

1 The Organization of Retinal Ganglion Cells in the Tree Shrew
2 (*Tupaia belangeri*). I. Analysis of Cell Size Distribution

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27 Running Head: Tree Shrew Retinal Ganglion Cells
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41 Key words: Tree shrew, retina, ganglion cells, area centralis, visual streak, cell size, cell density.
42

ABSTRACT

43
44 The objective of this study and two companion studies (DeBruyn and Casagrande, '86; DeBruyn et al.,
45 '86) was to describe, quantify, and classify retinal ganglion cells in the tree shrew (*Tupaia belangeri*). In
46 this paper, the distribution and sizes of retinal ganglion cells were analyzed using whole mounted Nissl-
47 stained retinae. Our data show that the ganglion cell layer is characterized by a well-developed visual
48 streak, an *area centralis* located close to the temporal retinal margin, and no fovea. Both the *area*
49 *centralis* and visual streak contain a high density of smaller cells. This density reaches a peak of
50 approximately 20,000 cells/mm² in the area centralis. Cell density declines and mean cell area and range
51 of cell areas increases in the periphery (more rapidly for temporal than for nasal retina) but remains high
52 (3000 cells/mm²) even at the extreme margins of the retina. Additionally, centrop peripheral density
53 gradients in temporal retina are steeper than those in nasal retina such that at points of equal eccentricity
54 from the *area centralis* in the nasal and temporal retina (i.e., points that view the same point in the visual
55 field), cell density in the nasal retina is always higher than in the temporal retina. Cell size analysis shows
56 that, in general terms, cell area is inversely related to cell density. Mean cell size ranges from 45µm² in
57 the *area centralis* to over 100µm² in peripheral retina. Nasal-temporal differences are also apparent in
58 distributions of cell size such that cells in the nasal retina are significantly smaller than their temporal
59 counterparts at eccentricity-matched points. At density-matched points, nasal cells are not significantly
60 smaller than temporal cells, although more large (75th-99th% of local cell sizes) cells are present in
61 temporal retina.

62 Taken together, our data suggest that the retina of tree shrews is specialized both for binocular
63 and monocular visual resolution, based upon the existence of an *area centralis* in the binocular retina, and
64 the visual streak and high density of ganglion cells in the extreme periphery which relate to monocular
65 viewing. This organization is best explained by the visual adaptations unique to the tree shrew, not by its
66 proposed relationship to primates as suggested originally by LeGros Clark, ('59). (362 words)

67

INTRODUCTION

68
69 Only within the last decade has research into the organization of retinal ganglion cells progressed to the
70 point where enough information is available on cell size, functional specialization, and central projections
71 to begin to make generalizations concerning the basic features of retinal topography and their functional
72 significance. Recent evidence from a number of physiological studies indicate that ganglion cells in
73 several species can be divided into several functional subgroups with different cell sizes (Boycott and
74 Wässle, '74; Cleland et al, '75; Fukuda, '77; Saito, '83; Stanford and Sherman, '84; see Rodieck and
75 Brening, '83 for review.). Moreover, differences in the central projections of these cell types have led to
76 the concept that they form three parallel functional channels (see Stone et al., '79 for review). Beyond
77 these features, evidence demonstrates that many mammals exhibit topographic specializations within the
78 ganglion cell layer such as an area centralis, fovea, or visual streak, which permit functional specialization
79 in response to varied visual environments. The organization of the retina in the tree shrew is of interest for
80 several reasons. First, there is considerable knowledge about the anatomical and functional organization
81 of central visual structures in this species.

82 For example, as in cat and monkey, the dorsal lateral geniculate nucleus (LGND) of the tree
83 shrew is laminated and contains both X- and Y-functional subclasses of cells (Laemle, '68; Casagrande
84 and Harting, '75; Hubel, '75; Sherman et al., '75). Moreover, these cell types are known to project to
85 layers I, III, IV and VI of visual cortex (Carey et al., '79; Conley et al., '84). Considerable information is
86 also available on the connections and functional organization of the tree shrew superior colliculus,
87 pulvinar, and striate and extrastriate visual areas (Lane et al., '71; Harting et al., '72, '73; Kaas et al., '72;
88 Ohno et al., '75; Albano et al., '78, '79; Graham and Casagrande, '80). In contrast, we know little about
89 general features of retinal organization in this animal.

90 The tree shrew also occupies a pivotal position in the postulated phylogeny of primates. LeGros
91 Clark ('59) placed special emphasis on the relative development of the visual system of the Tupaiadae
92 when he classified them as primates, yet many features of the tree shrew visual system, such as the
93 unusual laminar arrangement of the LGND and the extreme development of the superior colliculus, are

94 suggestive of an evolutionary path independent of primates. Thus, it becomes of interest to compare the
95 retinal organization in the tree shrew with that in primates.

96 Finally, what little we do know about the tree shrew retina suggests that it is almost totally cone
97 dominated: less than 4% of receptors identified are rods (Immel and Fischer, '82). In support of this
98 observation is the finding that tree shrews show only a photopic sensitivity curve with no purkinje shift
99 (Tigges et al., '67). It is, therefore, of interest to examine how a nearly pure cone population is reflected in
100 the organization of the ganglion cell layer and distribution of ganglion cell classes within this layer.

101 In this series of experiments, we used retinal whole mounts of tree shrew retinae to investigate
102 how cells are distributed within the ganglion cell layer and how these cells can be classified based upon
103 their size, morphology, and central projections. In this paper, the first of our series, we describe the
104 regional variations in the densities and sizes of Nissl-stained ganglion cell somata. In the next paper
105 (DeBruyn and Casagrande, '86), we describe the morphology of horseradish peroxidase-filled ganglion
106 cells and develop a classification scheme based upon a cluster analysis of anatomic features. Finally, in
107 the third paper (DeBruyn et al., '86) we describe the differences in central projections of populations of
108 ganglion cells based upon retrograde labelling. These results have been previously presented in
109 preliminary form (DeBruyn and Casagrande, '78; '80).

110

METHODS

111
112 Animals used in these experiments were adult tree shrews (*Tupaia belangeri*) weighing 120-250
113 gms and were raised in our colony.

114
115 *Preparation of Retinal Whole Mounts*

116 Whole mounts of six retinae from four animals were prepared in a manner similar to that of Wässle et al.
117 ('75). Briefly, the tree shrews were anesthetized with sodium pentobarbital (Nembutal, 55 mg/kg). The
118 eyes were then enucleated and the anterior chambers were cut away at the *ora serrata*.

119 The retinae were removed from the eyecups, floated onto a saline bath where any adhering pigment
120 epithelium was brushed off, and then immersion-fixed overnight in either 10% formalin or 4%
121 glutaraldehyde in a phosphate buffer (pH 7.4). Following this procedure, the retinae were floated onto a
122 gelatinized slide, optic fiber layer uppermost, and several radial cuts were made around the periphery to
123 aid in flattening the retina. Care was taken to cut at different locations in each retina so that isodensity
124 maps would not be affected by identical patterns of shrinkage. Following flattening any remaining
125 vitreous was removed and the retinae were covered with a piece of filter paper soaked in 5% formal-
126 alcohol and weighted under a glass slide in a bath of the same solution overnight. The retinae were then
127 defatted in xylene, rehydrated through alcohols, stained with cresyl violet, dehydrated, cleared in xylene,
128 and coverslipped. It is noteworthy that immersion for 20 minutes in 3% glacial acetic acid in 100%
129 alcohol following the first xylene step improved the quality of staining (Wässle et al., '75).

130
131 *Cell Counts*

132 Counts were made in five retinae (3788L, 79-16L, 79-16R, 79-23L, 79-23R) according to previously
133 described methods (DeBruyn et al., '80), except that peripheral counts were taken at intervals of 0.5 mm
134 instead of 1 mm. Moreover, in order to define more completely the density changes in the central area of
135 the retina, cells were counted in every 0.1 mm x 0.1 mm field throughout an area of 2 mm² in the region
136 of highest cell density as defined by blood vessels (Fig. 1). The total percentage of retinal surface sampled
137 in each of the five retinae was approximately 13%.

