

1 The Organization of Retinal Ganglion Cells in the Tree Shrew
2 (*Tupaia belangeri*). III. Central Projections of Different Ganglion Cell
3 Populations

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30 Running Head: Tree Shrew Ganglion Cell Projections
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ABSTRACT

45
46 The size distributions, percentages, and in some cases morphological characteristics of retinal ganglion
47 cells projecting to different subcortical targets and their subdivisions in tree shrews (*Tupaia belangeri*)
48 were determined from whole-mounted retinæ using the retrograde transport of either horseradish
49 peroxidase (HRP) alone or conjugated to wheat germ agglutinin (WGA-HRP) to identify cells. The major
50 focus of the study compared ganglion cells projecting to the layers of the two main targets of the retina,
51 namely, the dorsal lateral geniculate nucleus (LGNd) and superior colliculus. Following large injections
52 which involved all six layers of the LGNd, 54% of the ganglion cells of all sizes are labeled in the area of
53 densest reaction product contralaterally. Ipsilaterally, 44% of the ganglion cells are labeled. The
54 difference in the proportion of labeled cells is due to a reduction in small ganglion cells. Results of
55 injections restricted to individual layers, or pairs of layers, show that ganglion cells projecting to layers 3
56 and 6 are, on average, small (1 – 34th percentile of local cell sizes) in size, while those projecting to the
57 remaining layers are medium (35 – 74th percentile) and large (75 – 99th percentile) in size. Ganglion cells
58 projecting to the two layers (1 and 2) known to contain physiologically defined ON-center cells (Conway
59 and Schiller, '83) can, in turn, be distinguished from those projecting to the layers (4 and 5) containing
60 OFF-center cells, based upon the ramification of their dendrites within the inner plexiform layer of the
61 retina; the former ramify closer to the ganglion cell layer than the latter.

62 Ganglion cells projecting to the two physiologically and morphologically distinct subdivisions of
63 superficial grey layer (stratum griseum superficiale, SGS) of the superior colliculus, also form distinct
64 populations (Albano, et al., '78; Graham and Casagrande, '80). In cases involving both subdivisions of the
65 SGS, an average of 54% of the ganglion cells of all sizes, and almost all previously defined
66 morphological types (DeBruyn and Casagrande, '86b), are labeled contralaterally; in no case did we
67 observe labeled cells in the ipsilateral retina. Results of cases with more restricted injections show that the
68 superficial subdivision of the SGS receives input mainly from small ganglion cells while the deep
69 subdivision of the SGS receives input mainly from the largest ganglion cells. Ganglion cells projecting to
70 both the superficial and deep subdivisions of the SGS representing the contralateral hemifield can, in turn,

71 be distinguished from those projecting to the dorsal cap of the colliculus representing the ipsilateral nasal
72 field: the latter appear to be mainly medium in size.

73 We also analyzed the size distribution of ganglion cells projecting to four other retinal targets.
74 Results show that the lateral and medial terminal nuclei of the accessory optic system receive input from
75 large ganglion cells, the pretectum principally from medium and large ganglion cells, and the ventral
76 lateral geniculate nucleus mainly from small and large ganglion cells. As with the LGNd and colliculus,
77 the size distribution and percentages of labeled ganglion cells projecting to these targets varies depending
78 upon which subdivisions of each of these areas are involved and whether the projections originate in nasal
79 or temporal retina.

80 These results, combined with our analysis of the density, distribution, size, and morphological
81 types of retinal ganglion cells (DeBruyn and Casagrande, '86a, b), suggest that, although some classes of
82 ganglion cells clearly innervate more than one central target, each subcortical nucleus, subdivision, or
83 layer receives projections from a different subset of ganglion cell classes and, thus, is provided with
84 different visual information.

INTRODUCTION

85
86 In an earlier communication (DeBruyn and Casagrande, '86b), we showed that tree shrew retinal ganglion
87 cells can be subdivided into three major types (I, II, III) which, respectively, share a number of features in
88 common with alpha, beta, and gamma cells in cat retina (Boycott and Wässle, '74). Like cat ganglion
89 cells, tree shrew ganglion cell classes show rough division by size; type I cells are, on average, larger than
90 type II cells which, in turn, tend to be larger than type III cells. In tree shrews these three morphological
91 cell types can be subdivided further into five classes or clusters based upon a quantitative cluster analysis.
92 Comparisons between the three types and five clusters suggest that clusters 1 and 2 subdivide type I cells,
93 cluster 3 and type II cells refer to an almost identical population of cells and clusters 4 and 5 roughly
94 subdivide type III cells. By comparing the distribution and morphological characteristics of ganglion cell
95 classes in tree shrews with identified physiological classes (Van Dongen et al., '76; Ter Laak and
96 Thijssen, '78), we were able to argue for certain correlations, the most obvious being between a
97 subdivision of the morphological type I cells (cluster 2 cells) and the physiological Y-like cells, and
98 between the morphological type II cells (or cluster 3 cells) and the physiological X-like cells. Since
99 studies in other species (Rodieck and Brening, '83) have provided evidence that ganglion cells in different
100 morphological/physiological groups project centrally via separate channels that preserve functional
101 differences established in the retina, the major goal of the present study was to determine the central
102 targets of different classes of tree shrew ganglion cells and to compare the results with what is known
103 about the functional organization of these central targets.

104 The central visual system of tree shrews lends itself well to this goal since major retinal targets
105 are well developed, highly differentiated, and a considerable volume of work has been devoted to their
106 anatomical and physiological organization. For example, the dorsal lateral geniculate nucleus (LGNd)
107 contains six distinct layers that can be grouped according to physiology, morphology, and connections
108 into two pairs of matched layers (1,2 and 4,5) and two additional unmatched layers (3 and 6). The
109 matched layers are matched in the sense that, although they receive input from different eyes, they are
110 otherwise similar in organization; layers 1 and 2 contain medium and large X and YON-center cells and
111 send axons to the upper tier of layer IV of striate cortex, while layers 4 and 5 contain medium and large X

112 and Y OFF-center cells and send axons to the lower tier of layer IV. The unmatched contralaterally
113 innervated layers (3 and 6) contain mainly small cells with a mixture of ON- and OFF-center (layer 3) or
114 OFF- and ON-/OFF-center (layer 6) W cells, and project to different sublayers of supragranular striate
115 cortex (Norton, pers. commun.; for review see also Casagrande and Brunso-Bechtold, '85). It seems
116 likely, given the laminar differences in the LGNd, that different ganglion cells classes are involved in
117 projections to the different layers.

118 The tree shrew superior colliculus is also well developed and exhibits a thick superficial grey
119 layer (the main recipient layer of the retina) which can be subdivided into superficial and deep sublayers
120 based upon cell morphology, physiology, and connections. The superficial tier contains small fusiform
121 cells which have small ON/OFF receptive fields (S-R cells), and project heavily to the dorsal and ventral
122 lateral geniculate nuclei. The lower tier contains large cells, with wide radiating dendrites and large
123 receptive fields, many of which are movement sensitive (M-S cells) and which project to the pulvinar
124 nucleus (Albano et al., '78; '79; Graham and Casagrande, '80; Irvin et al., '83). As with the layers of the
125 LGNd, the sublaminar organization of the superior colliculus suggests that different populations of retinal
126 ganglion cells project to the two subdivisions.

127 Many of the other targets of the retina also are highly developed in tree shrews and show internal
128 specialization. The ventral lateral geniculate nucleus contains at least three layers or subdivisions and the
129 pretectum is made up of five nuclei; each of these subdivisions and nuclei can, in turn, be distinguished
130 by cytoarchitectural and connectional means, (Weber and Harting, '80) and as our results will show, by
131 retinal ganglion cell input.

132 In the present report we focused our main efforts on making distinctions between ganglion cells
133 projecting to the layers and subdivisions of the main retinal targets, namely, LGNd and superior
134 colliculus. Less effort was devoted to defining differences between ganglion cells projecting to
135 subdivisions of the remaining retinal targets; the ventral lateral geniculate nucleus (LGNv), the medial
136 and lateral terminal nuclei (MTN and LTN), and the pretectal nuclei. Some of the results were the subject
137 of an earlier communication (DeBruyn and Casagrande, '78).

138

METHODS

139
140 The present results are based upon 52 injections of tracer made in 35 tree shrews (*Tupaia belangeri*).
141 Injections contained either horseradish peroxidase (HRP) or horseradish peroxidase conjugated to the
142 lectin wheat germ agglutinin (WGA-HRP) administered under pressure or iontophoretically into
143 subdivisions of nuclei known to receive retinal projections, i.e., the dorsal and ventral lateral geniculate
144 nuclei (LGNd and LGNv), superior colliculus (SC), pretectum (Pt), and the medial and lateral terminal
145 nuclei of the accessory optic system (MTN and LTN).

146

147 *Surgical procedures*

148 The surgical procedures were similar to those described in detail elsewhere (DeBruyn and Casagrande,
149 '86b). Briefly, the following procedures were employed. For pressure injections each animal was initially
150 anesthetized with pentobarbital (Nembutal, 55 mg/kg) and placed in a stereotaxic frame for surgery. Sites
151 for pressure injections were guided by stereotaxic coordinates; volumes ranging from 0.1 – 1.7 μ l of 20%
152 – 50% HRP (Sigma, type IX) or 5% WGA-HRP (courtesy of Dr. Russell Carey) in saline or 2%
153 dimethylsulfoxide were injected over a 15- to 30-minute period using a 5 μ l Hamilton syringe equipped
154 with a 30G blunt needle. Following each injection, the needle was allowed to remain in place for an
155 additional 15 minutes to avoid drawing tracer back along the injection tract. In some animals, portions of
156 the posterior or temporal cortex were aspirated to allow direct visualization of the superior colliculus or
157 the optic tract adjacent to the thalamus. In cases where the size of the injection was restricted to single
158 layers or subdivisions, tracers were iontophoresed into target areas using a glass micropipette (tip
159 diameter 20 – 30 μ m) and applying a pulsed 2 – 3 μ amp current for 20 – 30 minutes (1 – 1.5/sec: 500 msec
160 duration). As with the pressure injections, the pipette was allowed to remain in the brain an additional 15
161 minutes following the end of the injection.

162 In cases where injections were made into individual LGNd laminae, procedures were modified
163 slightly to allow for recording visually evoked responses. The animals were anesthetized with ketamine
164 hydrochloride (125 mg/kg) and dilute (5.0 mg/ml) Nembutal (7.5 mg/kg). A cannula was inserted into the
165 femoral vein through which supplemental doses (2.5 mg/kg) of Nembutal were administered as required

166 to maintain adequate anesthesia levels. The pupils were dilated with a 1% solution of atropine sulfate and
167 the corneas protected with zero power contact lenses. The LGNd was approached horizontally through the
168 temporal lobe using visually evoked multiunit potentials recorded via parlene coated tungsten electrodes
169 (BAK, impedance 0.7 – 1.6 M at 1 KHz). Specific layers were identified by noting shifts in the ocular
170 dominance of the responses and whether the predominant response was to the onset or offset of a light
171 spot.

172 In agreement with Conway and Schiller ('83), we noted that cells in medial layers (1 and 2)
173 always gave strong ON responses and cells in the lateral layers (4 and 5) gave strong OFF responses to a
174 flashing light. However, unlike Conway and Schiller, we found that cells in layer 3 (confirmed by
175 injection placement) gave weak ON-OFF responses not purely OFF responses. Responses from layer 6,
176 (an extremely narrow layer) were difficult to elicit, but occasionally faint ON-OFF responses were
177 recorded. Following identification of the layer of interest, the electrode was replaced by a glass
178 micropipette, the location of the layer confirmed by recording through the pipette, and iontophoresis was
179 initiated as described above.

180

181 *Histological Procedures*

182 Following a 48-hour survival period, the animals were deeply anesthetized with Nembutal, the eyes were
183 removed, and the animals were perfused with either 2.5, 4 or 5% buffered glutaraldehyde – 2%
184 paraformaldehyde. The retinae were then removed from the eyes, and immersion fixed in 2.5%
185 glutaraldehyde. Following the fixation, the retinae were briefly rinsed in buffer and reacted either using
186 Hanker-Yates reagent (Hanker et al., '77) or 3'3-diaminobenzidine hydrochloride (DAB, LaVail and
187 LaVail, '74) as a chromagen, whole mounted, dehydrated and coverslipped as described previously
188 (DeBruyn and Casagrande, '86a, b). The brains were allowed to equilibrate in 30% sucrose fixative and
189 then were frozen-sectioned coronally at 52 μ m. Every section through the injection site and selected
190 sections through the remainder of brain were reacted with the same procedures used on the retinae. The
191 sections were then mounted, stained with cresyl violet, dehydrated, and coverslipped.

192 Measures of ganglion cell number and cell density were made within 0.01 mm² fields in the
193 densest area of label. Within these fields, outlines of labeled cells were drawn at 1000X using a *camera*
194 *lucida* drawing tube (Zeiss). Later, the retinae were counterstained with cresyl violet and outlines of all
195 unlabeled cells within the same field were drawn (DeBruyn and Casagrande, '86b). Subsequently,
196 percentages of labeled versus unlabeled cells and cell areas were calculated and statistically compared
197 with the aid of the Bioquant II image analysis system (E. Leitz).

198

199 *Potential Sources of Error*

200 Correct interpretation of results following injections of either HRP or WGA-HRP requires that one be
201 sensitive to some of the problems and limitations of the use of these tracers (see also Bunt et al., '74;
202 Winer, '77; Vanegas et al., '78). Four issues were of special concern to us.

203 First, many of our pressure injections of HRP resulted in a mismatch between the extent of the
204 injection site and the extent of labeled cells in the retina; the injection sites always appeared to be larger
205 than the area of labeled cells. This problem was not encountered following iontophoretic injections of
206 either HRP or WGA-HRP, presumably due to minimal diffusion. In order to accurately discriminate the
207 effective area of the injection in the pressure injection cases, we analyzed several superior colliculus cases
208 in particular detail. Analysis of these cases had the advantage that the extent of the injection sites could be
209 plotted on a map of the visual field (Lane et al., '71) and then compared to the area of labeled cells in the
210 retina. As reported by others (Jones and Leavitt, '74; Vanegas et al., '78), we confirmed that the effective
211 injection site corresponded only to the area of dense reaction product and did not include the entire extent
212 of the diffuse light brown haze surrounding the denser core (see Fig. 1A).

