# Online appendix for the paper A Denotational Semantics for Equilibrium Logic published in Theory and Practice of Logic Programming

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## Appendix A

Proof of Proposition 1

If  $v \in (S \cap S') \uparrow$ , there exists some  $u \in S \cap S'$ ,  $u \leq v$ . But then,  $u \in S$  and  $u \leq v$  implies  $v \in S \uparrow$  and the same applies for  $u \in S'$ ,  $u \leq v$  implying  $v \in S' \uparrow$ . The proof for  $\downarrow$  is completely analogous.  $\Box$ 

### Proof of Corollary 1

For left to right, if  $v \in S_c \downarrow$  then there exist some  $u \in S_c$ ,  $u \ge v$ . But then u is classical and, by Proposition 2,  $u = v_t$ . For right to left, if  $v_t \in S$  as  $v_t$  is classical,  $v_t \in S_c$ . Since  $v \le v_t$ , we directly get  $v \in S_c \downarrow$ .  $\Box$ 

#### Proof of Proposition 3

(i)  $\Rightarrow$  (ii). Suppose S is total-closed. This means that if we take any  $v \in S$ , then  $v_t \in S$  and, since  $v_t$  is classical,  $v_t \in S_c$ . Moreover, since  $v \leq v_t$  we conclude  $v \in S_c \downarrow$ .

(ii)  $\Rightarrow$  (iii). Suppose  $S \subseteq S_c \downarrow$  and, for the  $\subseteq$  direction, take some  $v \in S \uparrow_c$ . The latter means that v is classical and there is some  $u \in S$  such that  $u \leq v$ . Furthermore, by Proposition 2,  $u_t = v$ . Now, as  $u \in S \subseteq S_c \downarrow$ , by Corollary 1,  $u_t(=v) \in S$  and, as  $u_t$  is classical,  $u_t \in S_c$ . For the  $\supseteq$  direction, note that  $S_c \subseteq S \subseteq S \uparrow$ . But at the same time  $S_c \subseteq \mathcal{I}_c$  and thus  $S_c \subseteq (S \uparrow \cap \mathcal{I}_c) = S \uparrow_c$ .

(iii)  $\Rightarrow$  (i) Assume  $S \uparrow_c = S_c$  and take any  $v \in S$ . We will prove that  $v_t \in S$ . As  $v \in S$ , it is clear that  $\{v\} \uparrow \subseteq S \uparrow$  and so,  $\{v\} \uparrow_c \subseteq S \uparrow_c$ . By Proposition 2,  $\{v\} \uparrow_c = \{v_t\}$  and so we get  $\{v_t\} \subseteq S \uparrow_c = S_c$  that immediately implies  $v_t \in S$ , as we wanted to prove.  $\Box$ 

## Proof of Lemma 1

Suppose  $v \in (\overline{S})_c \downarrow$  but  $v \in S_c \downarrow$ . But now, since both  $\overline{S}_c$  and  $S_c$  are sets of classical interpretations, by Corollary 1, we respectively get that  $v_t \in \overline{S}_c (\subseteq \overline{S})$  and  $v_t \in S_c (\subseteq S)$  which is an contradiction.  $\Box$ 

# Proof of Theorem 1

By structural induction.