138 In addition, more extensive counts were made on a sixth retina (80~15L), at intervals of 0.2 mm
139 instead of 0.5 mm. In addition, every 0.1 mm X 0.1 mm field within an area of 5.6 mm² in the central
140 retina (an area approximately enclosed by the 14,000 cell/mm² isodensity line) was counted. In total,
141 approximately 40% of the retinal surface was sampled in this retina.

142

143 *Construction of Isodensity Maps*

144 In all retinæ sampled, isodensity lines were drawn so that they enclosed all areas in which the counts
145 were greater than or equal to the value assigned to the line. In addition, a three-dimensional isodensity
146 map (Oyster et al., '81) of retina 80-15L was constructed in the following manner: the outline of the retina
147 was traced onto a sheet of orthogonal projection graph paper so that the nasal-temporal and superior-
148 inferior dimensions were represented in the X and Y planes, respectively. Each vertical (superior to
149 inferior) row of sample points (starting with the most temporal row and proceeding nasally) was then
150 plotted on the map, with the density of cells at each point being represented in the Z plane. As each row
151 of sample points was plotted, the points were connected so that a series of density vs. position graphs for
152 each vertical strip of retina was constructed. Isodensity lines were then drawn so they intersected each
153 graph at the particular value assigned to the line. The use of such a procedure eliminated the need for
154 interpolation between sample points at which cell density was somewhat higher or lower than the value of
155 the line.

156

157 *Cell Measurements*

158 Samples of cells were taken at 1 mm intervals along the horizontal and vertical meridians. At each point
159 sampled, the outlines of all cells in 1 to 4 0.1 mm X 0.1 mm fields were drawn at 1000X using a Zeiss
160 *camera lucida* drawing tube. Cells were included in the sample if they were estimated to have half or
161 more than half of their profile within the field and were drawn only when their nucleoli were in focus. In
162 addition, pairs of samples were taken at selected points in the nasal and temporal hemiretinæ at points
163 matched for either eccentricity from the area centralis or for cell density. To correct for possible superior-
164 inferior differences in cell sizes, all matched samples were taken at the same elevations above or below

165 the area centralis. Cell areas were measured from outlines and all statistics performed using a Bioquant II
166 computerized image analysis system (Leitz).

167

168 *Sources of Potential Error*

169 The construction of isodensity maps necessarily involves a number of steps, any one of which may affect
170 the accuracy of the final map. First, the number of sample points is important; maps constructed from
171 relatively few samples clearly lack the accuracy of those in which a fine sampling matrix was used. In the
172 present study, this problem was avoided by sampling a relatively high percentage of the retinal surface,
173 including the entire surface within high density regions where changes in density are rapid. Second,
174 ganglion cells must be identified correctly. Cases in which horseradish peroxidase (HRP) was used to
175 trace central connections (see DeBruyn et al., '86) helped to set a lower limit on the size of small ganglion
176 cells which have traditionally been the most difficult to distinguish from other cell types in the ganglion
177 cell layer (Stone, '65, '78; Hughes, '75; Hughes and Vaney, '80; Hughes and Wieniawa Narckiewicz, '80).
178 Nevertheless, it is possible that not all of these neurons are ganglion cells, but instead are displaced
179 amacrine cells (Hughes and Vaney, '80) or "microneurons" (Hughes and Wieniawa-Narckiewicz, 80), that
180 have been reported in the ganglion cell layer. Thus, the population of ganglion cells in the tree shrew may
181 have been over-estimated. Third, in areas of high density, the existence of two or three layers of ganglion
182 cells can make it difficult to distinguish cells. Although this factor was presumably minimized by
183 performing all counts at 1000X under oil immersion, it is possible that some cells may have been missed
184 in the area centralis. Finally, because no sectioned retinae were examined, it is not possible to say what
185 percentage, if any, of the ganglion cells is located in the inner nuclear layer (displaced). Since the latter
186 have been reported to exist in a number of species (Bunt et al., '75; Karten et al., '77; Brecha and Karten,
187 '79; Kimm et al., '79) it is possible that at least a small number may exist in the tree shrew also.

188 In interpreting the results of cell size data, it is necessary to take into account the variability that
189 is inherent in these studies. For example, cell size data are subject to variation in histological technique.
190 Hughes ('81) has reported that ganglion cells may undergo linear shrinkage of up to 20% during
191 dehydration and staining, even in retinae in which the overall areal shrinkage is less than 5%. In areal

192 terms, this amounts to a 36% reduction in cell size. Moreover, cell size samples are small point-to-point
193 measures with the large inherent variability that biological samples possess. Thus, these results should be
194 interpreted conservatively.

195

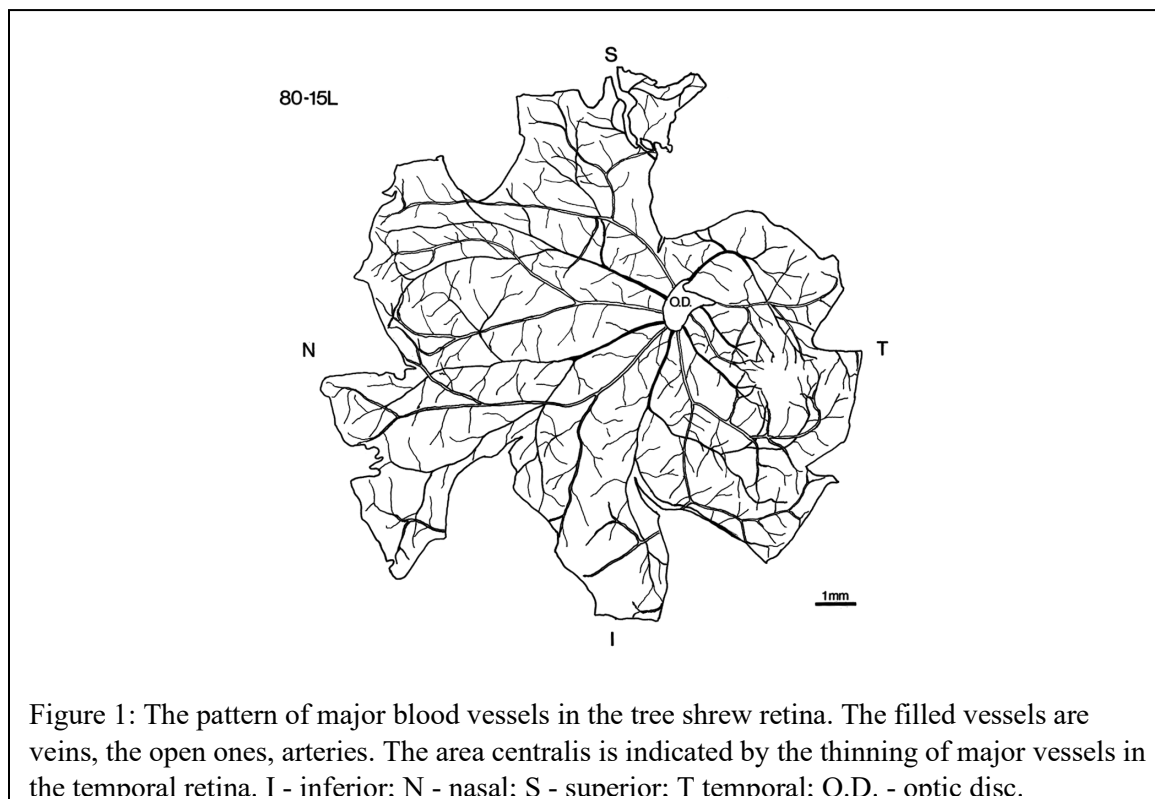
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197