213 A second concern was potential misinterpretations due to labelling cut fibers of passage with
214 HRP (Bunt et al. '75). As a result, we resorted to the use of WGA-HRP in situations (e.g., laminar LGN
215 injections) where such labelling could confound interpretation; WGA-HRP does not appear to invade cut
216 axons with the central nervous system (Steindler, '82). In addition, we found that injections of HRP
217 involving both the optic tract and adjacent areas of interest (Fig. 1A), or the optic tract alone, tended to
218 result in a wide scatter of labeled cells in the retina. In contrast, HRP injection sites not involving the

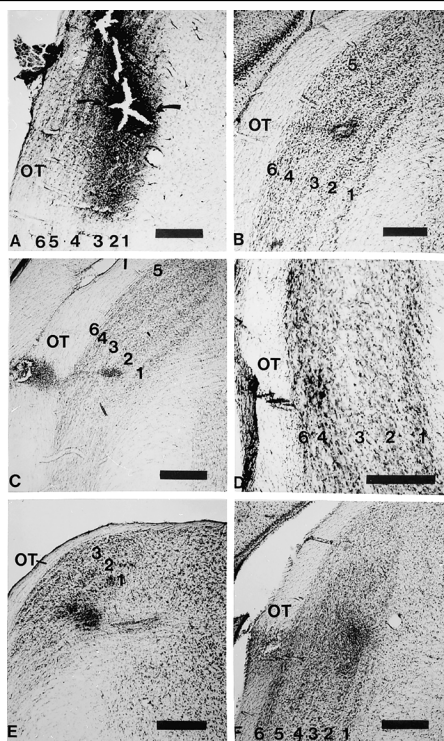
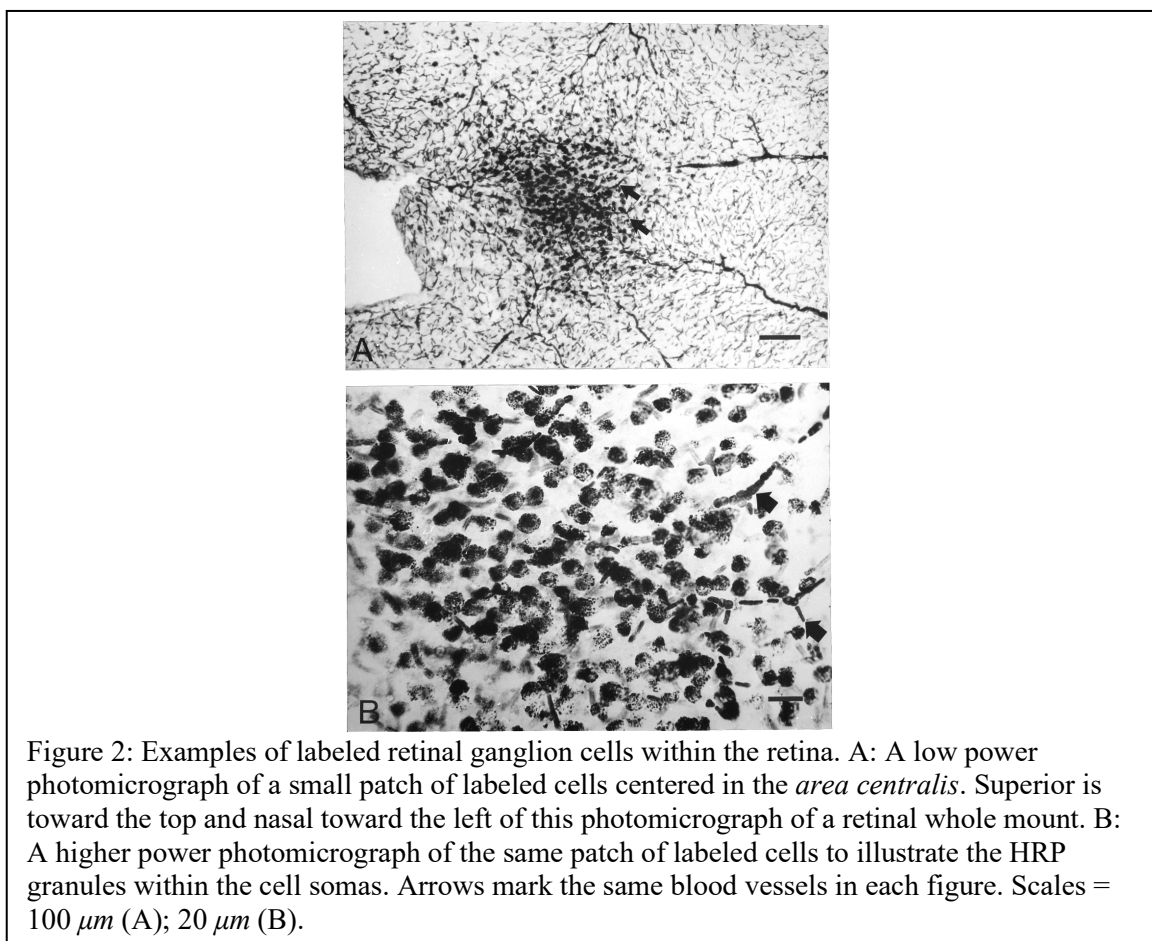


Figure 1. Examples of HRP or WGA-HRP injection sites in the dorsal lateral geniculate nucleus (LGNd) shown in photomicrographs of coronal Nissl counterstained sections from six cases. Examples of the extent of pressure (A) or iontophoretic (B-F) injection sites. A: A larger pressure injection which involved all layers of the nucleus as well as the optic tract. Note the central core of darker reaction product (arrow) which apparently represents the effective injection site (see Methods). B: A small injection which involved layer 3 of the LGNd and part of the interlaminar space between layers 3 and 4. C: A small injection involving layers 2 and 3 of the LGNd. D: A very small injection restricted to layer 4 of the nucleus. E: A large injection centered in the white matter medial to the LGNd but involving layer 1 also. F: A large injection centered in layers 2 and 3, involving all layers except layer 1. Scales = 500 μ m. OT, optic tract; numerals refer to the layers of the LGNd.

219 optic tract (Fig. 1B) resulted in small patches of densely labeled cells (Fig. 2). The most likely
 220 interpretation of the above, the position which we take in interpreting the present results, is that the
 221 scattered, labeled ganglion cells represent uptake from interrupted optic tract axons and the denser foci of
 222 labeled cells represent the pattern of projections to the labeled structure of interest. A related point of
 223 concern is with the determination of percentages of labeled ganglion cells projecting to different areas
 224 following injections of varying size. It is extremely difficult to be certain that, even in the darkest zones of
 225 the injection (the presumptive effective zone), all ganglion cells projecting to that zone will effectively
 226 transport the label. Very small injection sites may involve only parts of the axonal arbors of ganglion cells
 227 which may not produce a recognizably labeled ganglion cell, resulting in lower estimates of percentages



228 of labeled cells. Therefore, comparison of results concerning the relative percentages of labeled ganglion
 229 cells following injections into different retinal targets should be viewed only as rough estimates.

230 A third point of concern is with interpretation of absolute cell size. As discussed in an earlier
 231 communication (DeBruyn and Casagrande, '86a), absolute retinal ganglion cell size measures are almost
 232 meaningless since relative size varies with cell density, and, thus, eccentricity. Also, cell size is
 233 influenced somewhat by histological treatment and may vary individually between animals (Hughes, '81).
 234 Therefore, as in the past we have described the size of labeled cells as a percentile figure relative to the
 235 size of the total population of ganglion cells in the area measured.

236 A final concern, one that has plagued others (Bunt et al., '74), is with the potential selectivity of
 237 the label and sensitivity of chromagens used to identify that label. It has been suggested that some small
 238 retinal ganglion cells may not transport HRP or may not transport enough of the enzyme to be detectable
 239 using less than the most sensitive chromagens. However, since some of our HRP injections labeled the
 240 smallest ganglion cells, it seems unlikely that the label itself or our methods discriminate against this

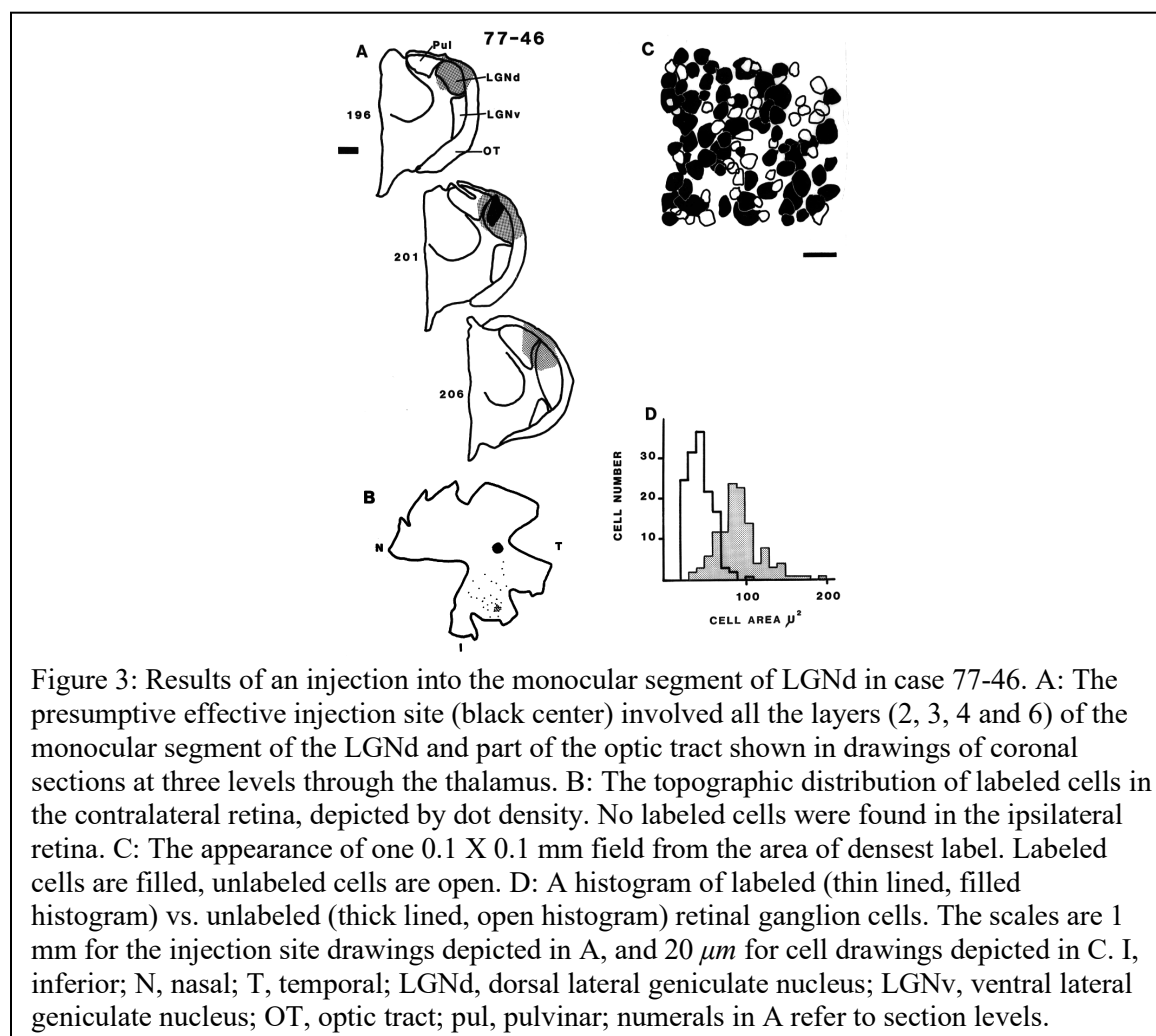
241 population. On the other hand, since in the majority of our cases, the mean for the unlabeled population
242 was small, we cannot rule out the possibility that some small cells are not labeled due to technical
243 difficulties.

244 RESULTS

245 *The Dorsal Lateral Geniculate Nucleus (LGNd)*

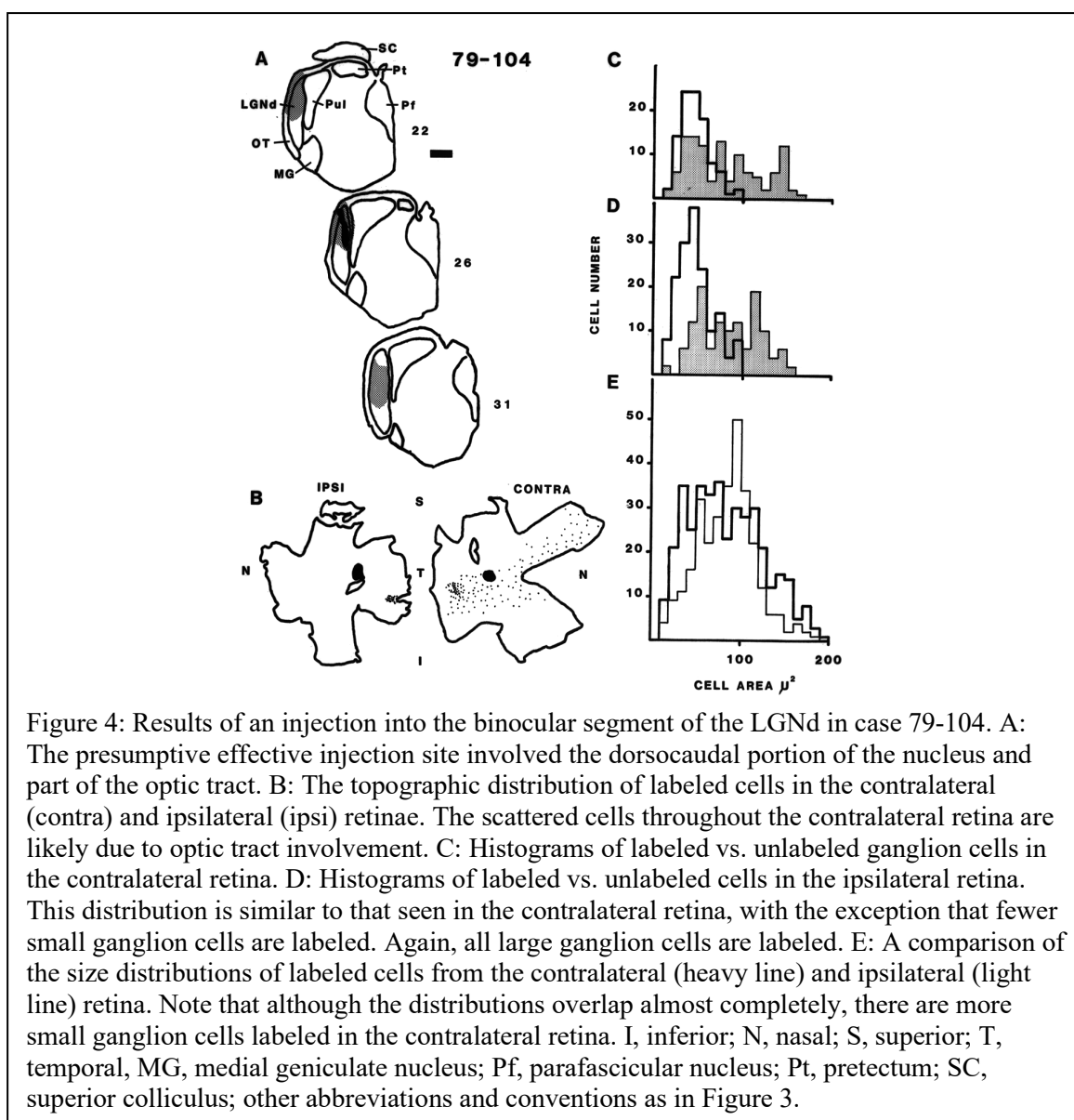
246 The tree shrew LGNd consists of six cytoarchitectonically distinct layers; two of which receive uncrossed
 247 (1 and 5) and four of which received crossed (2, 3, 4, and 6) input. Pressure injections involving the entire
 248 LGNd were made in 5 animals; and resulted in labelling a maximum of 56% of the ganglion cells
 249 (range = 52.3 – 55.9%).