- If α = ⊥ then v(α) = 0 and [[⊥]] = [[⊥]]<sub>c</sub> = [[⊥]]<sub>c</sub> = Ø and both equivalences (i) and (ii) become trivially true, as in each case, the two conditions are false.
- If  $\alpha$  is some atom  $p \in \Sigma$  then, (i) is true by definition of  $\llbracket p \rrbracket$ . For (ii), we have the following chain of equivalences:  $v(p) \neq 0 \Leftrightarrow v_t(p) = 2 \Leftrightarrow v_t \in \llbracket p \rrbracket$ .
- Let  $\alpha = \varphi \lor \psi$ . To prove (i) note that  $v(\varphi \lor \psi) = 2$  iff either  $v(\varphi) = 2$  or  $v(\psi) = 2$ . By induction, this is equivalent to  $v \in \llbracket \varphi \rrbracket$  or  $v \in \llbracket \psi \rrbracket$  which, in its turn, is equivalent to  $v \in \llbracket \varphi \rrbracket \cup \llbracket \psi \rrbracket = \llbracket \varphi \lor \psi \rrbracket$ . To prove (ii),  $v(\varphi \lor \psi) \neq 0$  iff  $v(\varphi) \neq 0$  or  $v(\psi) \neq 0$ . By induction  $v_t \in \llbracket \varphi \rrbracket$  or  $v_t \in \llbracket \psi \rrbracket$ , that is  $v_t \in \llbracket \varphi \rrbracket \cup \llbracket \psi \rrbracket = \llbracket \varphi \lor \psi \rrbracket$ .
- Let  $\alpha = \varphi \land \psi$ . For proving (i),  $v(\varphi \land \psi) = 2$  iff both  $v(\varphi) = 2$  and  $v(\psi) = 2$ . By induction, this is equivalent to  $v \in \llbracket \varphi \rrbracket$  and  $v \in \llbracket \psi \rrbracket$ , that is,  $v \in \llbracket \varphi \rrbracket \cap \llbracket \psi \rrbracket = \llbracket \varphi \land \psi \rrbracket$ . To prove (ii),  $v(\varphi \land \psi) \neq 0$  iff both  $v(\varphi) \neq 0$  and  $v(\psi) \neq 0$ . By induction,  $v_t \in \llbracket \varphi \rrbracket$  and  $v_t \in \llbracket \psi \rrbracket$ , that is,  $v \in \llbracket \varphi \rrbracket \cap \llbracket \psi \rrbracket = \llbracket \varphi \land \psi \rrbracket$ .
- Let  $\alpha = \varphi \to \psi$ . For proving (i), consider the condition  $v \in \llbracket \varphi \to \psi \rrbracket$

$$v \in (\overline{\llbracket \varphi \rrbracket} \cup \llbracket \psi \rrbracket)$$
  
$$\Leftrightarrow \quad v \notin \llbracket \varphi \rrbracket \text{ or } v \in \llbracket \psi \rrbracket$$
  
$$\Leftrightarrow \quad v(\varphi) \neq 2 \text{ or } v(\psi) = 2$$

On the other hand,  $v \in (\overline{\llbracket \varphi \rrbracket} \cup \llbracket \psi \rrbracket)_c \downarrow$  iff  $v_t \in (\overline{\llbracket \varphi \rrbracket} \cup \llbracket \psi \rrbracket)$  and, using the reasoning above, this means:

$$v_t(\varphi) \neq 2 \text{ or } v_t(\psi) = 2$$
  
 $\Leftrightarrow \quad v(\varphi) = 0 \text{ or } v(\psi) \neq 0$ 

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Thus:

$$\begin{array}{l} v \in \llbracket \varphi \rightarrow \psi \rrbracket \\ \Leftrightarrow \quad v \in (\llbracket \varphi \rrbracket \cup \llbracket \psi \rrbracket) \cap (\overline{\llbracket \varphi \rrbracket \cup \llbracket \psi \rrbracket)}_c \downarrow \\ \Leftrightarrow \quad (v(\varphi) \neq 2 \text{ or } v(\psi) = 2) \text{ and } (v(\varphi) = 0 \text{ or } v(\psi) \neq 0) \\ \Leftrightarrow \quad v(\varphi) = 0 \\ \text{ or } v(\varphi) \neq 2 \text{ and } v(\psi) \neq 0 \\ \text{ or } v(\psi) = 2 \text{ and } v(\varphi) = 0 \\ \text{ or } v(\psi) = 2 \\ \Leftrightarrow \quad v(\varphi) = 0 \\ \text{ or } v(\varphi) \neq 2 \text{ and } v(\psi) \neq 0 \\ \text{ or } v(\varphi) \neq 2 \text{ and } v(\psi) \neq 0 \\ \text{ or } v(\psi) = 2 \\ \Leftrightarrow \quad v(\varphi) \leq 2 \text{ and } v(\psi) \neq 0 \\ \text{ or } v(\psi) = 2 \\ \Leftrightarrow \quad v(\varphi) \leq v(\psi) \\ \Leftrightarrow \quad v(\varphi \rightarrow \psi) = 2 \end{array}$$