RESULTS

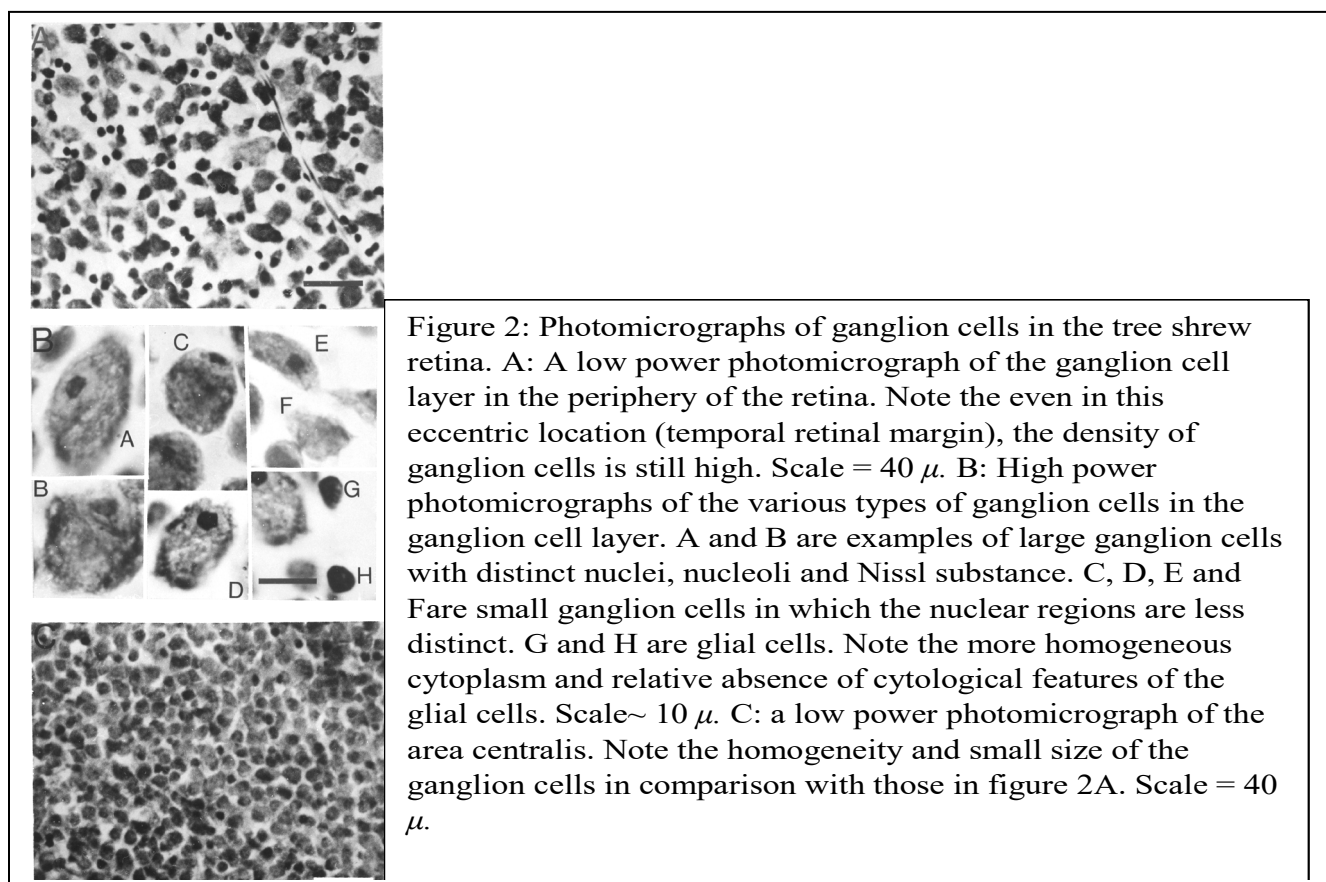
198 *General Appearance of the Ganglion Cell Layer*

199 As in other species, major blood vessels emerge from the optic disc which is located in the superior
 200 temporal quadrant of the retina, 0.8 mm superior and 4.5 mm temporal to the geometric center of the
 201 whole mount (Fig. 1). There appears to be little major branching of the vessels; however, small vessels



202 frequently emerge from the main ones at approximately 45° angles. The only hint of an area of
 203 specialization occurs in the temporal retina where the major vessels curve away from, and then converge
 204 upon, an area approximately 2 mm from the temporal edge of the retina and 1 mm below the optic disc.
 205 This location would be the predicted central vision representation or *area centralis* based upon
 206 electrophysiological mapping of visual cortex (Kaas et al., '72). Additionally, several smaller vessels
 207 (usually 3) proceed straight infero-temporally from the disc to this area. Although all vessels thin out as
 208 they approach the *area centralis*, examination at higher magnification reveals no totally avascular area as
 209 has been reported for the macula of the macaque (Ferraz de Olivera and Ripps, '68). Another noticeable
 210 feature of the retina is the presence of a thick layer of optic fibers which is most prominent in the

211 temporal retina. As in other species, the fibers proceed from the central region to the optic papilla in
 212 sweeping arcs, avoiding the area of highest cell density.



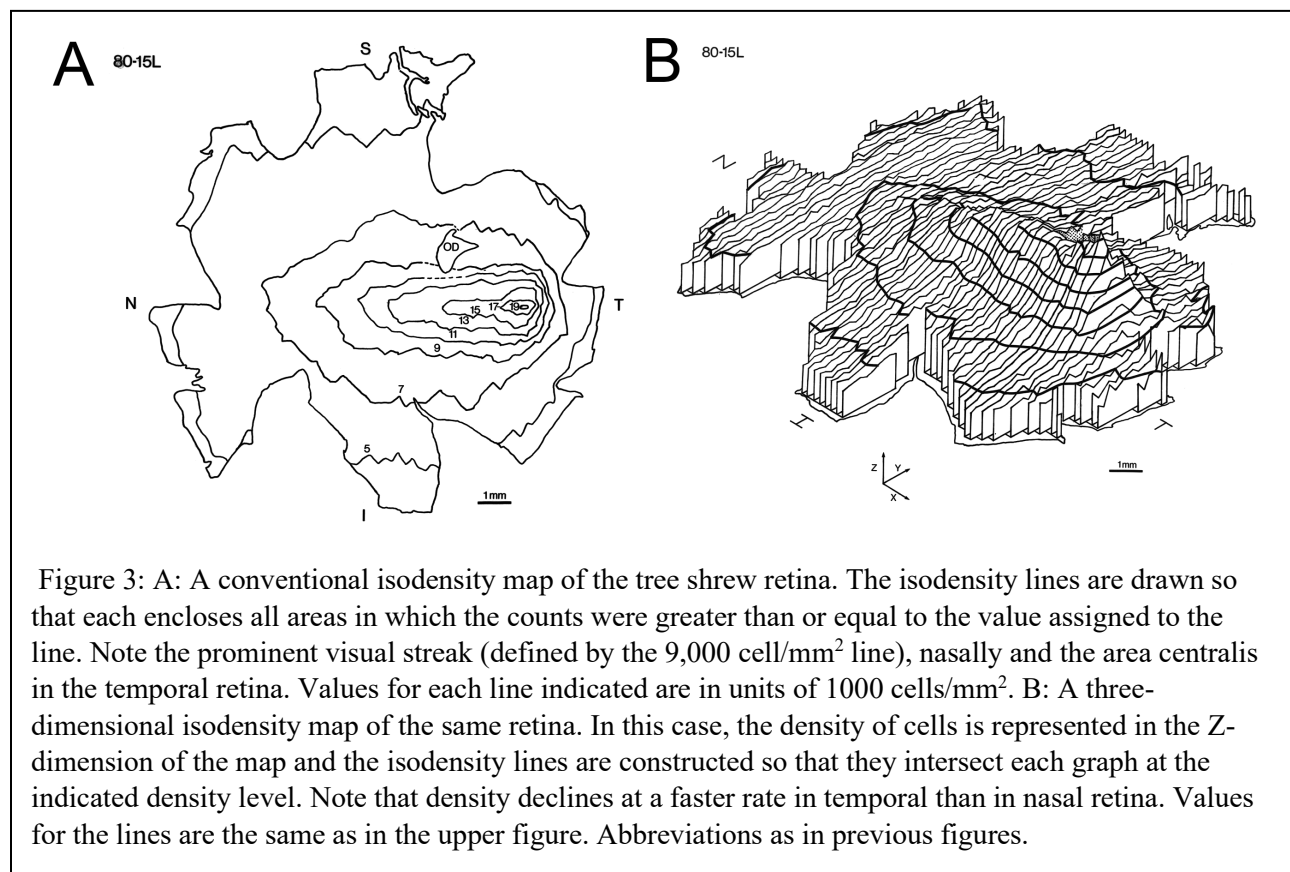
213 At low magnification, the ganglion cell layer appears very crowded, with large numbers of tightly
 214 packed cells present in even the most peripheral regions (Fig. 2A). Multiple cell layers first appear at a
 215 density of approximately 9,000 cells/mm² and reach a maximum of three layers thick in the *area centralis*
 216 (an area delineated by the 16,000 cell/mm² isodensity line, extending from 0.8 mm nasal to 0.3 mm
 217 temporal and 0.3 mm superior to 0.4 mm inferior to the point of highest cell density). At higher
 218 magnification (Fig. 2B), it is evident that there are two cytologically distinct cell types present in the
 219 peripheral retina: large cells (composing the upper 25% of the local distribution of cell sizes) with distinct
 220 nuclei, nucleoli and Nissl substance, and small cells in which these cytological features are not as evident.
 221 The distinction between these smaller cells and glial cells present in the ganglion cell layer is not always
 222 clear; however, the two types can usually be differentiated on the basis of Nissl substance in the ganglion
 223 cells. As the *area centralis* is approached, the cytological distinction between large and small cells
 224 becomes less obvious until it disappears at a point approximately 0.4 mm (approximately 5°) eccentric

