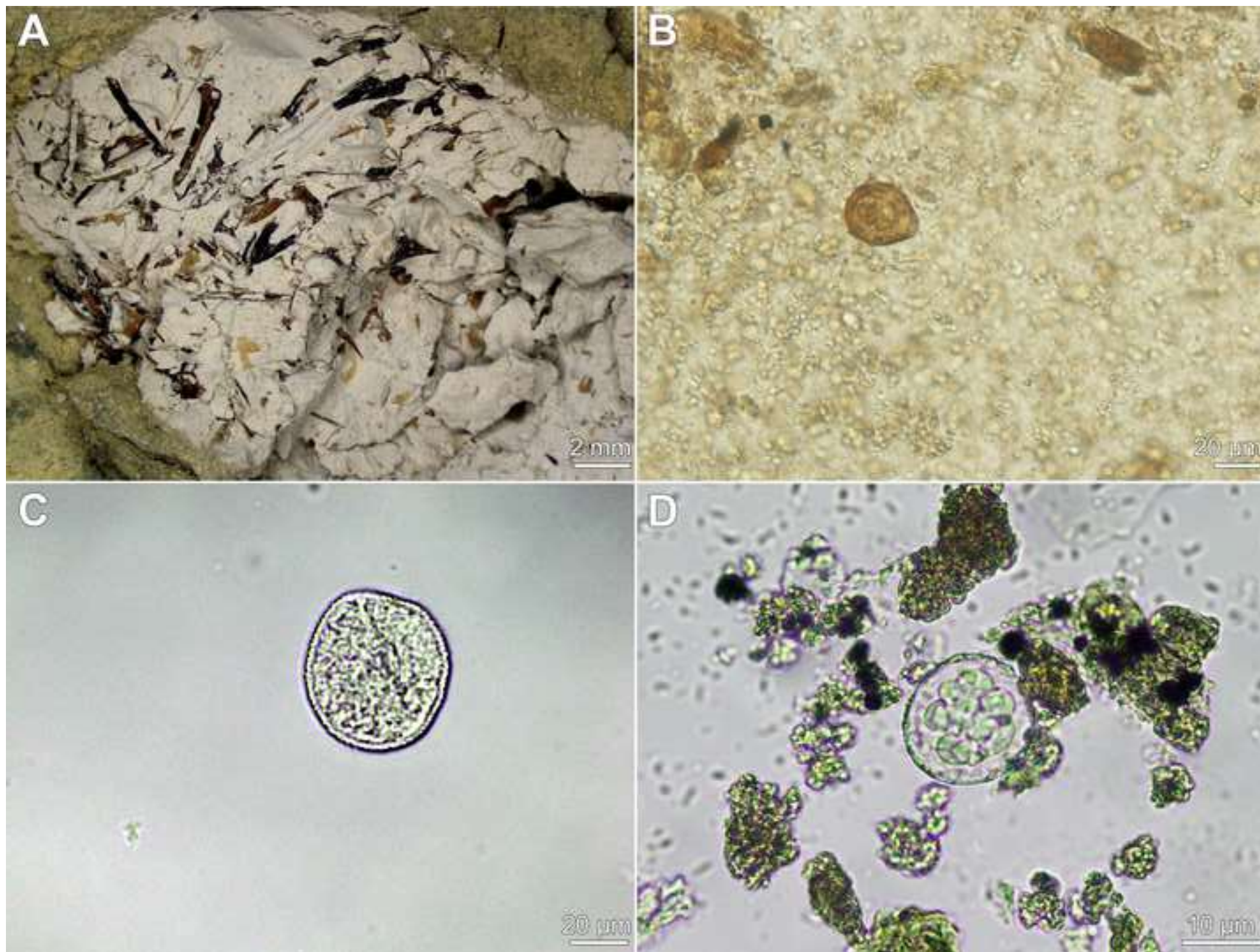
MSc Gustavo Macêdo do Carmo (on behalf of all authors)