250 In case 77-46 the injection included all four layers of the monocular segment (Fig. 3A) and
 251 labeled cells were found only within the contralateral retina at a point roughly corresponding to the
 252 representation of the dense core of reaction product in the injection site, in the inferior nasal retina (Fig.
 253 3B). Fifty-two percent of ganglion cells in the area of densest reaction product were labeled. Histograms
 254 of labeled vs. unlabeled cells (Fig. 3C) show that while labeled cells fall into all size classes, the majority



255 of labeled cells are medium and large in size relative to the local population with a mean (40.5th
 256 percentile \pm 1.46 S.E.) in the range of the medium size cells. It is noteworthy that all of the largest cells
 257 (top 25% of the size range) of the local population contained label while very few of the smallest cells
 258 were labeled.

259 In case 79-104 the injection site involved the dorso-caudal binocular segment of the nucleus as
 260 well as a small portion of the optic tract (Fig. 4A). In addition to the dense patches of labeled cells within
 261 the area centralis of both retinae, a random scattering of labeled cells was found in the contralateral retina
 262 (Fig. 4B). As noted in the methods, the scattered labeled cells in the contralateral retina likely reflect
 263 filling of cut optic tract fibers rather than uptake from the injection site in the LGNd. Examination of the

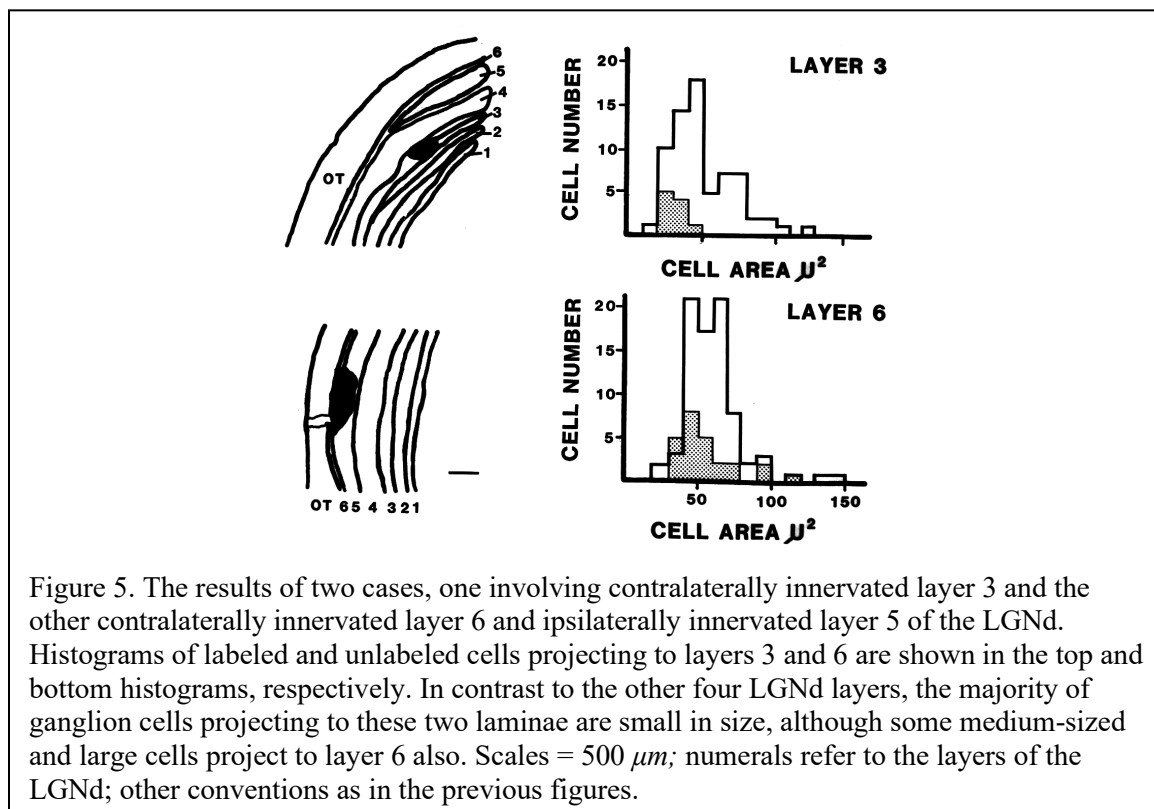


264 frequency histogram of the size of labeled cells in the contralateral retina revealed that contralaterally
265 innervated LGNd layers (2, 3, 4 and 6) in the binocular segment receive projections from approximately
266 54% of ganglion cells of all sizes (Fig. 4C), although the distribution of labeled cells (mean - 39.9th
267 percentile \pm 3.48 S.E.) appears broader and involves more of the smallest cells than in the previous case.
268 It is unclear whether the difference in the labeled versus unlabeled distributions of ganglion cells
269 projecting to the monocular and binocular segments reflects real differences in the proportion of
270 morphological classes projecting to the two LGNd divisions or is simply a reflection of differences in
271 injection size or involvement of different LGNd layers (see also below).

272 In the ipsilateral retina of case 79-104, the histogram (Fig. 4D) shows a distribution of labeled
273 cells (mean - 44.9th percentile \pm 2.46 S.E.) similar to that seen in the contralateral retina, with the
274 exception that fewer small ganglion cells are labeled and fewer cells (44%) are labeled overall. When the
275 size distributions of labeled cells from both retinæ are compared (Fig. 4E), it is evident that, with the
276 exception of the smallest cells, they overlap almost completely. The additional small cells labeled
277 following injections involving all four contralaterally innervated layers (2, 3, 4, 6) likely reflect the
278 presence of two additional contralateral layers (3 and 6), a point which is reinforced by results of
279 injections into individual layers, presented below.

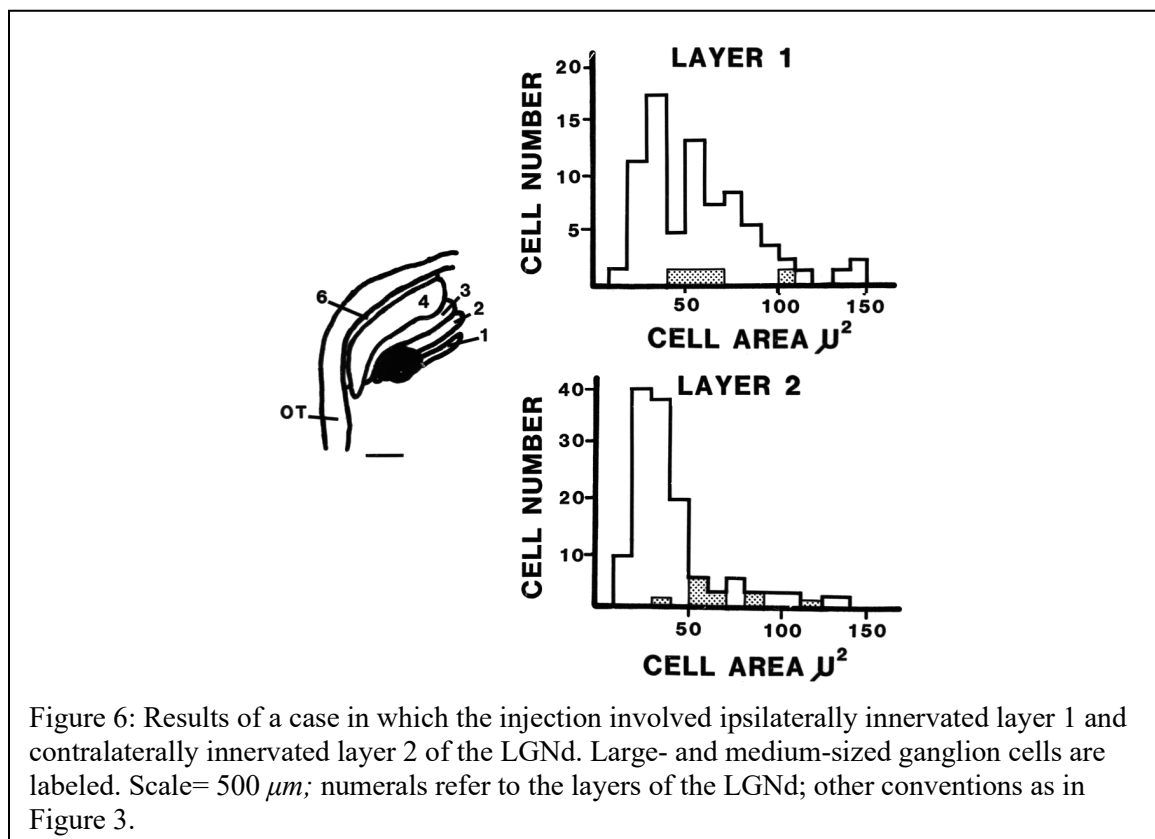
280 *Geniculate laminar analysis* - In order to study the sizes of ganglion cells which project to
281 individual laminae, 10 tree shrews received iontophoretic injections into single or multiple layers of the
282 LGNd. The results of these experiments are best illustrated by five representative injections involving
283 each of the six geniculate layers (Figs. 5 – 7). Due to the restricted size of the injections only small
284 numbers of ganglion cells were labeled in each case, and thus, it was impossible to draw accurate
285 conclusions concerning the percentages of ganglion cells projecting to different geniculate layers.
286 However, analysis of ganglion cell sizes as well as some of their morphological characteristics clearly
287 revealed differences between the cells projecting to the different geniculate layers or pairs of layers.

288 Injections restricted to, or principally involving layer 3 (see Fig. 1B and Fig. 5), label only small
289 ganglion cells (1 – 34th percentile of the local population). Similarly, injections centered in layer 6

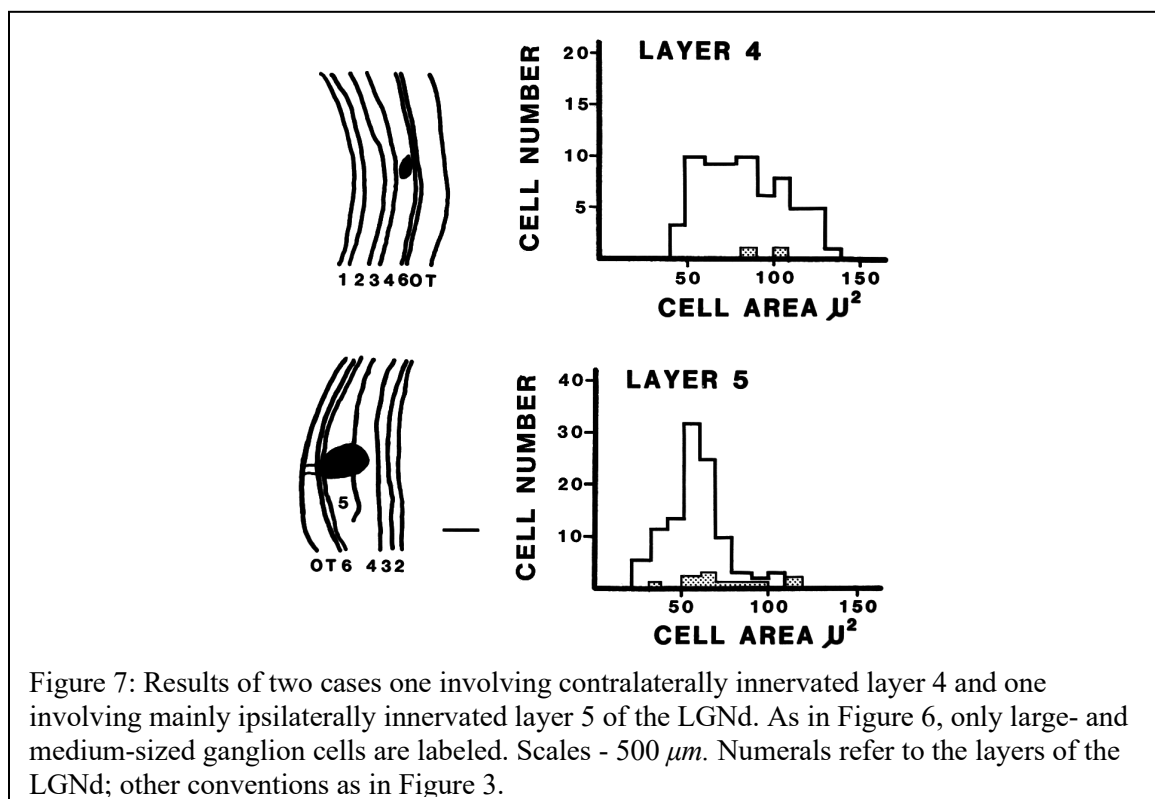


290 involving also ipsilaterally innervated layer 5 (Fig. 5), label mainly small ganglion cells, although the size
 291 distribution of labeled cells is broader than that seen following layer 3 injections and includes some
 292 medium (35th – 74th percentile) and large (>74th percentile) ganglion cells (Fig. 5).

293 In contrast, injections restricted to the remaining four LGNd layers (1, 2, 4, and 5) result in
 294 labeled ganglion cells that are almost entirely medium and large in size relative to the local population
 295 (see Figs. 6 and 7). The ganglion cells projecting to these four layers can, in turn, be divided into two
 296 distinct populations based upon differences in the level of dendritic branching in some fortuitously well-
 297 filled cells. Of 26 cells (19 projecting to layers 4 and 5, 7 projecting to layers 1 and 2) in which the level
 298 of dendritic branching could be determined, all cells projecting to layers 4 and 5 branched high in the
 299 inner plexiform layer (IPL), near the inner nuclear layer, while those projecting to layers 1 and 2 branched
 300 lower within the IPL. It should, however, be stressed that these morphological distinctions be viewed with
 301 caution due to the incomplete filling in some of these cells, and due to the fact that dendritic branching
 302 depth is difficult to determine accurately in whole-mounted retinae. Nevertheless, the fact that dendrites
 303 on ON- and OFF-center ganglion cells in other species (e.g., Nelson et al., '78) terminate within separate
 304 tiers of the IPL, and that cells in LGNd layers 1 and 2 respond to the ON-set of light, while cells in layers



305 4 and 5 respond predominantly to the OFF-set of light (present study and Conway and Schiller, '83),
 306 suggest that the morphological distinctions we have identified are valid. We will return to this point in the
 307 discussion.