225 from the point of highest cell density. Within this central-most area, the ganglion cells appear as a
 226 continuous mosaic of homogeneous size profiles (Fig. 2C). The absence of large cells from the area of
 227 fixation is a feature which is also found in the primate retina (Webb and Kaas, '76; DeBruyn et al., '80,
 228 '82; Stone and Johnson, '81), although not in the cat (Stone, '65, '78; Hughes, '75, '81).

229

230 *Ganglion Cell Topography*

231 A map of ganglion cell densities within retina 80-15L is shown in Figure 3. In this figure, each line
 232 represents a zone of equal cell density or isodensity. Figure 3 also shows the same map represented in
 233 three dimensions with density represented in the third dimension. This format gives a better visual
 234 impression of the centropерipheral density gradient, the extent of the visual streak (defined peripherally
 235 by the 9,000 cell/mm² isodensity line and centrally by the 16,000 cell/mm² isodensity line) and the



236 density fluctuations between isodensity lines than does a conventional two-dimensional isodensity map.
 237 In both maps, the isodensity lines form a series of concentric ellipsoids, with an area of peak density
 238 (center of the *area centralis*) occurring at a point 2.2 mm from the temporal margin of the retina. Even in
 239 areas of high cell density such as the *area centralis*, there is no tendency for isodensity lines to become

240 circular as in the cat (Stone, '65; Hughes, '75), but to remain elliptical with a horizontal to vertical ratio of
241 2 to 1.

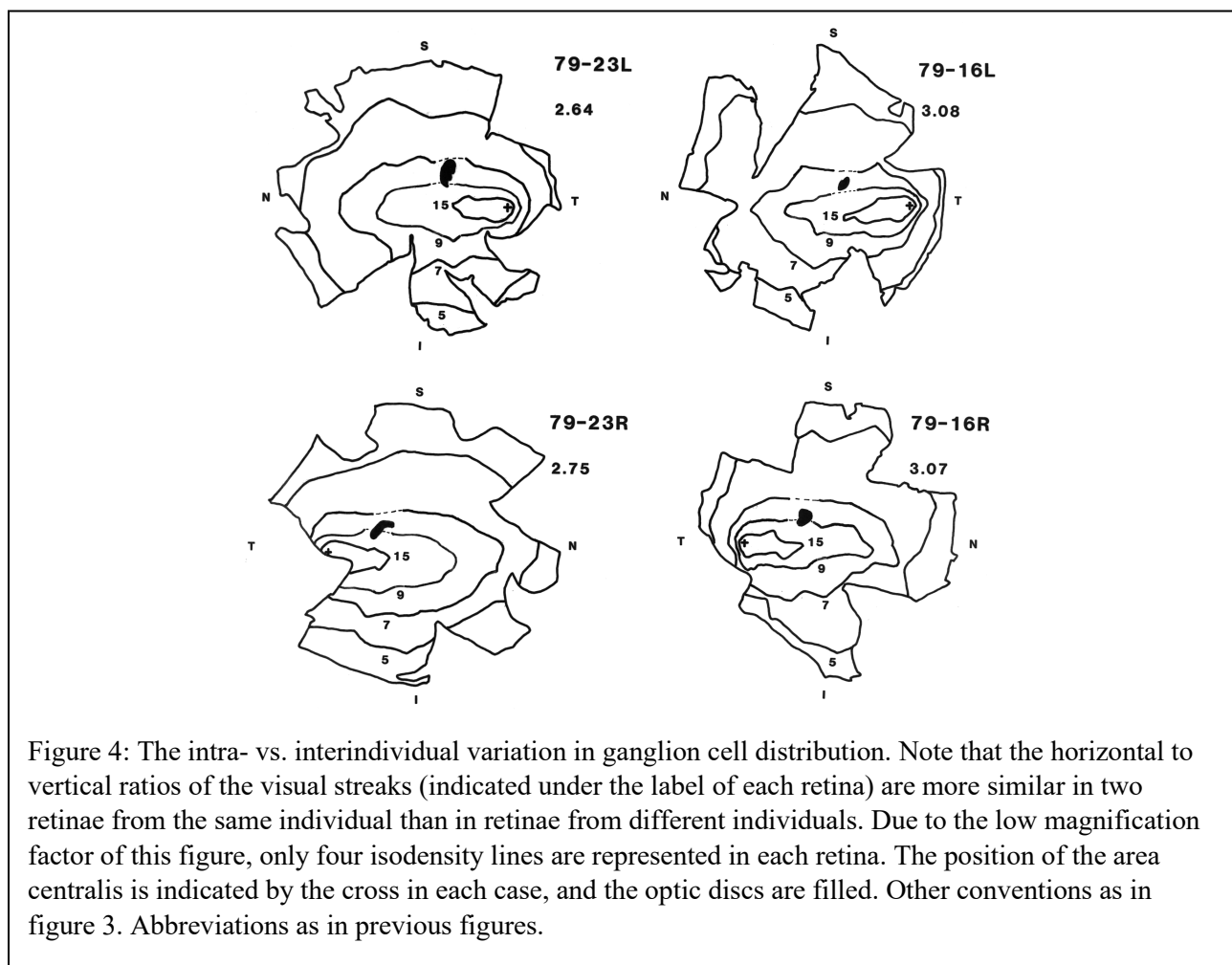
242 Another noteworthy feature concerns the differences in the rate of change in density in nasal and
243 temporal retina. As can be seen in figure 3, the rate of decrease in cell density from the *area centralis* to
244 the periphery is much higher for temporal than for nasal retina. An important implication of this trend is
245 that points at equal eccentricities from the center of gaze have different cell densities in nasal and
246 temporal retina.

247
248 *The Visual Streak* - Before proceeding further, it is necessary to define the term visual streak as
249 presently used. The first problem is to define the peripheral boundary of the streak. In previous
250 investigations, the peripheral boundary of the visual streak has been set somewhat arbitrarily (e.g.,
251 Hughes, '75; Oyster et al., '81) or has not been completely defined (e.g., Rowe and Stone, '76; Stone and
252 Johnson, '81). Thus, although there is agreement that the streak is formed by isodensity lines which are
253 horizontally elongated, the degree of this elongation has never been specified. For example, in the cat
254 (Hughes, '75) the horizontal to vertical ratio of the visual streak is approximately 4 to 1, while in the rabbit
255 (Oyster et al., '81), it is considerably more than this. In both of these species, however, the streak shares
256 two characteristics: first, it encloses approximately 10% of the retinal area; and second, it contains
257 approximately 1/3 of the total number of ganglion cells. In the tree shrew, the isodensity line which
258 matches these characteristics most closely is the 9,000 cell/mm² line, as it encloses approximately 12% of
259 the retinal surface and contains approximately 30% of the total number of cells.