PhD student in Parasitology

Institute of Biological Sciences / Federal University of Minas Gerais



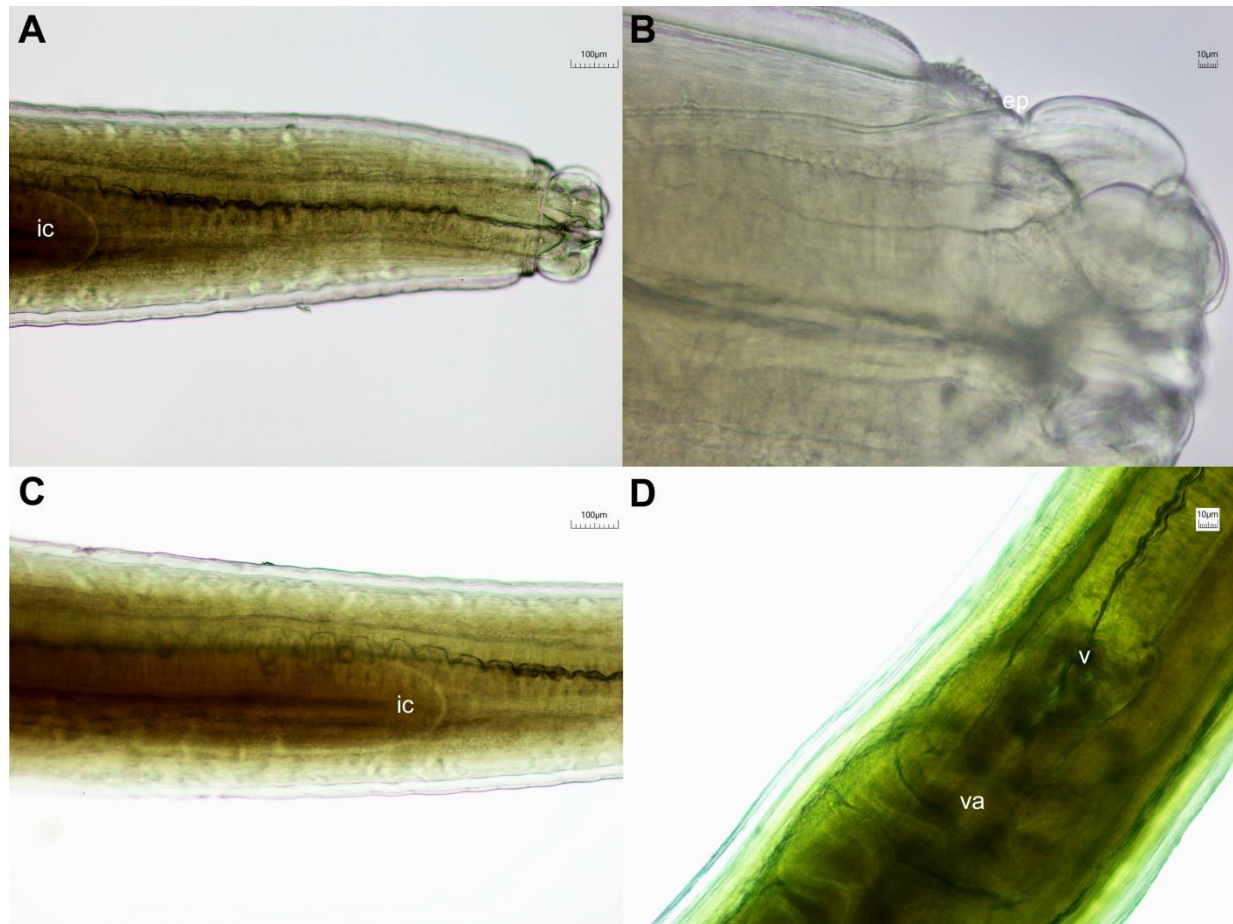
Images of morulated eggs from *C. multipapillatum* by Huizinga (1967)



Journal of Helminthology

Short Communication: First report of a morulated Ascaridoidea (Nematoda) egg in an avian coprolite from the Paleogene of the Paraíba Valley, State of São Paulo, Brazil

Gustavo Macêdo do Carmo, Ana Hadassa da Silva Guilherme Luiz, Jotapê Fernandes Passos, Sueli de Souza Lima, Hermínio Ismael de Araújo-Júnior, Felipe Bisaggio Pereira



Supplementary Figure S1. Female of *Contracaecum* sp. parasite of *Nannopterum brasilianum*. A: anterior end, lateral view. B: cephalic end, lateral view. C: oesophageal region, lateral view. D: oesophago-intestinal junction, ventral view. Abbreviations: ep, excretory pore; ic, intestinal caecum; v, ventriculus; va, ventricular appendix.