308

309 *The Superior Colliculus*

310 The tree shrew superior colliculus is large, with well-developed layers. Retinal input projects to the
 311 superficial three layers terminating mainly within the thick grey layer, stratum griseum superficiale
 312 (SGS). The SGS can, in turn, be subdivided into at least two cytoarchitectonically distinct sublayers, the
 313 superficial (SGS_s) and deep (SGS_d) subdivisions (Graham and Casagrande, '80). A total of 16 separate
 314 injections (in 4 animals) were made into portions of the superficial layers of the colliculus in areas which
 315 represent both the monocular and binocular segments of the contralateral hemifield (Lane et al., '71). In
 316 none of these cases were we able to identify labeled ganglion cells in the ipsilateral retina, presumably
 317 due to the fact that this pathway is either very weak or absent in tree shrews (Conley et al., '85). In all 16
 318 of the SGS cases injection sites included both subdivisions of the SGS.

319 Due to the consistent pattern of label in the contralateral retina in all of the above cases, the
 320 results of only three injections in one animal (78-33) are represented (see Fig. 8). In this case, injections
 321 into the middle or posterior portions of the colliculus which represent the physiologically defined

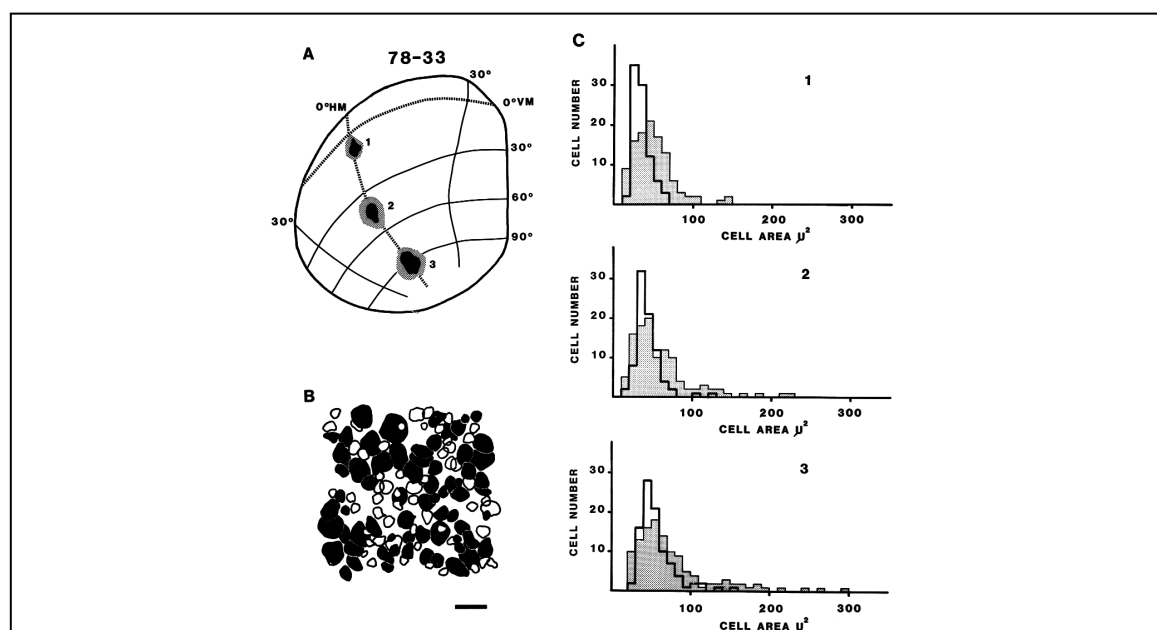
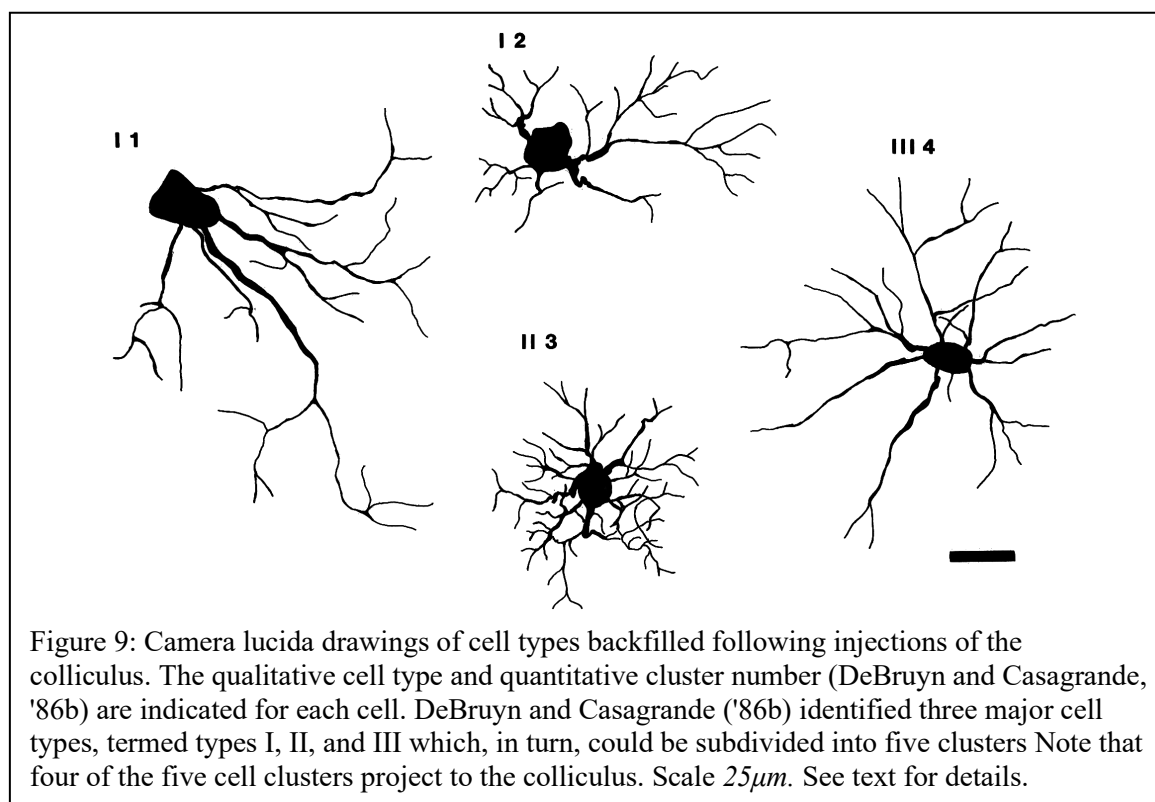
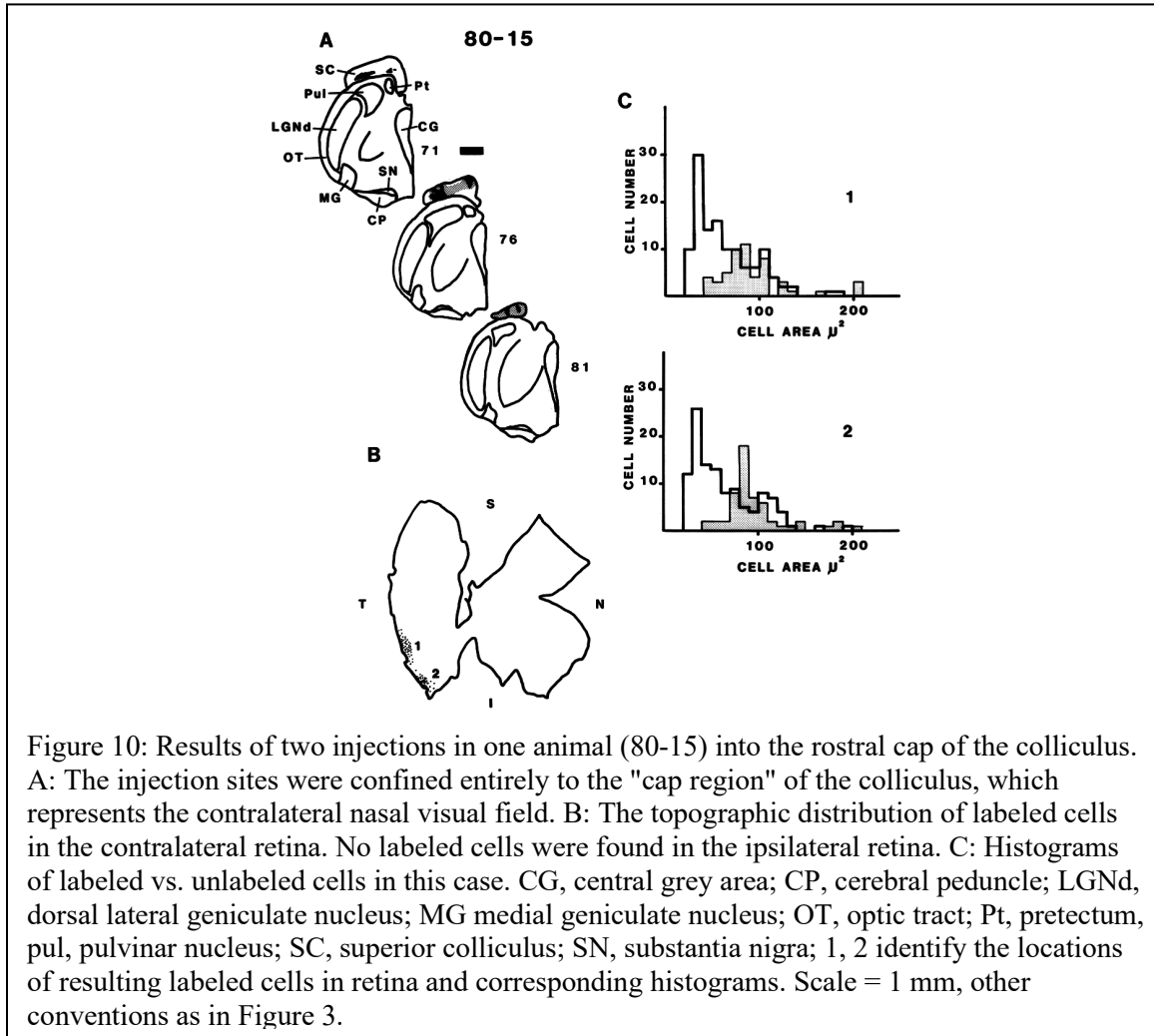


Figure 8: Results of three separate injections into the superior colliculus in one animal (78-33). All three injection sites were large enough to involve both the superficial and deep sublaminae of the superficial grey layer. A: A dorsal reconstruction of the injection sites. The map of the visual field is modified from Lane et al. (1971). B: The appearance of one 0.1 x 0.1 mm field from the retinal area containing the most densely labeled cells. Scale bar - 20 μm . C: Histograms of labeled vs. unlabeled cells illustrated separately for each injection site. The numbers of the sites correspond to the histograms in C. HM, horizontal meridian; VM, vertical meridian.

322 binocular and monocular segments, respectively, resulted in label in 56% of the cells within the densest
 323 patches of reaction product. The size distribution of labeled cells from all three injection sites appear
 324 similar to that seen in the contralateral retina following large LGNd injections with the exception that
 325 there is a greater tendency for small ganglion cells to project to the colliculus. As with extensive LGNd
 326 injections, virtually all of the largest ganglion cells are labeled after collicular injections involving both
 327 subdivisions of the SGS, suggesting that the largest ganglion cells have bifurcating axons that innervate
 328 both the LGNd and the colliculus. As with the LGNd there are also a few instances when injections
 329 produced cells whose dendrites were well filled, twenty-two such cells were drawn and were classified
 330 according to the morphological scheme developed in our previous communication (DeBruyn and
 331 Casagrande, '86b). Figure 9 shows the dendritic morphology of some of these cells and indicates their
 332 morphological type and subtype. If these cells are representative, then it appears that all morphological
 333 types (with the possible exception of one subclass, cluster 5) send axons to the superior colliculus.



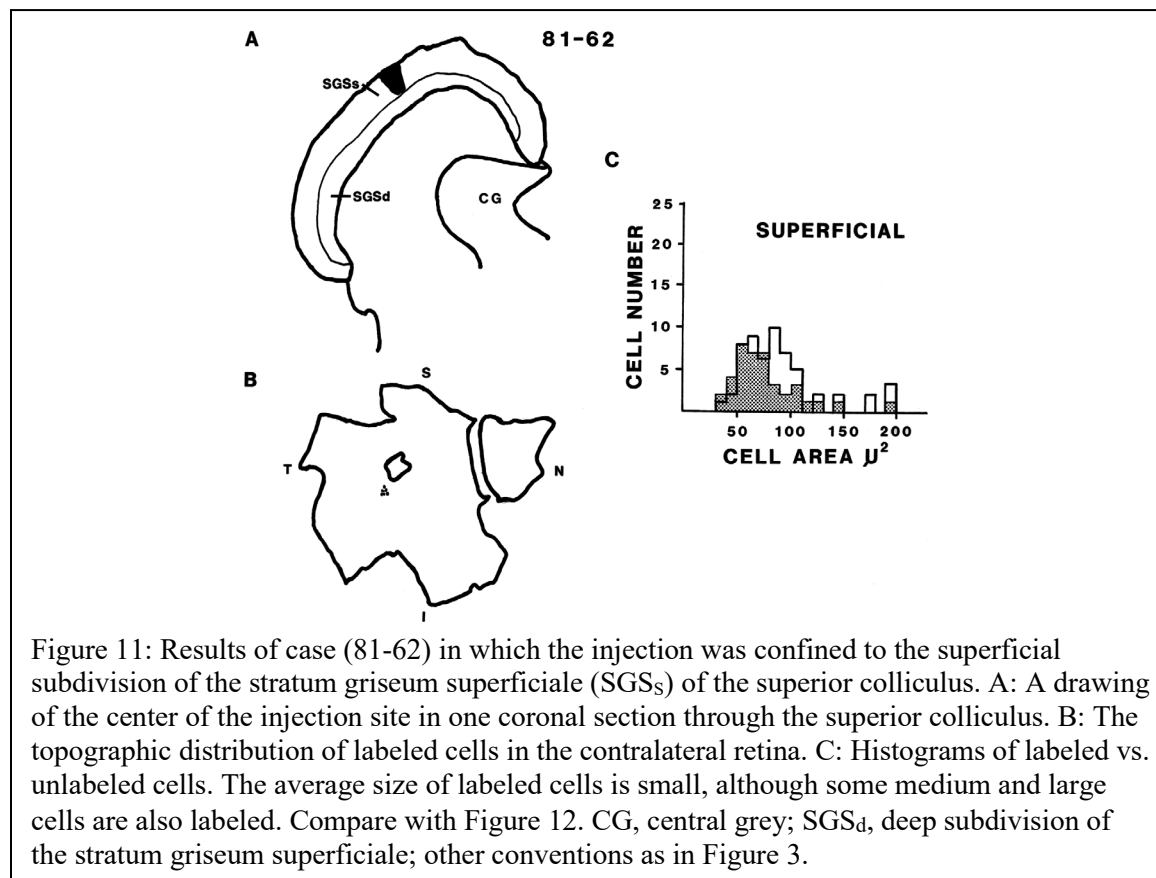
334 In two additional animals, we made three injections restricted to the anterior pole of the colliculus
 335 which receives a separate contralateral projection from temporal retina (Lane et al., '71). One of these
 336 cases is illustrated in Fig. 10. In this case (80-15) two injections were placed in the rostral pole of the



337 colliculus. These injections resulted in two distinct patches of labeled ganglion cells in the inferior temporal
 338 portion of the retina (Fig. 10B). In contrast to the results of the cases described earlier, only 30% of the
 339 ganglion cells appear labeled. Moreover, the distribution of labeled cells was mainly medium in size (Fig.
 340 10C). This result suggests that different topographic zones of the colliculus receive information from
 341 different subsets of retinal ganglion cells; the results presented below suggest that the same conclusion
 342 can be drawn for cells projecting to different sublayers of the colliculus.