260 A second problem is to define the central boundary of the streak. As in the case of the peripheral
261 boundary, there is no set definition for separating the streak from the more central portions of the retina
262 (i.e., the *area centralis*). Since the streak is formed by horizontally elongated isodensity lines, while those
263 forming an *area centralis* are thought to be more circular (Rowe and Stone, '76), the point at which there
264 is a clear reduction in the horizontal to vertical ratio of the isodensity lines (16,000 cell/mm² line) was
265 chosen as the central boundary of the streak.

266 The selection of these boundaries allows a lower limit to be set on the degree of horizontal
 267 elongation required for the presence of the visual streak. In the tree shrew, all isodensity lines within the
 268 streak have a horizontal to vertical ratio of approximately 3 to 1 (range 2.83 to 3.37 to 1) while the
 269 maximum ratio of any other line is less than 2.5 to 1 (range = 1.2 to 2.4 to 1). Thus, a conservative
 270 estimate for the minimum horizontal to vertical ratio of isodensity lines within the visual streak of the tree
 271 shrew would be 2.6 to 1.

272
 273 *Individual Variation* - The ratio of horizontal to vertical dimensions of the streak can be used as a
 274 measure of individual variation in cell distribution. Figure 4 shows that the distribution of ganglion cells
 275 varies both within and between individuals. As can be seen in this figure, there is a closer correspondence
 276 between retinæ from the same individual than between those of different individuals; variation is
 277 considerably less when the ratios of two retinæ from the same animal are compared (79-16 - .11; 79-23 -

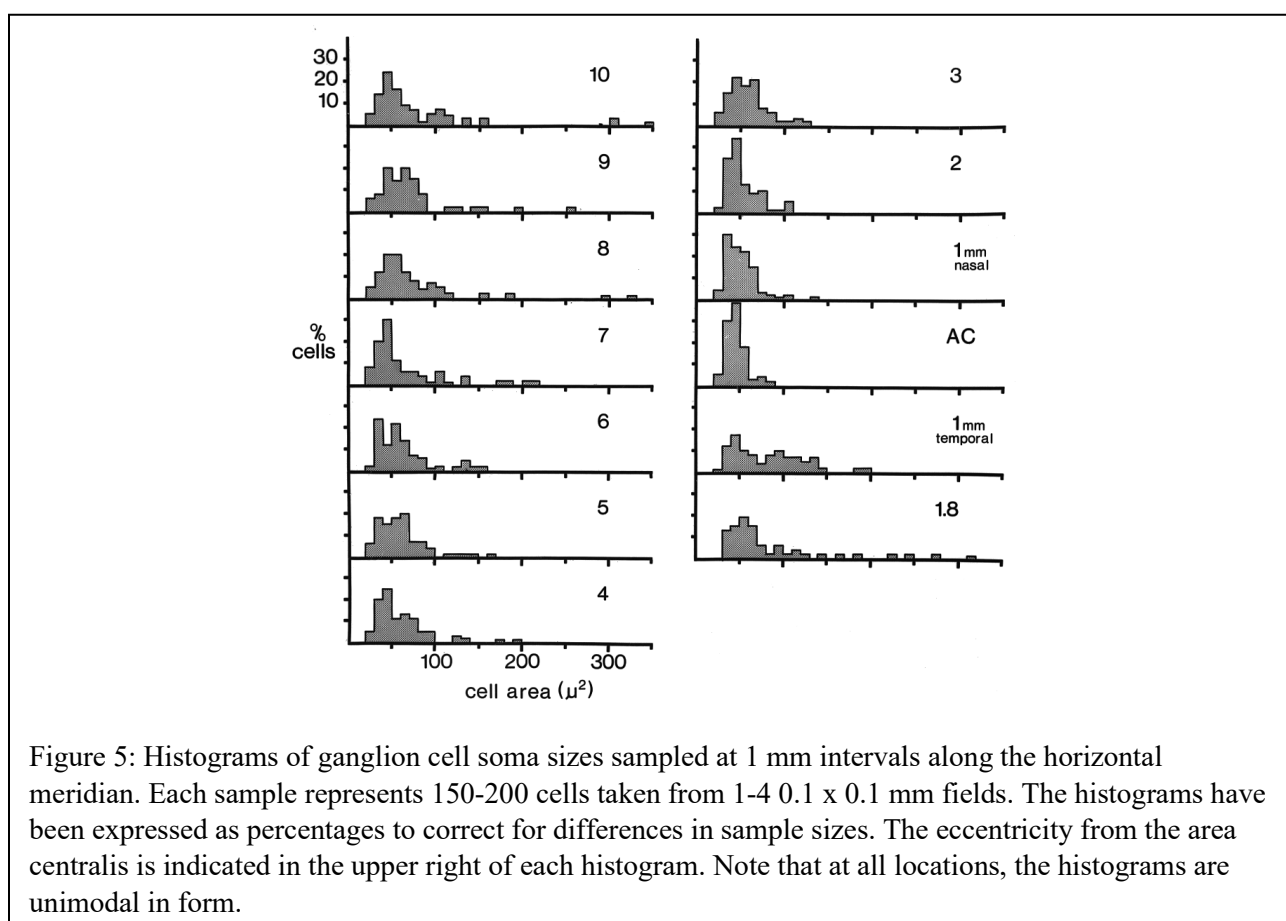


278 .01) than when ratios of retinae from these two individuals are compared (right retinae - .32; left retinae -
 279 .44).

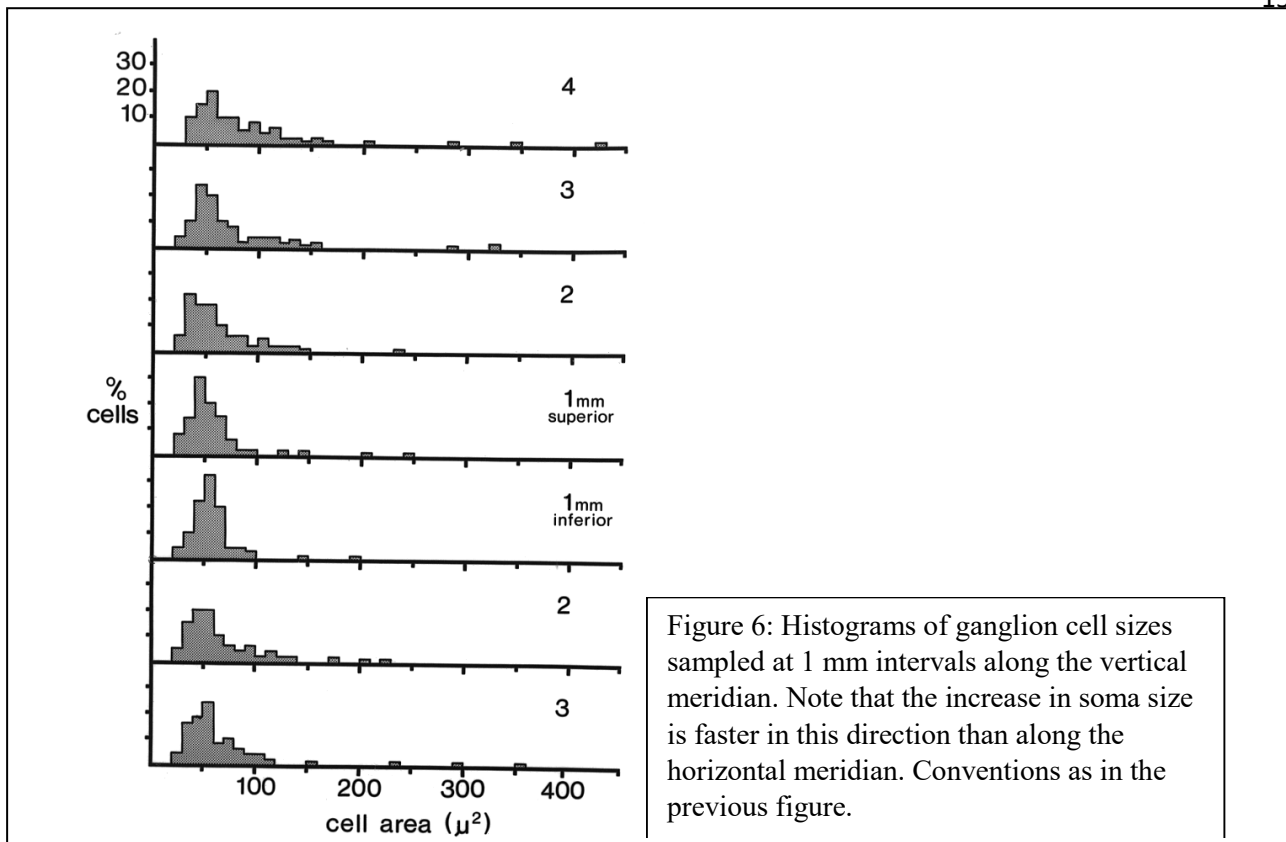
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281 *Analysis of Cell Sizes*