For proving (ii), note first that  $v_t \in \llbracket \varphi \to \psi \rrbracket$  iff  $v_t(\varphi \to \psi) = 2$  using the proof for (i) applied to  $v_t$ . As  $v_t$  is total, the latter is equivalent to  $v_t(\varphi) = 0$  or  $v_t(\psi) = 2$ . This, in its turn, is equivalent to  $v(\varphi) = 0$  or  $v(\psi) \neq 0$ . Finally, looking at the table for implication, this is the same than  $v(\varphi \to \psi) \neq 0$ .

Proof of Proposition 5

Now, by Proposition 4,  $\llbracket \alpha \rrbracket_c \downarrow \subseteq \llbracket \alpha \rrbracket$  and, by Proposition 3,  $\llbracket \beta \rrbracket \subseteq \llbracket \beta \rrbracket_c \downarrow$ , so we get:

$$= \overline{\llbracket \alpha \rrbracket}_{c} \downarrow \cup \left( \overline{\llbracket \alpha \rrbracket} \cap \llbracket \beta \rrbracket_{c} \downarrow \right) \cup \left( \llbracket \beta \rrbracket \cap \overline{\llbracket \alpha \rrbracket}_{c} \downarrow \right) \cup \llbracket \beta \rrbracket$$
$$= \overline{\llbracket \alpha \rrbracket}_{c} \downarrow \cup \left( \overline{\llbracket \alpha \rrbracket} \cap \llbracket \beta \rrbracket_{c} \downarrow \right) \cup \llbracket \beta \rrbracket$$

Proof of Theorem 3

1. "⊆"

From Proposition 5, we know that  $\llbracket \alpha \rrbracket \subseteq \llbracket (\beta \to \alpha) \to \alpha \rrbracket$ . It only rests to show that:  $\llbracket \alpha \rrbracket \subseteq \llbracket (\alpha \to \beta) \to \beta \rrbracket$ . Notice that:

$$\llbracket \alpha \rrbracket = (\llbracket \alpha \rrbracket \cap \llbracket \beta \rrbracket) \cup (\llbracket \alpha \rrbracket \cap \overline{\llbracket \beta \rrbracket}).$$

Now

$$\llbracket \alpha \rrbracket \cap \llbracket \beta \rrbracket \subseteq \llbracket \beta \rrbracket \subseteq \llbracket (\alpha \to \beta) \to \beta \rrbracket$$

and

$$\llbracket \alpha \rrbracket \cap \overline{\llbracket \beta \rrbracket} \subseteq \overline{\llbracket \alpha \to \beta \rrbracket} \subseteq \llbracket (\alpha \to \beta) \to \beta \rrbracket$$

since

$$\overline{\llbracket \alpha \to \beta \rrbracket} = (\llbracket \alpha \rrbracket \cap \overline{\llbracket \beta \rrbracket}) \cup (\overline{\llbracket \alpha \rrbracket} \cup \llbracket \beta \rrbracket)_c \downarrow$$

2. "⊃"

First of all, notice that  $\mathcal{I} = \llbracket \alpha \to \beta \rrbracket \cup \llbracket \beta \to \alpha \rrbracket$  since:

$$\mathcal{I} = \llbracket \beta \rrbracket \cup \overline{\llbracket \beta \rrbracket} \subseteq \llbracket \alpha \to \beta \rrbracket \cup \llbracket \beta \to \alpha \rrbracket$$