343 *Divisions of the superficial grey layer of the colliculus* - In three animals restricted injections
 344 were made either within the SGS_s (4 injections) or SGS_d (4 injections) sublaminae of the stratum griseum
 345 superficiale. Examination of labeled cells within the retinae in these cases revealed that the percentages
 346 and sizes of ganglion cells which projected to the two sublaminae differ considerably. Following
 347 injections into the SGS_s approximately 41% of the cells appear labeled whereas an average of only 15%

348 of the cells appear labeled following an injection of comparable size into SGS_d. Figure 11 shows that
 349 although ganglion cells of all sizes project to the SGS_s, the majority are small to medium in size
 350 compared to the local population, whereas ganglion cells projecting to the SGS_d are composed almost

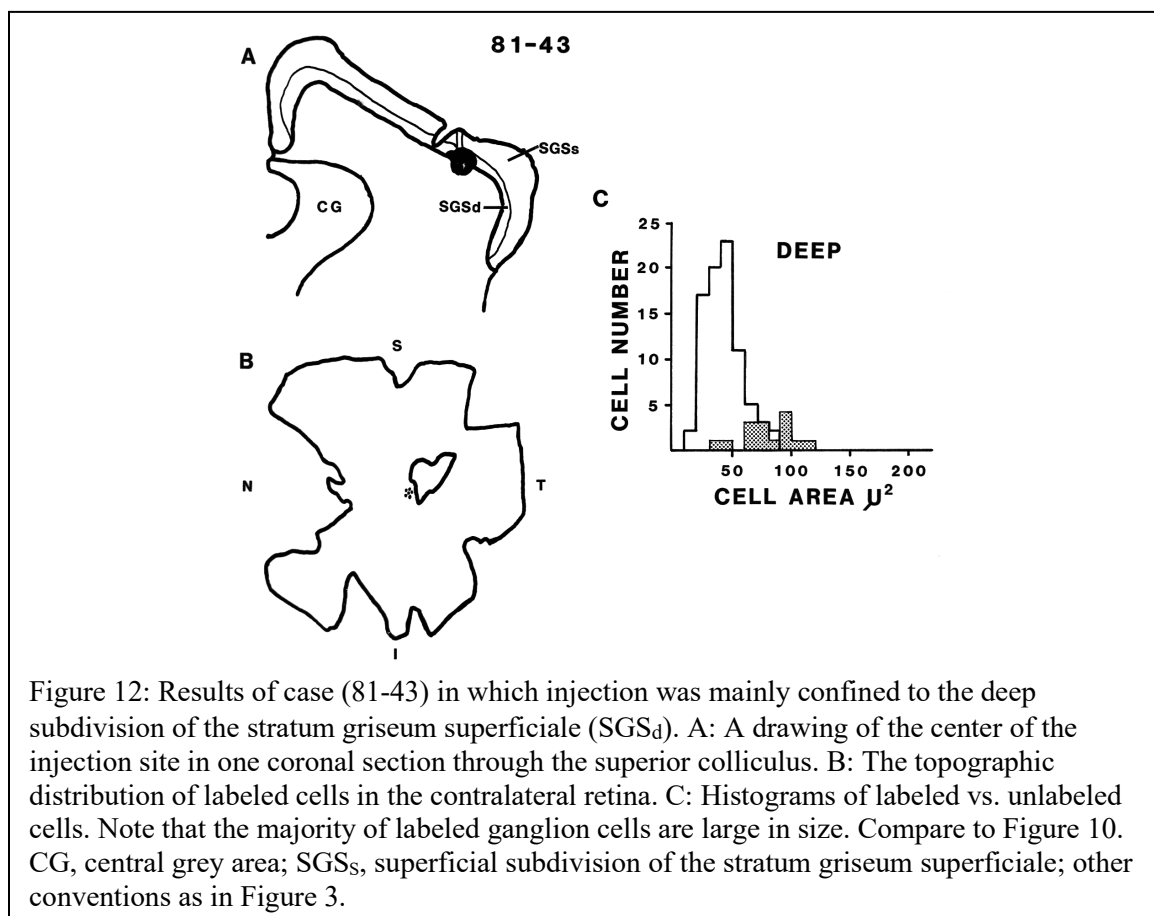


351 exclusively of large ganglion cells (Fig. 12). Taken together, these results indicate that although there is
 352 overlap between the size and probably also the morphological characteristics of ganglion cells projecting
 353 to the major thalamic (LGNd) and midbrain (superior colliculus) targets of the retina, ganglion cells
 354 projecting to layers or sublayers within these targets form distinct populations.

355

356 *The ventral lateral geniculate nucleus (LGNv)*

357 In the tree shrew, the LGNv is a large, well-developed nucleus consisting of at least 3 (dorsal, medial, and
 358 lateral), subdivisions (Laemle, '68; Abplanalp, '71), only two of which, the dorsal and lateral divisions,
 359 receive retinal input (Laemle, '68). Two injections were made into the LGNv and as the results differed,
 360 (presumably due to differential involvement of the nuclear subdivisions) both will be described.



361 A single injection in the first case (77-24) involved the most lateral aspect of the nucleus as well as the
 362 optic tract (Fig. 13A). In addition to the dense patch of labeled cells in the inferior nasal quadrant of the
 363 retina, the injection produced labeled cells scattered throughout the remainder of the contralateral retina
 364 (Fig. 13B). The scattered cells, presumably labeled through involvement of the optic tract, will not be
 365 considered further. The labeled cells in the dense patch constituted 24% of the local population and were
 366 primarily small in size (Fig. 13C). Unfortunately, the ipsilateral retina was damaged during processing in
 367 this case and no information on cells projecting from this retina is available.

368 In a second case (83-1), the injection involved the dorsocaudal portion of the LGN_v and included
 369 both retinal recipient subdivisions of the nucleus as well as the optic tract (Fig. 14A). Because WGA-
 370 HRP was used in this case, contamination of the results by optic tract involvement can be ruled out (see
 371 Methods). Resulting labeled cells appear in both retinae near the *area centralis* (Fig. 14B), the histograms
 372 of labeled vs. unlabeled cells in both retinae (Figs. 14C and 14D) reveal a difference between the
 373 ipsilaterally and contralaterally projecting cells. In the contralateral retina (Fig. 14C), labeled ganglion

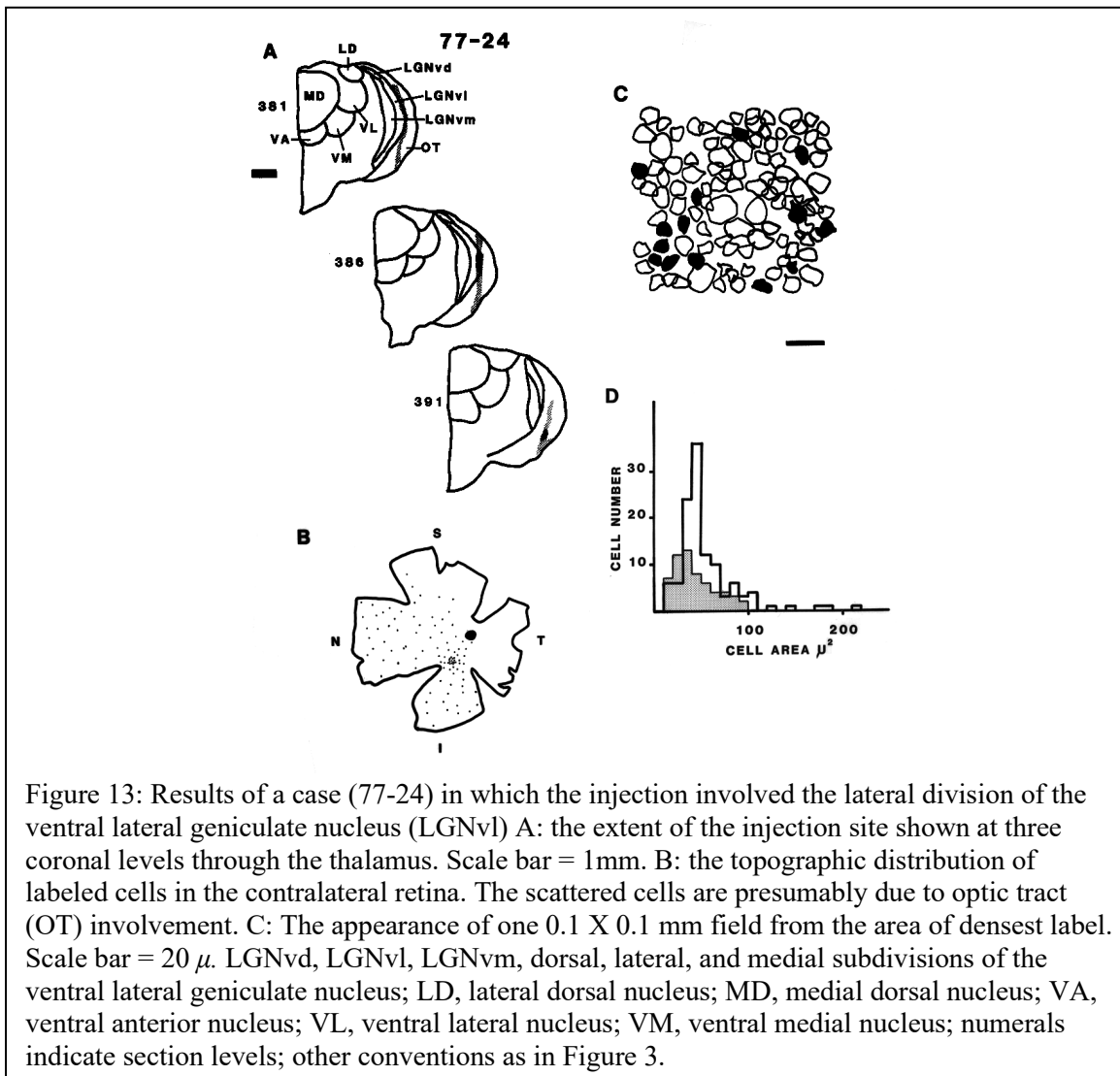
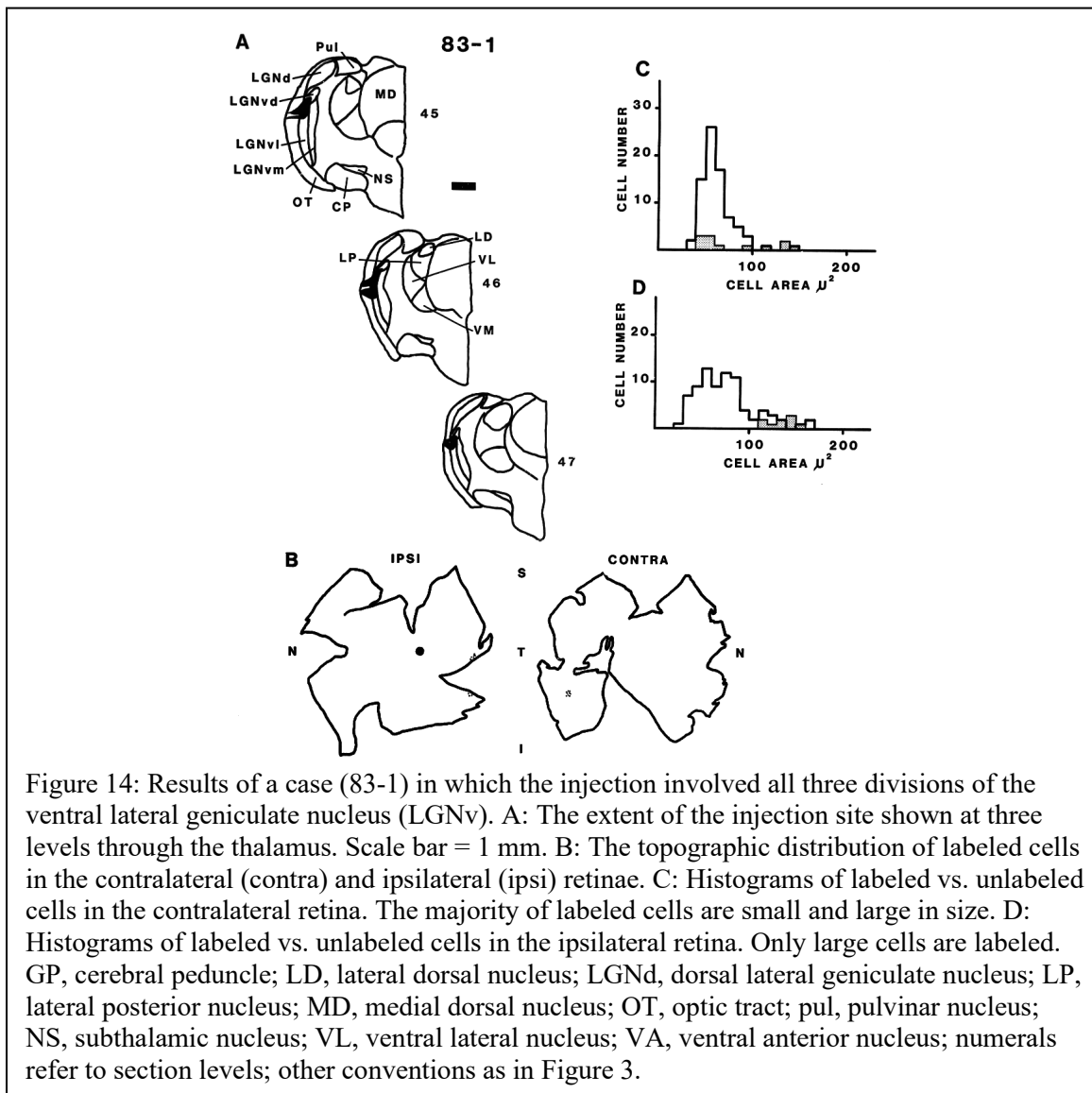


Figure 13: Results of a case (77-24) in which the injection involved the lateral division of the ventral lateral geniculate nucleus (LGNvl) A: the extent of the injection site shown at three coronal levels through the thalamus. Scale bar = 1mm. B: the topographic distribution of labeled cells in the contralateral retina. The scattered cells are presumably due to optic tract (OT) involvement. C: The appearance of one 0.1 X 0.1 mm field from the area of densest label. Scale bar = 20 μ . LGNvd, LGNvl, LGNvm, dorsal, lateral, and medial subdivisions of the ventral lateral geniculate nucleus; LD, lateral dorsal nucleus; MD, medial dorsal nucleus; VA, ventral anterior nucleus; VL, ventral lateral nucleus; VM, ventral medial nucleus; numerals indicate section levels; other conventions as in Figure 3.