282 Cell areas ranged from a minimum of $20 \mu\text{m}^2$ in the area centralis to a maximum of $550 \mu\text{m}^2$ in the
 283 periphery. Figures 5 and 6 show that frequency histograms of cell areas at all eccentricities form roughly
 284 unimodal curves that are composed of two components; a small-celled peak which includes 85 – 90% of
 285 the total population and a large-celled tail region which contains the remaining 10 – 15% of the cells and

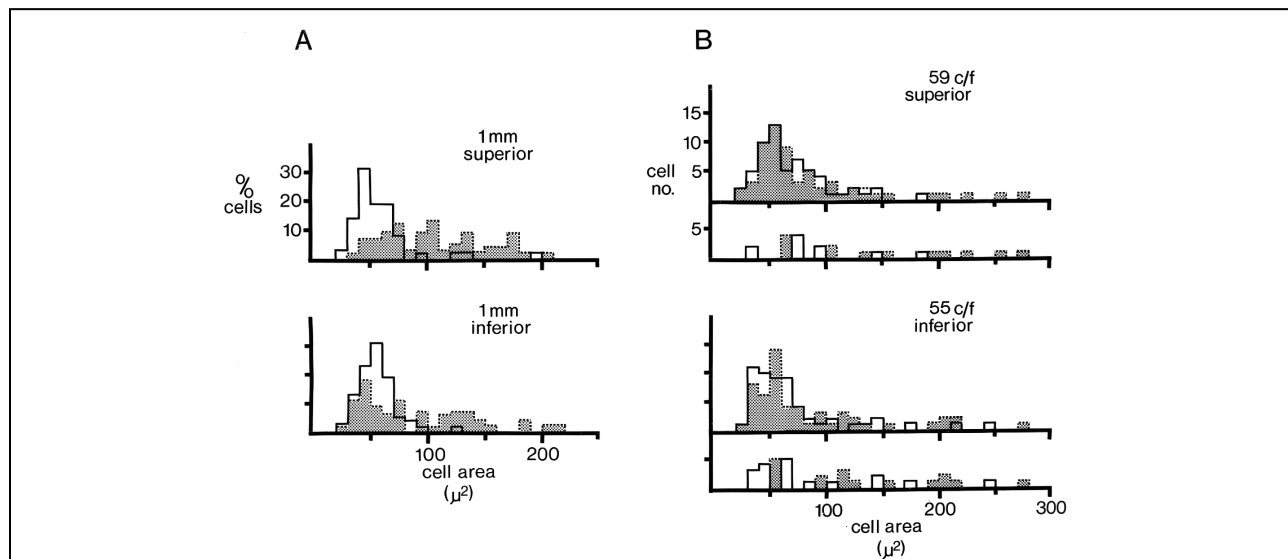


286 occupies the top 25% of the total range of ganglion cell sizes. As eccentricity from the *area centralis*
 287 increases, two trends are apparent: First, there is an increase in mean cell size (from $45 \mu\text{m}^2$ in the *area*
 288 *centralis* to over $100 \mu\text{m}^2$ in the periphery); and second, there is an increase in the proportion of cells
 289 contained within the tail region (i.e., there is an increase in variance). The rate of change in cell size is



290 non-uniform with respect to the direction of sampling. Samples taken along the vertical meridian (Fig. 6)

291 increase in size at a faster rate than do samples taken along the horizontal meridian (Fig. 5). Additionally,



292 figure 7A shows that ganglion cells in the temporal retina are significantly larger than nasal ganglion cells
 293 sampled at equally eccentric points from the *area centralis*, a fact which may be related to the difference
 294 in density (see above). Finally, samples taken from regions of equal cell density along the same elevation
 295 in nasal and temporal retina show no significant difference in cell size, although the percentage of large
 296 ganglion cells is greater in samples taken from the temporal retina, and the percentage of small cells is
 297 greater in the nasal retina (Fig. 7B).

298

299 *Individual Variation* - Distributions of cell size for matched retinal points show equivalent intra-
 300 as inter-individual variation. This is shown in Fig. 8 for density-matched samples from four different
 301 retinal regions (*area centralis*, visual streak, nasal, and temporal periphery) in 5 different retinae from 4
 302 animals. This result contrasts with data on maps of cell density in which inter-individual variation is more
 303 pronounced.

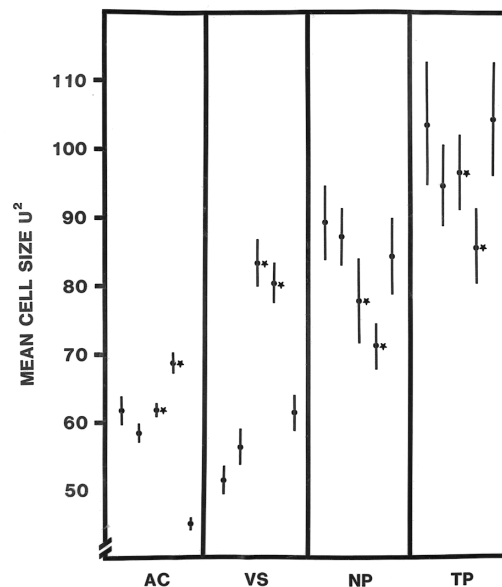


Figure 8: The intra- vs. interindividual variation in ganglion cell size. The mean size (filled circles) \pm S.E.M. of density matched samples of ganglion cells from four different retinal regions (*area centralis*, visual streak, nasal, and temporal periphery) has been compared in 5 retinae from 4 different animals (131R, 3788L, 81-85R, 81-85L, 80-15L in order of appearance.). Sample densities are: AC - 20,000 cells/mm², VS - 15,000 cells/mm², NP - 7,000 cells/mm², TP - 5,000 cells/mm². Stars indicate samples taken from two retinae of the same animal. Note that the variation in the mean size of ganglion cells from two retinae of the same animal is as great as that for samples from different animals. Note also that the inverse relationship of density to ganglion cells size is not constant. For example, the mean size of cells in the visual streak of 131R is smaller than that in the *area centralis*. Finally, note the increase in the size of the S.E.M. with decreasing density. Abbreviations: AC, *area centralis*; NP, Nasal periphery; TP, temporal periphery; VS, visual streak.

DISCUSSION

304
305 The topography of the ganglion cell layer in all mammals studied, including tree shrews, is organized
306 with a gradient in cell density and cell size such that central areas (e.g., the *area centralis* or parafovea,
307 and the visual streak) have higher cell densities and more uniform cell sizes, generally smaller, than more
308 peripheral retinal areas. Mammals differ in the relative location of specialized central areas, steepness of
309 density gradients, and distribution of cell size classes within retinal areas. In tree shrews, our data show
310 that the ganglion cell layer is characterized by a well-developed visual streak, an *area centralis* close to
311 the temporal margin, and no fovea. Both the *area centralis* and the visual streak contain a high density of
312 smaller cells. Cell density declines while mean soma size and range of soma sizes increases in the
313 periphery (more rapidly for temporal than for nasal retina) but remains surprisingly high even at the
314 extreme margins of the retina. The ensuing discussion will consider the functional implications of each of
315 these features and how each compares with features of the retinal ganglion cell layer described for
316 primates and other mammals.