Then, we have that:

$$\begin{bmatrix} (\alpha \to \beta) \to \beta \end{bmatrix} \cap \begin{bmatrix} (\beta \to \alpha) \to \alpha \end{bmatrix}$$
  
=  $(\begin{bmatrix} (\alpha \to \beta) \to \beta \end{bmatrix} \cap \begin{bmatrix} \alpha \to \beta \end{bmatrix} \cap \begin{bmatrix} (\beta \to \alpha) \to \alpha \end{bmatrix})$   
 $\cup (\begin{bmatrix} (\alpha \to \beta) \to \beta \end{bmatrix} \cap \begin{bmatrix} (\beta \to \alpha) \to \alpha \end{bmatrix} \cap \begin{bmatrix} \beta \to \alpha \end{bmatrix})$   
 $\subseteq [ [\beta]] \cup [ \alpha ]$ 

by using again Proposition 5.

# Proof of Lemma 2

By structural induction. Let us call  $P \stackrel{\text{def}}{=} \bigcup_{i=1}^{n} \llbracket p_i \rrbracket$ . Obviously,  $\llbracket \bot \rrbracket = \emptyset \subseteq P$  and  $\llbracket p_j \rrbracket \subseteq P$  for any  $j = 1, \ldots, n$ . Then, if subformulas  $\alpha, \beta$  satisfy the lemma, i.e.  $\llbracket \alpha \rrbracket \subseteq P$  and  $\llbracket \beta \rrbracket \subseteq P$ , then their intersection and union too, i.e.  $\llbracket \alpha \land \beta \rrbracket = \llbracket \alpha \rrbracket \cap \llbracket \beta \rrbracket \subseteq P$  and  $\llbracket \alpha \lor \beta \rrbracket = \llbracket \alpha \rrbracket \cup \llbracket \beta \rrbracket \subseteq P$ .  $\Box$ 

Proof of Theorem 4

Suppose it is representable in that language. Take the interpretation  $u(p_i) = 0$  for any atom  $p_i \in \Sigma$ . It is easy to see that  $u \in [\![p_1 \rightarrow p_2]\!]$ . However,  $u \notin [\![p_i]\!]$  for all  $p_i \in \Sigma$  and this contradicts Lemma 2.  $\Box$ 

# Proof of Lemma 3

We proceed by structural induction on  $\delta$ .

- 1.  $\delta = \bot$ . It is straightforward since  $\llbracket \bot \rrbracket = \emptyset$ .
- 2.  $\delta = p$ . Any interpretation of the form v = 22... belongs to  $[\![p]\!]$ . Now, for any of those v, take u equal to v but for u(q) = 1. By definition of the order relation, u < v, whereas  $u \in [\![p]\!]$  because u(p) = 2.
- 3.  $\delta = q$ . Analogous to the previous case.
- 4.  $\delta = \alpha \lor \beta$ . If  $v = \underline{22...}$  and  $v \in [\![\alpha \lor \beta ]\!] = [\![\alpha ]\!] \cup [\![\beta ]\!]$ , then suppose that  $v \in [\![\alpha ]\!]$ . By induction we deduce that there exists u < v that coincides with v excepting for p, q and such that  $u \in [\![\alpha ]\!] \subseteq [\![\delta ]\!]$ . The same happens if  $v \in [\![\beta ]\!]$ .
- 5.  $\delta = \alpha \to \beta$ . Suppose that  $v = \underline{22...}, v \in \llbracket \delta \rrbracket$ . We know by Proposition 5, that  $v \in \llbracket \alpha \rrbracket \cup \llbracket \beta \rrbracket$ . So first suppose that  $v \in \llbracket \beta \rrbracket$ . Since  $\beta$  is a subformula of  $\delta$ , we know that there exists  $u < v \in \llbracket \beta \rrbracket \subseteq \llbracket \delta \rrbracket$  such that u is equal to v excepting for p, q. In the other case, when  $v \in \llbracket \alpha \rrbracket \subseteq \llbracket \alpha \rrbracket_c$ , we can take  $u = \underline{11...}$  equal to v excepting for u(p) = u(q) = 1. We have that u < v and so,  $u \in \llbracket \alpha \rrbracket_c \downarrow \subseteq \llbracket \delta \rrbracket$  which completes the proof.