374 cells are mainly large or small in size. Since no large, labeled cells are evident in the contralateral retina
 375 in case 77-24, it seems likely that the large, labeled cells in case 83-1 project to the dorsal subdivision of
 376 the nucleus, a region which was not involved in the previous injection. In the ipsilateral retina of case
 377 83-1 only large ganglion cells appear labeled (Fig. 14D). Together, the results of both cases indicate that
 378 there may be an exclusive subdivision or "layer" within the lateral subdivision of the LGNv that receives
 379 input only from small ganglion cells within the contralateral retina. This arrangement resembles that seen
 380 in the LGNd where two layers (3 and 6) also appear to receive an exclusive input from small,
 381 contralaterally-projecting retinal ganglion cells.

382

383

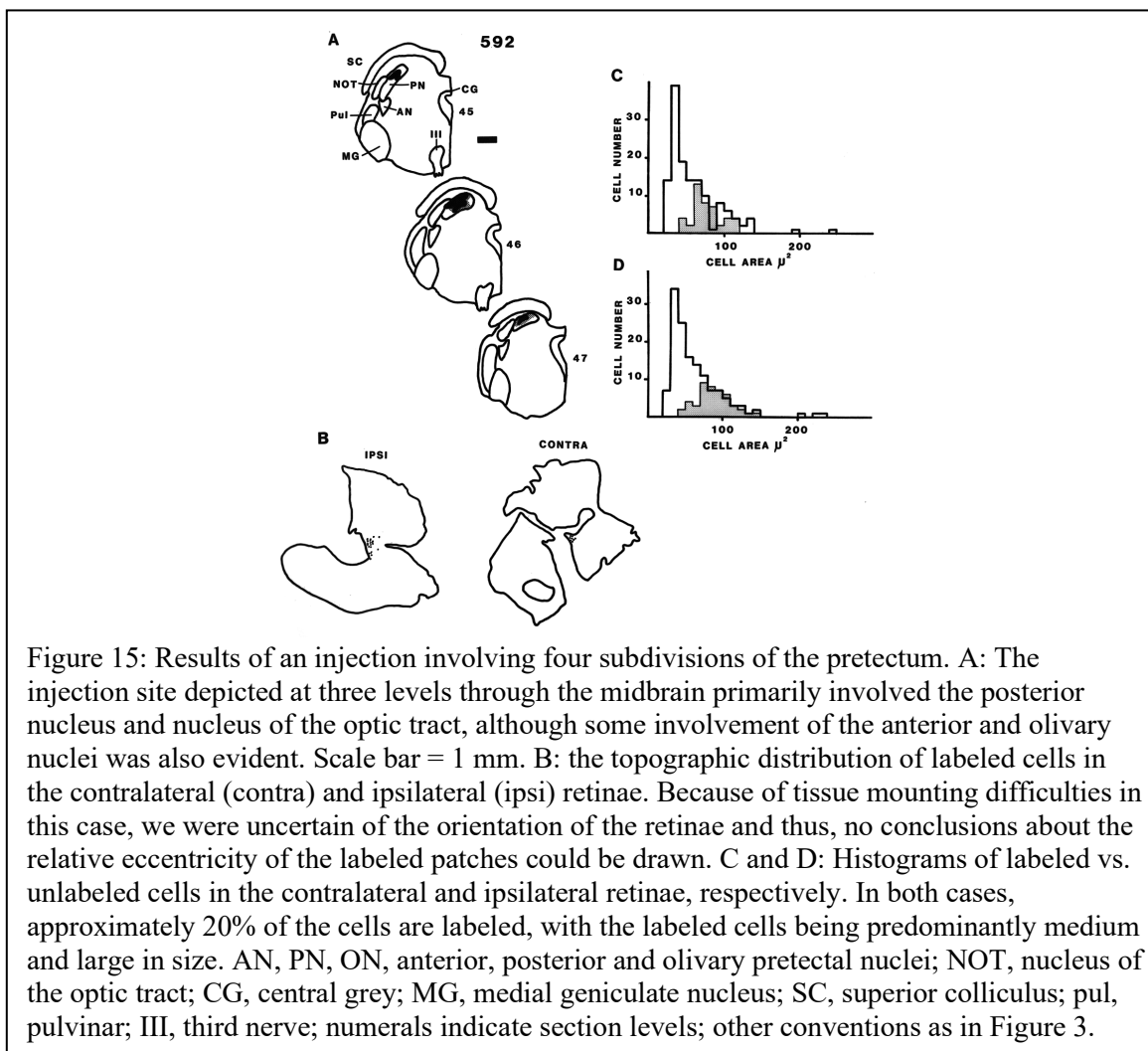


384

385 *The Pretectum*

386 The pretectal complex in the tree shrew consists of five separate nuclei (the anterior, posterior, and medial
 387 pretectal nuclei, the nucleus of the optic tract and the pretectal olivary nucleus). Of these nuclei, two (the
 388 nucleus of the optic tract and the olivary nucleus) receive the majority of retinal projections; all except the
 389 nucleus of the optic tract receive bilateral input (Weber and Harting, '80). The present results are based on
 390 two injections in two animals (592 and 82-35).

391 The first case is illustrated in Figure 15. The injection covered parts of 4 nuclei (anterior and
 392 posterior nuclei, olivary nucleus and nucleus of the optic tract) but mainly affected the posterior nucleus
 393 and nucleus of the optic tract (Fig. 15A) and resulted in labelling 20% of the ganglion cells in both retinæ

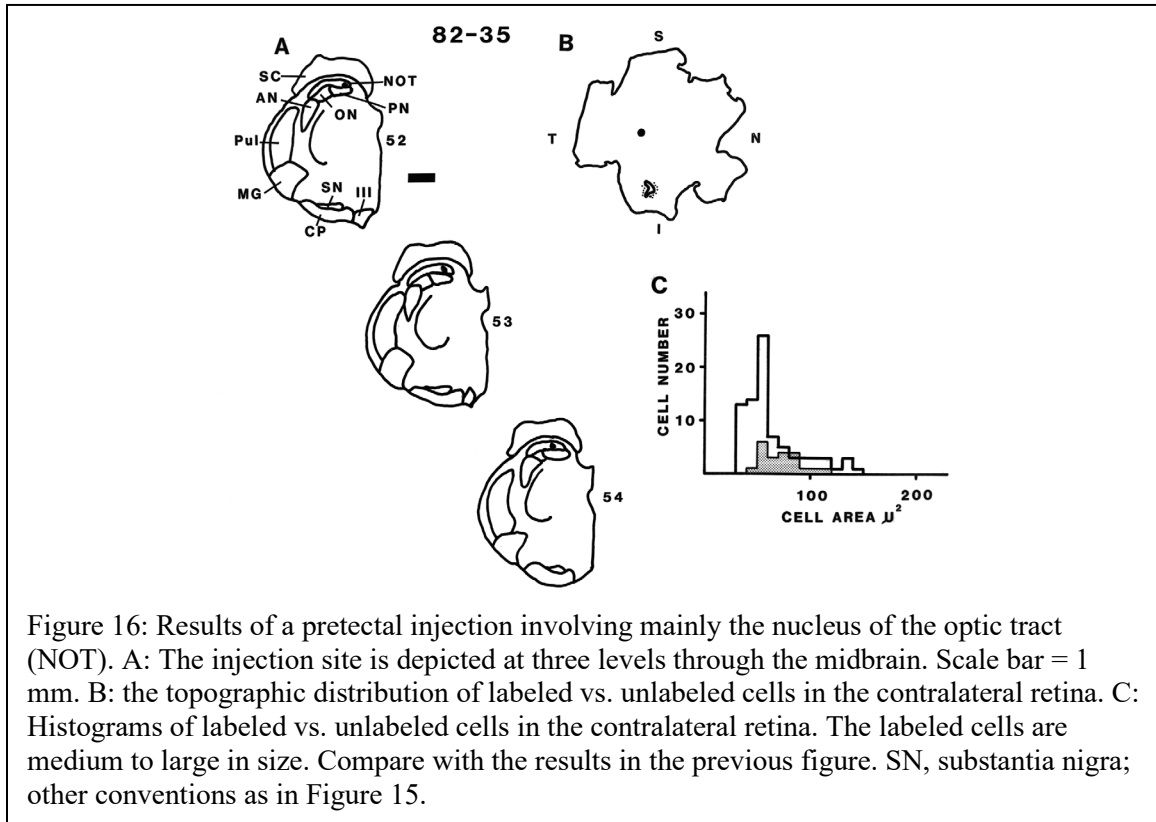


394 (Fig. 15B). Due to problems in orienting and mounting the retinæ, it was impossible to determine the
 395 exact location of the labeled cells in these retinæ. Frequency histograms (Fig. 15C) show that the
 396 majority of labelled cells from both retinæ were medium to large in size.

397 In the second case (82-35), the injection was restricted mainly to the nucleus of the optic tract
 398 (Fig. 16A) and labeled cells were found only in the inferior contralateral retinal (Fig. 16B). As in the
 399 previous case, the labeled cells were mainly medium to large in size. Because the results of the case were
 400 similar to those obtained in the ipsilateral retina of case 592, it seems evident that the sizes of retinal
 401 ganglion cells projecting to the nucleus of the optic tract (which has no ipsilateral input) are similar to
 402 those projecting to other subdivisions of the pretectum.

403

404



405

406 *The medial and lateral terminal nuclei of the accessory optic system*

407 All three nuclei of the accessory optic system, the medial (MTN), lateral (LTN) and dorsal (DTN)
 408 terminal nuclei have been identified cytoarchitectonically in tree shrews and all three receive a crossed
 409 retinal input. Of these nuclei, MTN is the largest and receives more than 90% of the input from the
 410 accessory optic tract (Tigges, '66). Two injections were made into the nuclei of the accessory optic
 411 system. The first involved only the MTN while the second involved both MTN and LTN.

412 In the first case (618), the center of the injection was located within the inferolateral portion of
 413 MTN (Fig. 17A) and resulted in label in a group of very large (>90th percentile), ganglion cells in the
 414 contralateral retina (Fig. 18B). Examination of these cells indicate that they are probably part of a
 415 morphologically separate population which may not have been labeled in our previous study
 416 characterizing ganglion cell types in tree shrews (DeBruyn and Casagrande, '86b). The somas of these
 417 cells appear to lie in the deepest part of the ganglion cell layer, and each is characterized, in addition to its
 418 large cell body size, by three stout primary dendrites and the tendency to group in pairs of labeled cells.

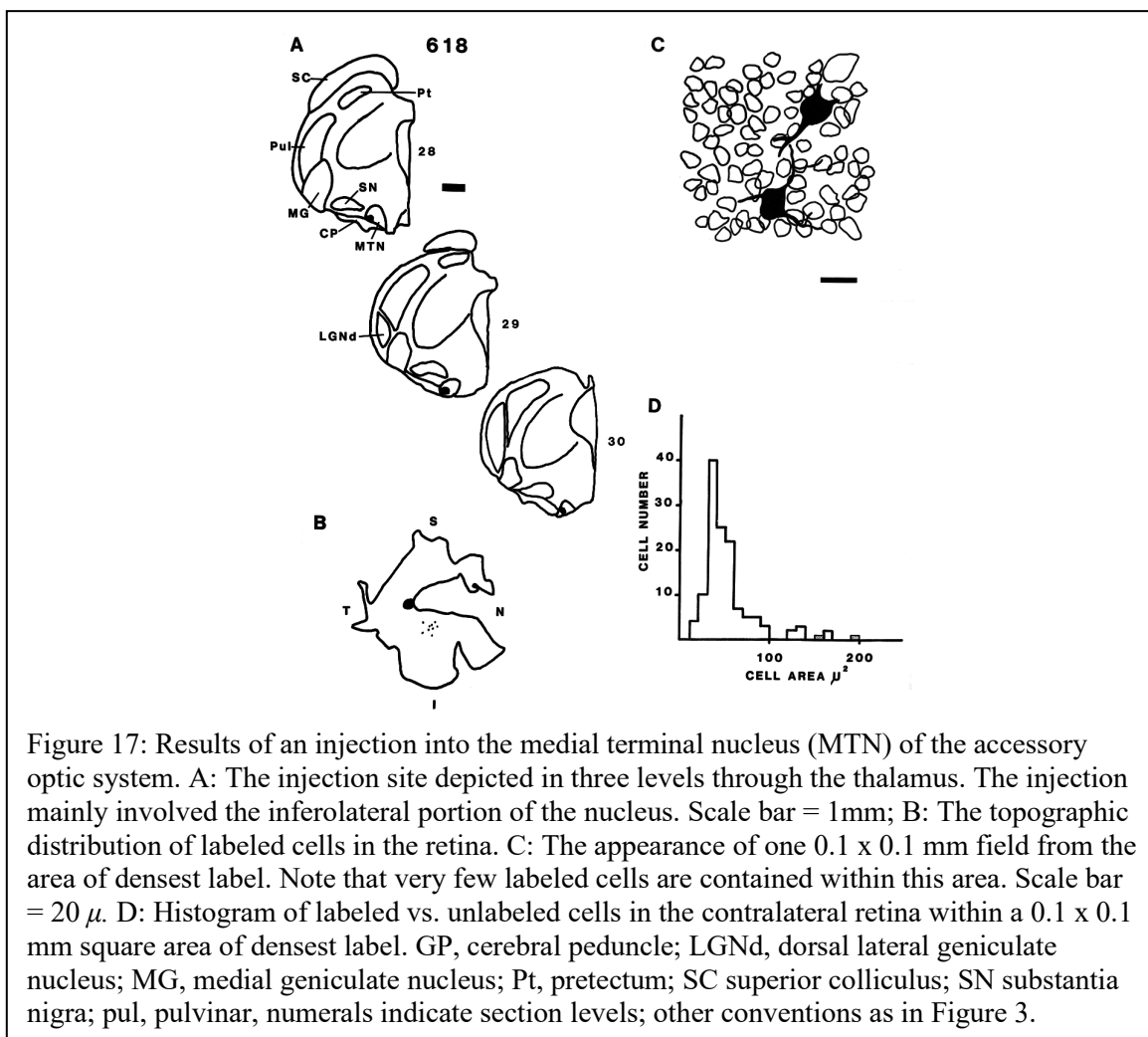
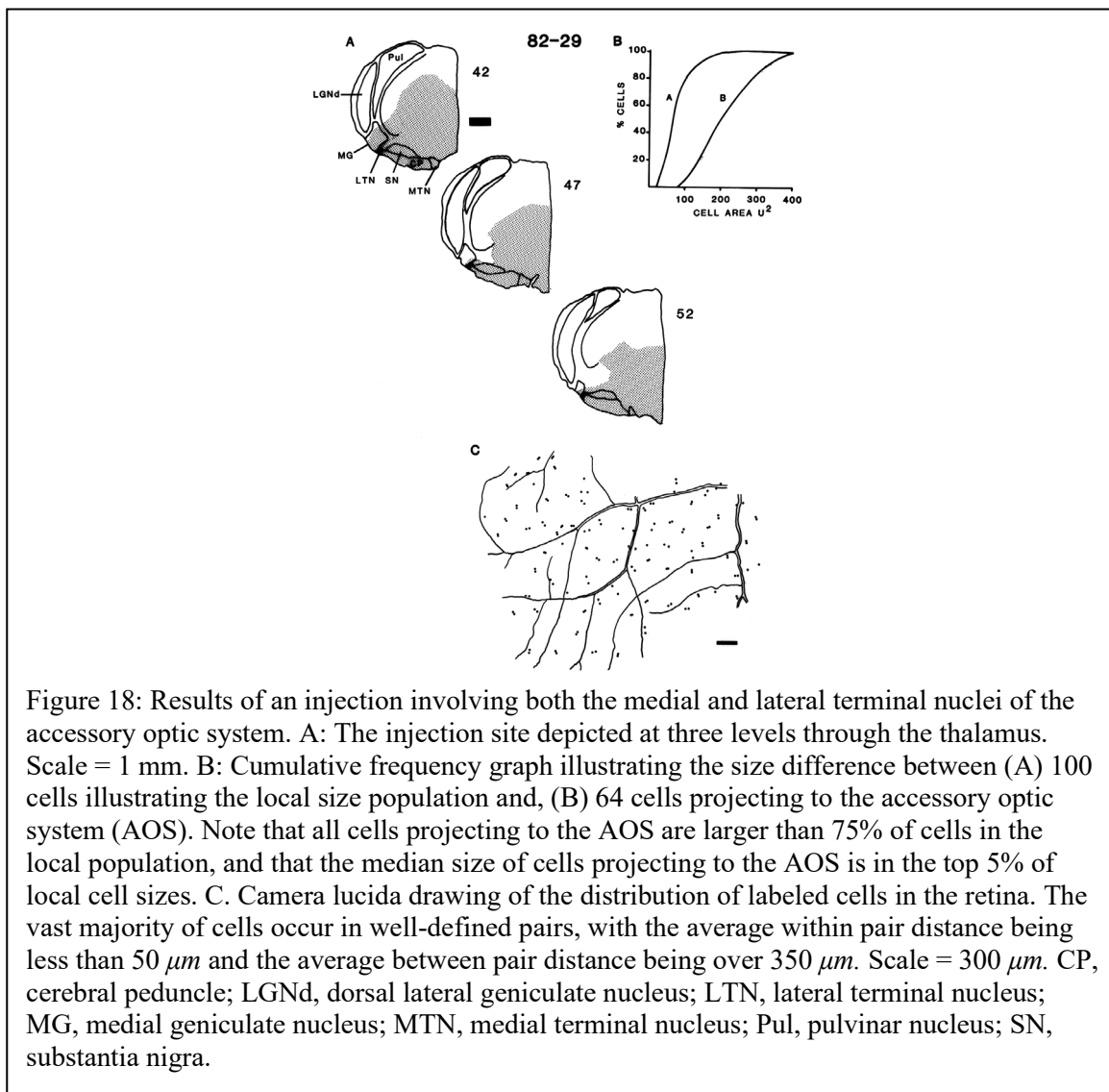