317

318 *Centro-peripheral Differences in Retinal Topography*

319 As in most other mammals studied retinal ganglion cells in the tree shrew retina exhibit a gradient in
320 density and cell size, being smaller, more homogeneous, and more tightly packed in the *area centralis*;
321 and larger, more heterogeneous, and more loosely packed in the periphery. In other mammals this trend
322 appears to follow a density gradient laid down in the receptor layer (Osterberg, '35; Steinberg et al., '73;
323 Ogden, '75) which is repeated in other neuronal layers of the retina (e.g., Wässle et al., '78). Although less
324 is known about the receptor density trends in tree shrews, there is evidence to suggest that the receptors in
325 tree shrews also follow similar trends to ganglion cells since peripheral receptor to ganglion cell ratios are
326 described as 1.6:1 (Rohen and Castenholtz, '67).

327 A comparison of mammalian retinæ suggests that although there is a common trend toward
328 declining ganglion cell density as one moves toward the periphery, rates of decline differ regionally
329 within the retina (i.e., nasal-temporal differences) and greatly among species. In the tree shrew, the
330 centroperipheral gradient is only 4 or 5 to 1 in contrast to gradients of 30 or 50 to 1 for nocturnal species

331 with wide binocular overlap, such as the cat (Stone, '65, '78; Hughes, '75, '77), owl monkey (Webb and
332 Kaas, '76), galago (DeBruyn et al., '80), and slow loris (Debruyn et al., '82); and 300 to 1000 to 1 in
333 diurnal primates (DeBruyn et al., '82). It is noteworthy that animals with laterally placed eyes, such as
334 rabbits, squirrels, hamsters, mice, and rats exhibit a shallow gradient (Hughes, '71, '77; Tiao and
335 Blakemore, '76; Fukuda, '77; Provis, '79; Oyster et al., '81; Dräger and Olson, '81) similar to the tree
336 shrew, suggesting a greater overall dependence on peripheral vision than is the case for cats or primates.
337 In the tree shrew, however, the absolute density is much higher than is found in most other mammals with
338 laterally placed eyes. This adaptation is also found in diurnal squirrels (Hughes, '77) and may reflect a
339 need for increased resolution and an overall greater dependence on vision in diurnal arboreal habitats.

340 It has been argued that the overall high ganglion cell density and a smaller centro-peripheral
341 gradient, such as exists in tree shrews, are characteristics of mammals with "universal macularity",
342 suggesting that they maintain high resolution throughout their field of view (see Hughes, '77 for review).
343 This idea is misleading on two counts. First, it is clear that, even in tree shrews, the *area centralis* has at
344 least four or five times the theoretical resolving power possible in the extreme periphery. Second, in
345 animals with small eyes, a minimum number of ganglion cells in the periphery is required if any detail, at
346 all, is to be resolved. In tree shrews, the theoretical peripheral limit of resolution (see also below), would
347 be around two cycle/deg. This is, of course, better than would be found at the extreme margins of the
348 retinae of mammals with steep centro-peripheral cell density gradients, such as cats and primates, in spite
349 of the larger eye sizes, and may be an advantage in detecting potential predators.

350 With respect to centro-peripheral variation in cell size, studies in a number of species have
351 demonstrated that the increases in mean cell size and the variance of cell sizes with eccentricity can be
352 attributed to two factors. First, there is a general increase in the size of ganglion cells, regardless of
353 functional subclass. Second, there is a change in the relative proportions of the functional subclasses
354 which compose the local population so that the relative proportions of large, medium, and small cells,
355 each of which reflect a different functional class, change (see Perry, '82; Rodieck and Brening, '83). Since
356 the sizes of tree shrew ganglion cells change in a like manner, it seems reasonable to assume that similar
357 trends are occurring (see also DeBruyn and Casagrande, '86; DeBruyn et al., '86).

358 Two additional related points are relevant to observations on cell size. First, most mammals
359 studied, including tree shrews, show unimodal distributions of size at all topographic points within the
360 retina (e.g., Oyster et al., '81; Stone and Johnson, '81. This means that meaningful correlations between
361 cell size and functional class can only be made by considering size relative to the local distribution within
362 confined regions; absolute differences in size are, for all practical purposes, meaningless. If size does
363 correlate with functional class in tree shrews, then central-peripheral differences in the range of cell sizes
364 suggest that one or a few of the functionally defined classes of ganglion cells in tree shrews are
365 represented in the *area centralis*, while an increasing variety are represented at more peripheral locations
366 (Van Dongen et al., '76). Second, it is clear that although, in general terms, ganglion cell size increases
367 with decreasing cell density, it is probably more accurate to say that the distribution of relative cell sizes
368 at a particular locus shows a consistent inverse relationship to density, being narrow at central locations
369 and broader in the periphery. This is because when individual points are considered (even within the same
370 retina), the inverse relationship between size and density may break down. An example of this can be
371 seen in Figure 7 (retina 131R) where the mean size of ganglion cells from the visual streak (15,000
372 cells/mm²) is smaller than the mean for cells from *area centralis* (20,000 cells/mm²).

373 Although the trends described above apply generally to all centro-peripheral gradients in ganglion
374 cell density and size composition, regional differences are also apparent, the most striking being between
375 nasal and temporal retina or the retinal region lying temporal to the center of the *area centralis*. Our
376 results indicate that there are two principal differences between nasal and temporal retina in tree shrews.
377 First, the centropерipheral density gradient, particularly outside of the *area centralis*, is much steeper for
378 temporal retina, and consequently, mean cell size also increases at a faster rate. Second, the composition
379 of cell size classes in temporal retina differs such that, even at points of equal density, the relative
380 percentage of large cells is greater and the overall size distribution is broader than in nasal retina. Similar
381 nasal-temporal retinal differences have been noted in other mammals including brush-tailed possum
382 (Freeman and Tancred, '78), rabbit (Provis, '79; however, see Oyster et al., '81), grey fox (Rapaport et al.,
383 '78), dog (Osmotherly, '79), and some primates (Stone and Johnson, '81), suggesting that this type of
384 regional specialization may have a wide generality.

385 It is apparent that if ganglion cell density relates directly to visual acuity, then acuity in nasal
386 retina will be higher than that in temporal retina for points of equal eccentricity. However, resolution of
387 fine detail may be determined only by certain functional cell classes (e.g., Cleland et al., '71). Thus, an
388 accurate assessment of nasal-temporal functional differences may only be determined by an examination
389 of the relative distribution of physiological cell classes at equally eccentric locations. Differences in cell
390 size distribution suggest that such differences in the composition of physiological cell classes exist. By
391 analogy to work in the cat, cell size distribution differences in tree shrew would imply that, at
392 corresponding locations, there are proportionally more Y-cells and fewer W-cells in temporal than in
393 nasal retina (Stone, '78).

394 Taken together, these results strongly suggest that there are fundamental differences in the visual
395 information that is processed by the two retinal regions. If this is the case, it is conceivable that nasal and
396 temporal retina analyze different components of a visual stimulus (such as pattern or movement), and that
397 the traditional view of the visual system merging identical images from each eye should be modified.