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## Proof of Theorem 5

It is easy to see that elements of  $\llbracket p_1 \wedge p_2 \rrbracket$  are exactly those v of the form  $v = \underline{22...}$ and that this set is not empty, i.e., we have at least some v in that set. Suppose  $p_1 \wedge p_2$ were representable in  $\mathcal{L}_{\Sigma}\{\perp, \lor, \rightarrow\}$ . Then, Lemma 3 would imply that there exists some  $u \in \llbracket p_1 \wedge p_2 \rrbracket$ , such that u < v and u coincides with v excepting for  $p_1, p_2$ . But then, either  $u(p_1) \neq 2$  or  $u(p_2) \neq 2$  and u could not be a model of  $p_1 \wedge p_2$ .  $\Box$ 

## Proof of Theorem 6

The fixpoint condition means that the only interpretation in  $[\![\alpha]\!] \cap \{v\} \downarrow$  is v. This is the same than saying that the only interpretation that is both model of  $\alpha$  and smaller than or equal to v is v itself.  $\Box$ 

# Proof of Theorem 7

It amounts to observe that a classical model v of  $\alpha$  is not in equilibrium iff  $v \in (\llbracket \alpha \rrbracket \backslash \mathcal{I}_c) \uparrow$ . The former means that there is some  $u < v, u \in \llbracket \alpha \rrbracket$ . Since v is classical, any u < v must be non-classical. Thus, v is not in equilibrium iff there is some  $u < v, u \in (\llbracket \alpha \rrbracket \backslash \mathcal{I}_c)$ . But then, this is equivalent to:  $v \in (\llbracket \alpha \rrbracket \backslash \mathcal{I}_c) \uparrow$ .  $\Box$ 

### Proof of Lemma 4

Note that  $v \in \llbracket \alpha \rrbracket_c \subseteq \llbracket \alpha \rrbracket$  and that trivially  $v \in \llbracket \gamma_v \rrbracket$  by definition of  $\gamma_v$ . Moreover, as v is classical,  $v \in \llbracket \alpha \land \gamma_v \rrbracket_c$ . To see that v is in equilibrium, note that u < v should assign u(p) = 1 to some atom such that v(p) = 2. But then,  $u \notin \llbracket \gamma_v \rrbracket$  and so it cannot be a model of  $\alpha \land \gamma_v$  either.  $\Box$ 

# Proposition 7

For any  $\alpha, \alpha', \beta, \beta'$ :

 $\begin{array}{ll} (\mathrm{i}) & \llbracket \alpha \to \beta \rrbracket \subseteq \llbracket \alpha \to \beta' \rrbracket & \mathrm{if} & \llbracket \beta \rrbracket \subseteq \llbracket \beta' \rrbracket \\ (\mathrm{ii}) & \llbracket \alpha \to \beta \rrbracket \subseteq \llbracket \alpha' \to \beta \rrbracket & \mathrm{if} & \llbracket \alpha' \rrbracket \subseteq \llbracket \alpha \rrbracket \end{array}$ 

## Proof

(i) is an immediate consequence of Proposition 5. As for (ii), we can also use that proposition and the fact that  $\overline{[\![\alpha]\!]} \subseteq \overline{[\![\alpha']\!]}$ , and so,  $\overline{[\![\alpha]\!]}_c \downarrow \subseteq \overline{[\![\alpha']\!]}_c \downarrow$  too.  $\Box$ 

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