Figure 17: Results of an injection into the medial terminal nucleus (MTN) of the accessory optic system. A: The injection site depicted in three levels through the thalamus. The injection mainly involved the inferolateral portion of the nucleus. Scale bar = 1mm; B: The topographic distribution of labeled cells in the retina. C: The appearance of one 0.1 x 0.1 mm field from the area of densest label. Note that very few labeled cells are contained within this area. Scale bar = 20 μ . D: Histogram of labeled vs. unlabeled cells in the contralateral retina within a 0.1 x 0.1 mm square area of densest label. GP, cerebral peduncle; LGNd, dorsal lateral geniculate nucleus; MG, medial geniculate nucleus; Pt, pretectum; SC superior colliculus; SN substantia nigra; pul, pulvinar, numerals indicate section levels; other conventions as in Figure 3.

420 In a second case, a large injection was made which involved both MTN and LTN (Fig. 18A).
 421 Since the MTN receives the vast majority of retinal input, it is likely that results of this case reflect mainly
 422 cells projecting to the MTN. The results reveal a rather remarkable topographic distribution of the cells.
 423 Figure 18 shows that the labeled cells occur in widely scattered pairs (see Fig. 18C) with an overall
 424 density of approximately 25 cells/mm². When a nearest neighbor analysis (Wässle et al., '81) was
 425 performed on these ganglion cells, it was found that the average distance between cells within a pair was
 426 less than 50 μ m, while the average distance to the next 6 nearest cells was over 350 μ m. Moreover, the
 427 cells were found to be distributed in a roughly hexagonal matrix. In addition to the paired cells,
 428 occasional unpaired large cells were evident, however, their density was considerably lower
 429 (1 – 2 cells/mm²) than that of the paired cells. As with the first case, the cells in this case were very large,
 430 had three thick primary dendrites, and tended to lie deep in the ganglion cell layer. However, none of the

431 labeled cells appeared to be displaced as has been reported for cells projecting to the avian homolog of the
 432 MTN (Karten et al., '77; Brecha and Karten, '79).



433

DISCUSSION

434
435 A main goal of the present study was to establish whether different classes of retinal ganglion
436 cells in tree shrews project to different central targets or subdivisions of these targets. The results clearly
437 provide evidence for differences in the distribution of size classes projecting to different targets. Since
438 ganglion cell size correlates roughly with morphological cell class in tree shrews (DeBruyn and
439 Casagrande, 1986b), we can also conclude that the results provide evidence for differences in the
440 distribution of morphological ganglion cell classes projecting to different targets, a point which is
441 reinforced in some instances by observed differences in dendritic morphology. However, the results also
442 support the view that most retinal targets do not receive exclusive input from a distinct class of ganglion
443 cells; rather, the relative proportion of inputs from different classes varies between targets or target
444 subdivisions. Moreover, the results suggest that the relative proportion of input from different classes of
445 ganglion cells to a particular central target varies according to regional retinal specialization, e.g.,
446 differences are obvious in the comparison of ganglion cells projecting from corresponding points in nasal
447 versus temporal retina. In the discussion that follows we consider what these findings imply about the
448 functional significance of ganglion cells, and how the results compare with what is known about the
449 functional organization of these central retinal recipient zones in tree shrews and other species.

450

451 *The Dorsal Lateral Geniculate Nucleus*

452 As described earlier, the cellular morphology, physiology, and connections of the LGNd of tree shrews
453 have been studied in detail. For the purposes of this discussion the most interesting aspects of LGNd
454 organization concern: 1) the degree to which layers or pairs of layers, which differ in their characteristics,
455 are innervated by unique populations of retinal ganglion cells, and 2) the degree to which separate LGNd
456 layers preserve and transmit information inherited from the retina.

457 Regarding the question of layer innervation by unique ganglion cell populations, our data show
458 that layers 3 and 6 are clearly different, being primarily innervated by small ganglion cells. With layers 1,
459 2, 4 and 5, however, establishing whether each is innervated by unique ganglion cell populations involves
460 more than an appreciation of cell size differences since both sets of layers are innervated by large- and

461 medium-size ganglion cell populations. However, as our results show, retinal ganglion cells projecting to
462 layers 1 and 2 can be distinguished from those projecting to 4 and 5 based upon the level at which their
463 dendrites branch within the inner plexiform layer (IPL) of the retina; ganglion cells projecting to layers 1
464 and 2 branch closer to the ganglion cell layer (sublamina b of the IPL) than to those projecting to layers 4
465 and 5 (sublamina a of the IPL). Presumably these differences in dendritic stratification reflect differences
466 between ON- and OFF-center ganglion cells that innervate LGNd layer pairs 1, 2 and 4, 5 which contain
467 ON- and OFF-center cells, respectively. Findings in other species have also provided evidence that ON-
468 and OFF-center ganglion cells can be distinguished based upon a dichotomy in dendritic branching within
469 the IPL (e.g., Nelson et al., '78), and that segregation of ON-center and OFF-center retinal projections is,
470 in some instances (e.g., Mink and Ferret), preserved at the level of the LGNd (LeVay and McConnell, '82;
471 Stryker and Zahs, '83).

472 These data indicate that the visual information abstracted by different classes of retinal ganglion
473 cells is to a great degree preserved by being sent either to separate cell types (e.g., X and Y cells) or to
474 separate layer pairs (ON-center and OFF-center channels) within the tree shrew LGNd. It is noteworthy
475 that the separation of the ON- and OFF-center channels in tree shrews is preserved anatomically and
476 physiologically beyond the LGNd. LGNd layers 1 and 2, containing primarily ON-center cells, project to
477 the upper tier of layer IV of striate cortex, while layers 4 and 5, containing primarily OFF-center cells,
478 project to the lower tier of layer IV of striate cortex (Harting et al., '73; Conley et al., '84). Cells in the
479 upper and lower tiers of striate cortex, in turn, reflect their major laminar LGNd inputs and exhibit mainly
480 ON- or OFF-center activity, respectively (Norton et al., '85). At present, it does not appear that other
481 properties, such as those defining X and Y cells in the LGNd are preserved at the cortical level in tree
482 shrews to the same degree as ON- and OFF-center information. ON- and OFF-center distinctions are also
483 lost beyond layer IV of striate cortex, although, at least one anatomical study has suggested that spiny
484 stellate cells within the upper and lower tiers of cortical layer IV provide input to different subtiers of
485 cortical layer III, indicating that some aspects of the original channel separation may be maintained
486 (Humphrey and Lund, '79).

487 In other species, evidence suggests that information from different LGNd layers can be preserved
488 to a degree in cortex. For example, in the mink, separation of ON- and OFF-center retinal information is
489 preserved within layer IV of striate cortex where it appears to be organized into patches (McConnell and
490 LeVay, '83). The usefulness of keeping ON- and OFF-center channels separate at the cortical level is not
491 immediately obvious although recent evidence in monkeys suggests that separation of ON- and OFF-
492 center information may be important for enhancing contrast and thus increasing the visibility of forms
493 against a background (Schiller, '84).

494 Unlike layers 1, 2, 4 and 5, contralaterally innervated layers 3 and 6 receive an input from the
495 smallest ganglion cells. Moreover, some ganglion cells projecting to layer 6 can also be distinguished
496 from those projecting to layer 3 based on size since a few cells projecting to layer 6 are also medium to
497 large in size. From our previous analysis of the sizes of morphologically distinct classes of tree shrew
498 retinal ganglion cells, we would argue that a high proportion of the small cells projecting to layers 3 and 6
499 must belong to one class of cells, namely, Type III cells (DeBruyn and Casagrande, '86b). These cells
500 resemble the small gamma cells (Boycott and Wässle, '74) or W-cells seen in the cat retina, having large
501 dendritic fields and fine axons. These findings are in accord with earlier studies as well as other aspects of
502 the present study suggesting that cells with W-like properties and/or conduction velocities are present in
503 layers 3 and 6 of the LGNd which contain the smallest cells (Casagrande et al., '78; Conway and Schiller,
504 '83; Norton, unpublished).

505 From a functional standpoint, several points are noteworthy about the retinal input to, and the
506 organization of, LGNd layers 3 and 6. First, ganglion cells innervating these layers have no ipsilateral
507 equivalent and may account for the greater proportion of labeled small ganglion cells seen in the nasal
508 retina. This arrangement also suggests that the role of layers 3 and 6 has less to do with binocular
509 integration than is the case for the remaining layers. Second, the SGS_s of the superior colliculus also
510 receives input from small retinal ganglion cells (perhaps the same ones) and sends projections to layers 3
511 and 6 (Fitzpatrick et al., '80). This projection pattern indicates that layers 3 and 6 are intimately connected
512 functionally with the superior colliculus. Third, LGNd layers 3 and 6 both project to sublaminae within
513 supragranular striate cortex; layer 3 projects to cortical layers IIIb and I and LGNd layer 6 to cortical

514 layer IIIc (Conley et al., '84). The fact that cells in LGNd layers 3 and 6 receive and send information
515 mainly via fine axons that terminate in these cortical layers suggests that the cells in these pathways are
516 not part of a major excitatory channel to cortex, but instead either modulate activity or provide more
517 specific information to higher order neurons, perhaps concerning the activity of the superior colliculus.
518 Finally, similarities in ganglion cell morphology, physiology, and central projections of small LGN cells
519 in several species including cats and primates (galago) suggest that this arrangement is not unique to tree
520 shrews but instead represents a more universal mammalian feature (Wilson and Stone, '75; Fitzpatrick et
521 al., '80; 83; Torrealba et al., '81; Casagrande and DeBruyn, '82; Itoh et al., '82; Livingstone and Hubel,
522 '84; Huerta et al., '85; Kaas, '85; Irvin et al., '86).

523

524 *The Superior Colliculus*

525 Results of large injections involving all retinal recipient subdivisions of the superior colliculus clearly
526 demonstrate that all cell size classes project to the colliculus. In fact, our data suggest that all
527 morphological ganglion cell classes, with perhaps one exception are represented in this projection. In this
528 sense, the retinocollicular pathway in tree shrews is similar to that of other lateral-eyed mammals such as
529 rodents and lagomorphs where all classes of ganglion cells appear to project to the colliculus, and is
530 different from that of mammals with wide binocular overlap such as cats and primates where the pathway
531 consists either of projections from large (alpha) or small (gamma) ganglion cells as in cats, or mainly
532 small (gamma-like) ganglion cells as in primates (Bunt et al., '75; Kelly and Gilbert, '75; Dreher et al.,
533 '76; Kelly and Fox, '77; Rhoades and Chalupa, '78; Molotchnikoff et al., '79; Itoh et al., '81).

534 However, the above conclusions ignore the most important observations, namely, that, like the
535 LGNd, the colliculus cannot be viewed as a single functional unit. Rather, it contains distinguishable
536 subdivisions, sublayers and/or topographic regions.

537 The major retinal recipient subdivisions of the tree shrew colliculus are the SGS_s and SGS_d, and
538 the anterior cap region, which our unpublished observations suggest is a specialized extension of the
539 SGS_s. Analysis of cell sizes and the morphological classes of ganglion cells projecting to these
540 subdivisions indicate that they may be part of separate systems that function in parallel. The cells of the

541 SGS_S are primarily stationary responsive (S-R) cells with ON-OFF receptive field centers and suppressive
542 surrounds (Albano et al., '78). Since the receptive field properties of S-R cells closely resemble those
543 described for one class of retinal ganglion cell (ON/OFF cells), the majority of ganglion cells projecting
544 to the SGS_S probably belong to this physiological class. We have not been able to positively correlate
545 ON/OFF ganglion cells with their morphological counterparts. However, since morphological Type I and
546 type II cells do correlate with other physiological cell types, some of the morphological Type III cells
547 with large dendritic fields (matching the large receptive fields of the S-R cells) likely represent the major
548 input to the SGS_S (DeBruyn and Casagrande, '86b).