398

399 *Central Areas of Specialization*

400 Central areas of specialization within the ganglion cell layer are typically defined by high cell densities,
401 although other criteria, such as blood vessel patterns, distribution of cell sizes, and functional classes,
402 have also been used to define these regions (see Rapaport and Stone, '84 for review of this issue). Based
403 upon ganglion cell density gradients, three types of retinal specialization can be identified. The first of
404 these is evident in some nocturnal mammals such as mice (Dräger and Olson, '81), rats (Fukuda, '77),
405 hamsters (Tiao and Blakemore, '76), and opossums (Hokoc and Oswald-Cruz, '79; Rapaport et al., '81).
406 The pattern in these species is characterized by a shallow decline of ganglion cell density away from a
407 central zone of increased density. The central zone of higher density, however, is not equivalent to an
408 *area centralis* since it represents part of the monocular, not the binocular segment of visual space and,
409 therefore, represents a specialized zone concerned with monocular vision (Rapaport and Stone, '84;
410 Jeffery, '85). A second cell dense central zone of specialization is seen in some diurnal or crepuscular
411 mammals, such as grey and ground squirrels, and rabbits (Davis, '29; Hughes, '71; Oyster et al., '81; Long

412 and Fisher, '83; but see also Provis, '79 for rabbit). These mammals show a similar shallow decline from a
413 central zone of high ganglion cell density except that the latter zone is horizontally elongated (a visual
414 streak), and isodensity lines of declining value are also horizontally elongated. Like the central zone of
415 high ganglion cell density described above for some nocturnal rodents, the visual streak is a specialized
416 region within nasal retina and may be more concerned with monocular viewing. The third, and most
417 commonly observed, zone of specialization is one in which a zone of higher ganglion cell density can be
418 recognized with the area of retina represented at the center of binocular visual field; a zone which we will
419 define here as a true *area centralis*. The *area centralis* is typically represented as a ganglion cell peak
420 located at the temporal boundary of a variably developed visual streak. An *area centralis* exists not only
421 in tree shrews, but also in carnivores (Stone, '65; '78; Hughes, '75, '77; Hebel, '76; Osmotherly, '79),
422 primates (van Buren, '63; Webb and Kaas, '76; DeBruyn et al., '80, '82; Stone and Johnson, '81), ungulates
423 (Hughes and Whitteridge, '73; Hebel, '76; Hughes, '77), and some marsupials (Freeman and Tancred, '78;
424 Tancred, '81).

425 If one considers each of the above-described types of central specialization as a reflection of
426 differential adaptations of the retinal mosaic to separate aspects of an animal's lifestyle, then the
427 organization of retina in tree shrews suggests that it supports at least two roles since both the *area*
428 *centralis* and visual streak are well developed. In fact, if one considers the marked nasal-temporal
429 difference as another type of regional specialization, one could argue that topography of the retina in tree
430 shrews supports three separate functional adaptations. Roles of each region of specialization are, in part,
431 suggested by their location, cell size composition, and central targets.

432 The tree shrew *area centralis* is located in the center of the binocular fixation axis. It is almost
433 devoid of blood vessels and contains the densest population of ganglion cells of uniformly small size. It
434 has long been argued that these characteristics in other mammals such as cats, allow for binocular
435 centering of fixation, higher spatial resolution, and possibly stereopsis (Hughes, '77). Personal
436 observations of tree shrews suggest that they face forward to inspect objects of interest, as would be
437 expected in order to align information with both *area centrales*. At present, it is unclear if tree shrews
438 possess stereopsis. However, assuming that tree shrews at least use the *area centralis* for obtaining finer

439 resolution, then it is theoretically possible to predict their acuity based upon cell density, according to
440 Shannon's sampling (Goldman, '68), since receptor to ganglion cell ratios are nearly 1:1. Using a mean
441 retinal circumference of 13 mm (average of 5 retinae) and a visual field extent of 180 degrees (Lane et al.,
442 '71), a magnification factor of 0.07 mm/degree is obtained. Assuming that the peak cell value of (20,200
443 cells/mm²) is representative, then an area of one square degree would intersect the receptive fields of 99
444 retinal ganglion cells (20,200 cells/mm² x (0.07 mm/degree)² = 99 cells/square degree), and a line one
445 degree long would intersect the fields of 10 cells. This value corresponds to a cutoff frequency of 5
446 cycles/degree or a minimum resolvable bar width of 6 minutes of arc. This value is in good agreement
447 with the figure of around 3 cycles/degree reported behaviorally by Petry et al. ('84) for tree shrews using
448 extrapolation from contrast sensitivity functions. However, it is noteworthy that in order to arrive at the
449 behavioral acuity of 1 minute of arc reported for tree shrews by Ordy and Samovajski ('68) tree shrews
450 would have to possess a peak density of 735,000 cells/mm² , or roughly 110 layers of retinal ganglion
451 cells.

452 Assuming that the tree shrew's *area centralis* is adapted to provide high resolution binocular
453 information, then what additional information is provided by the possession of an elongated adaptation
454 for monocular viewing such as the visual streak? Answers to this question have been sought in correlating
455 lifestyle with the possession of a streak in mammals or birds who possess a similar adaptation (the linear
456 area) (see Meyer, '77 and Hughes, '77 for review). Visual streaks have been identified in both nocturnal
457 and diurnal species, as well as predators and prey. In general, this specialization appears to be associated
458 with birds and mammals that inhabit open spaces seeking food on the ground. From the latter observation,
459 it has been argued that the visual streak may help in detection of objects on the horizon (the terrain
460 theory), in animals that remain in a more or less fixed relationship to this landmark, i.e., on the ground
461 (Hughes, '77). However, the existence of the visual streak in tree shrews and primates (Stone and
462 Johnson, '81; DeBruyn et al., '82) is inconsistent with this theory since tree shrews are mostly, although
463 not exclusively, arboreal and reside in tropical forests where dense foliage would interfere with a view of
464 the horizon. A second theory on the function of the visual streak suggests that it enhances the ability of an
465 animal to detect weak visual cues (Rowe and Stone, '76). This might hold for nocturnal carnivores such as

466 the cat but does not fit with the strictly diurnal lifestyle and nearly all cone retina of tree shrews (Immel
467 and Fischer, '82). A third possibility that has been suggested to explain this specialization in birds is that it
468 may aid in the stabilization of the visual field for detection of movement while the bird is in motion
469 (Meyer, '77). This idea would fit well with the lifestyle of the tree shrew since tree shrews make
470 extremely rapid adjustments of head and body in space, both in relation to stationary objects and small
471 moving prey (winged insects) or predators (human with a large net). Moreover, the tree shrew superior
472 colliculus, which may be involved in processing such information, also is well developed and shows a
473 retinotopically expanded representation of the visual streak (see Fig. 6, Lane et al., '71).

474

475 *Is the tree shrew retina primate-like?*

476 The relative development of the visual system played a key role in arguments favoring the inclusion of
477 the Tupaiids within the primate order (LeGros Clark, '59). In partial support of this argument, LeGros
478 Clark ('59) emphasized the similarity between the vascularity pattern of the tree shrew retina, in which
479 blood vessels are nearly absent from the *area centralis*, and that of primates. However, this vascularity
480 pattern is seen in many other mammals with a well-developed *area centralis* and does not, in and of itself,
481 support such an evolutionary relationship. In fact, the lack of a fovea, shallow centroperipheral cell
482 density gradient, well developed visual streak, and virtual absence of rods, with no corresponding
483 evidence of a scotopic function in tree shrews would suggest that these mammals developed rather
484 different visual adaptations from those typical of primates. Moreover, in primates, particularly diurnal
485 primates, the major emphasis of the retina is upon binocular vision as attested to by the sharp cell density
486 drop-off outside of the parafovea or *area centralis*. In contrast, in tree shrews, the monocular visual
487 specializations, (i.e., the visual streak and shallow cell density gradients), dominate the retina. It could be
488 argued that such monocular specializations would be expected in the lateral-eyed mammals. However, as
489 discussed above, lateral eyed mammals such as mice and rats (Dräger and Olson, '81; Fukuda, '77), lack a
490 visual streak and, in some cases, exhibit other forms of central specialization. If one adds to these
491 observations the fact that many details of the organization of central visual targets of the retina of tree
492 shrews show major differences from those described in primates and that a percentage of ganglion cells in
493 tree shrews exhibit physiological properties such as direction and orientation selectivity not found in

494 primates (Van Dongen et al., '76), it is evident that the few similarities that exist between the retinal
495 organization of tree shrews and primates could easily be attributed to evolutionary convergence.
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