549 The organization and connections of the deep substratum of the SGS (SGS_d) are different in a
550 number of respects from that described above. In contrast to the SGS_S, the SGS_d receives input primarily
551 from large ganglion cells, and is composed of a several physiological and morphological cell types which,
552 in turn, project to the pulvinar nucleus of the thalamus (Albano et al, '79; Graham and Casagrande, '80).
553 Moreover, correlates between the anatomy and physiology of retinal ganglion cells projecting to the SGS_d
554 and the properties of the cells within this substratum are less obvious than for the SGS_S, since
555 SGS_d cells are heterogeneous, and most do not have obvious retinal counterparts (Albano et al., '78). This
556 could result from the fact that many SGS_d cells send dendrites to the surface of the colliculus, and are,
557 therefore, in a position to integrate information from retinal ganglion cells projecting to both substrata of
558 the SGS (Irvin et al., '83). Further, since conduction latencies to the SGS_d cells from chiasm stimulation
559 are on average, longer (Norton, unpublished) than those to SGS_S cells, it seems likely that the influence
560 on the SGS_d cells of many of the larger ganglion cells (presumably with faster conducting axons) is
561 indirect. Ultimately, the influence of the SGS_d on visual behavior could be quite complex since cells in
562 SGS_d are physiologically heterogeneous and together could influence, via pulvinar, wide regions of
563 extrastriate cortex which is known to contain several visual areas (Sesma et al., '85).

564 How unique is the arrangement of differential retinal inputs to the colliculus that we have
565 revealed in tree shrews? In mice, results very similar to ours have been reported, namely, that the SGS_S
566 and SGS_d receive projections from distinct populations of smaller and larger retinal ganglion cells

567 (Hofbauer and Dräger, '85). In rats and hamsters, a similar pattern is seen, but investigators have argued
568 that there may be three subpopulations of ganglion cells projecting to subdivisions of the SGS (Chalupa
569 and Thompson, '80). In cats, evidence suggests that cells projecting to the upper and lower subtiers of the
570 SGS are distinct morphologically and physiologically; W (gamma) cells and Y (alpha) cells project to the
571 SGS_s and SGS_d, respectively (Itoh et al., '81). Since correlations between cell size and physiology are
572 clearly documented only in cats, it is not evident if the physiology of the retinocollicular pathways in tree
573 shrews and other species is similar to that of the cat. Evidence against a strict translation from cats to
574 other species such as tree shrews and opossums is that large collicular injections in the latter species label
575 ganglion cells of all sizes and all major morphological classes, not just those with alpha- and gamma-like
576 morphology (present study and Rapaport and Wilson, '83). Also, in tree shrews the size distribution of
577 cells projecting to the SGS_s and SGS_d is not as restricted as reported for cats indicating that some
578 ganglion cells of all sizes project to both subdivisions; the difference in the projection pattern lies in the
579 *relative* distribution of ganglion cell sizes projecting to the two subdivisions.

580

581 *Ganglion Cells Projecting to Other Retinal Targets*

582 Our major effort in this study was aimed at determining, in detail, the distribution and sizes of ganglion
583 cells projecting to the LGNd and superior colliculus. In addition, we examined ganglion cells projecting
584 to four other retinal targets: the ventral lateral geniculate nucleus (LGNv), the pretectum
585 (Pt), and two nuclei of the accessory optic system, the medial and lateral terminal nuclei, MTN and LTN.

586 The reason we have grouped comments concerning these four areas together is not because we
587 feel they are functionally related, but rather to emphasize the limited nature of our observations.

588 Undoubtedly a more detailed analysis of ganglion cells projecting to the many subdivisions of some of
589 these areas will ultimately be necessary for us to fully appreciate their complexity. Regardless, our data
590 reveal interesting general features of the retinal ganglion cells projecting to each of these areas, features
591 which are worth discussing in light of the possible functional significance of each of these target areas.

592 Our data concerning projections to LGNv are based on two cases, which have rather different
593 results. Since the injections involved different subdivisions of LGNv in the two cases, it is reasonable to

594 argue that the differences we observed reflect differences in ganglion cell classes projecting to each
595 subdivision. The dorsal subdivision receives projections from large ganglion cells in both eyes, the lateral
596 subdivision from small ganglion cells in the contralateral retina. Although a physiological study of the
597 LGNv in tree shrews did not address the issue of functional subdivisions within the nucleus, the data
598 concerning conduction latencies of axons projecting to cells in LGNv are in accord with our results,
599 demonstrating that both fast and slowly conducting fibers innervate the LGNv (Kuyk et al., '82).
600 These data contrast with those found in cats where only slowly conducting (presumed W-cells) from the
601 contralateral eye were found to project to the LGNv (Spear et al., '77). Nevertheless, in both tree shrews
602 and cats LGNv cells have large receptive fields and heterogeneous response properties that are quite
603 distinct from the majority found in the LGNd. Functionally, relatively little is known about the LGNv
604 although it has been linked to systems concerned with pupillary light reflexes, eye movements and
605 detection of brightness (e.g., Polyak, '57). Since our data provide evidence that distinct populations of
606 retinal ganglion cells project to different subdivisions of the LGNv, it is not impossible to imagine that
607 through its subdivisions the LGNv is specialized to perform more than one of the above functions.

608 The pretectum of the tree shrew is more complex in terms of subdivisions, than the LGNv,
609 consisting of five nuclei that are likely to be functionally distinct based upon differences in central
610 connections (Weber and Harting, '80). As with the LGNv, our analysis of ganglion cells projecting to
611 pretectum is based upon two cases, one of which clearly involved the nucleus of the optic tract (NOT) and
612 the other of which involved four separate pretectal nuclei but mainly NOT and the posterior pretectal
613 nucleus (PN). Since both cases yielded nearly identical size distributions of labeled cells (mainly medium
614 and large), one could argue that both cases actually reflect input only from the NOT. However, since the
615 large pretectal injection case yielded nearly equal numbers of labeled cells in both the ipsilateral retina
616 and the contralateral retina, the result must reflect ganglion cells projecting to a nucleus that receives
617 bilateral input, the most likely candidates being (PN) or the olivary nucleus (ON), but not NOT which
618 receives only a contralateral retinal input. It is also unlikely that the ipsilateral input reflects interruption
619 of fibers of passage to the colliculus since in most tree shrews the colliculus only receives contralateral
620 retinal input (Conley et al., '85). The similarity in the size distribution of ganglion cells projecting to more

621 than one pretectal area is surprising and contrasts with our findings for other retinal targets with
622 subdivisions or layers. However, our data cannot rule out the possibility that NOT, PN, and ON receive
623 distinct projections since there is considerable overlap in the size of morphologically distinct ganglion cell
624 classes in tree shrews. We can, however, conclude that the proportion of small ganglion cells projecting to
625 these zones of the pretectum is small. This finding can be contrasted with recent data in the cat which
626 suggest that 47% of the cells projecting to the pretectum are small in size (Koontz et al., '85). In addition,
627 these authors also report pretectal projections from large (alpha) cells and medium-size (beta and epsilon)
628 cells. Since the NOT in cat does not receive a direct projection from physiological Y-cells, the functional
629 counterpart of alpha cells, they argue that these large cells must terminate outside of NOT. In tree shrew
630 NOT clearly receives input from the largest cells which are made up of two morphological classes, only
631 one (cluster 2) of which is likely to be the morphological counterpart of physiologically identified Y-cells
632 (Van Dongen et al., '76; DeBruyn and Casagrande, '86b). We have argued previously that the other
633 morphological subclass of large ganglion cells (cluster 1) is likely to be the counterpart of the
634 physiologically identified directionally-selective cell. If this true, then NOT in the tree shrew may be
635 functionally similar to NOT in the cat which contains directionally-selective cells (Hoffman and
636 Schoppman, '75, '81). It is less easy to posit a guess as to the role of the medium-size ganglion cells,
637 although it is likely, given the high percentage of medium-size ganglion cells projecting the pretectum
638 that at least a small percentage of these are morphologically beta-like (Type II or cluster 3 in our
639 terminology) and represent, as in cat (Fukuda and Stone, '74), evidence for physiological X-cell input to
640 the pretectum (see also DeBruyn and Casagrande, '86b).

641 The present finding of an exclusively crossed projection from large ganglion cells to the MTN
642 and LTN is in good agreement with those of other investigators which describe similar projections in a
643 number of avian (Karten et al., '77; Brecha and Karten, '79; Reiner et al., '79), reptilian (Reiner and
644 Karten, '78; Reiner, '81), fish (Finger and Karten, '78) anuran (Montgomery et al., '79) and mammalian
645 (Kimm et al., '79; Oyster et al., '80) species. An exception to this is the cat, in which Farmer and Rodieck
646 ('82) reported that small ganglion cells project to the accessory optic system. In non-mammalian species,
647 this projection seems to be composed exclusively of displaced ganglion cells (e.g., Karten et al., '77;

648 Finger and Karten, '78; Reiner and Karten, '78); however, with the exception of the chinchilla in which at
649 least some cells are displaced (Kimm et al., '79), this feature is not characteristic of mammals (Oyster et
650 al., '80; Farmer and Rodieck, '82; present results).

651 The most noteworthy feature of ganglion cells projecting to the accessory optic system in tree
652 shrews is their arrangement. They occur in a regular arrangement of widely separated pairs. Such a
653 pattern has not been reported for other species (Karten et al., '77; Oyster et al., '80; see also Simpson,
654 '84 for review). A clue to the functional significance of such pairing is suggested from physiological work
655 in rabbits. In rabbits as in other species, cells in the accessory optic nuclei are directionally selective.
656 Simpson ('84) has argued that the preferred excitatory and inhibitory directions of LTN and MTN neurons
657 are transmitted from retinal ganglion cells. It is tempting to speculate that each pair of ganglion cells
658 consists of one excitatory and one inhibitory cell, and that each pair provides directional information for a
659 limited area of retina. This arrangement is analogous to that reported for ON- and OFF-center alpha and
660 beta cells in the cat (Wässle et al., '81; Peichl and Wässle, '81). One difficulty with this argument is that
661 no such paired arrangements of ganglion cells projecting to the accessory optic nuclei have been
662 identified in rabbits (Oyster et al., '80). Perhaps, the rather precise projection patterns to the LGN and
663 MTN in tree shrews reflect unique vestibular-ocular reflex requirements of these agile, squirrel-like,
664 arboreal mammals.

665

666 *How Exclusive are Retinal Channels to Central Targets?*

667 In recent years, it has become popular to emphasize the degree to which different ganglion cells classes
668 give rise to exclusive pathways that project in parallel to different subcortical visual centers and their
669 subdivisions, much as we have done in the foregoing discussion. Evidence in tree shrews and other
670 species certainly suggests many instances in which classes of retinal ganglion cells, such as ON- and
671 OFF-center, or X and Y cells, project precisely to their counterparts in specific retinal targets (see
672 Rodieck and Brening, '83 for review). However, it is also revealing to consider the degree to which
673 different retinal targets must share information processed by the same ganglion cells. In tree shrews, it is
674 clear that the major ganglion cell size groups that correlate with the three major morphological classes

675 (DeBruyn and Casagrande, 86b), are represented in projections to almost all of the main retinal targets
676 and, in many instances, to their subdivisions as well. For example, both the LGNd and superior colliculus
677 receive input from the full range of ganglion cell sizes. Even subdivisions of these areas, such as the SGSs
678 and LGNd layer 6, which receive mainly from small ganglion cells, also receive input from medium and
679 large ganglion cells. One could, of course, argue that since there are actually five morphological classes
680 of ganglion cells with considerable size overlap and as many as eight physiological types in tree shrew
681 retina (Van Dongen et al., '76), projections to each of the subdivisions may be entirely unique. However,
682 inspection of the percentages of labeled cells within each size group argues against this point. Figures 4
683 and 9 involving large injections into the LGNd and colliculus, respectively, clearly show that all of the
684 largest ganglion cells project to these two structures and therefore must send axons simultaneously to at
685 least three, and, likely more, retinal targets since other targets also receive projections from cells in this
686 size range. The literature suggests that the same is true of other non-primate mammalian species (Wässle
687 and Illing, '81; Illing and Wässle, '81; Rapaport and Wilson, '83). One can also argue that at least a
688 percentage of medium-size ganglion cells must send axon collaterals to more than one retinal target.
689 Large injections into either the LGNd or colliculus label more than 50% of cells within the medium-size
690 range (LGNd = 73%: colliculus = 67%), indicating at least some medium-size ganglion cell axons branch
691 to more than one central structure. It is more difficult to ascertain if small ganglion cells innervate more
692 than one central target since the percentages of small, labeled cells projecting to any one retinal target is
693 always less than 50%. However, since double labelling experiments have demonstrated that all major
694 classes of cat ganglion cells have bifurcating axons (Illing, '80), it seems reasonable to assume that at least
695 some small tree shrew retinal ganglion cells possess them also.

696 In functional terms, widespread axon collateralization obviously suggests that the visual
697 information extracted by individual ganglion cells is useful in several contexts, (i.e., important to the roles
698 of a number of subcortical visual centers that likely perform rather different functions). In tree shrews the
699 strongest evidence for single ganglion cells innervating multiple central targets is for the large cells. Our
700 previous results of tree shrew retinal ganglion cells suggest that most of the large ganglion cells are
701 transient or Y-like cells (DeBruyn and Casagrande, '86b). One advantage to distributing information

702 broadly via a rapidly conducting pathway capable of signaling change would be as an alerting
703 mechanism, readying each recipient zone to receive more specific incoming visual information from other
704 ganglion cell classes. This arrangement has been suggested for cat Y cells (Spitzer and Hochstein, '85),
705 and could be particularly advantageous to a rapidly moving arboreal mammal requiring quick decisions
706 concerning its visual environment.

707 It is more difficult to speculate on the advantages of axonal collateral within the other ganglion
708 cell classes. In this regard, it is noteworthy that several subdivisions which receive projections via the
709 same size class of ganglion cells also interconnect. For example, the cells of the SGS_s send projections
710 primarily to two thalamic targets, the LGNd and LGNv (Fitzpatrick et al., '80). Within the LGNd, SGS_s
711 cells project mainly to layers 3 and 6 and all but one of the interlaminar zones. As mentioned earlier, cells
712 within LGNd layer 3, and to some extent layer 6, also respond to both the ON-set and OFF-set of light
713 and receive input predominantly from small ganglion cells. At present, it is not obvious why the cells in
714 LGNd layers 3 and 6 would receive both direct and indirect projections from ON-OFF gamma-like
715 ganglion cells. One possibility is that the indirect pathway from the colliculus increases the likelihood that
716 the small LGNd cells will reach threshold, perhaps communicating the continued presence of stimuli of
717 interest in one part of the visual field in preparation for an eye or a head movement. This information
718 could then be relayed to cortical layers III and I and act to modulate the visual signals coming to these
719 cells from cortical layer IV which may be more concerned with the detailed, spatial properties of the
720 visual stimulus.

721

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