

Web Appendix 2: NASBO Coding

(This appendix is intended for the web and is included here for purposes of review)

In order to create our budgetary power score we coded data from the NASBO survey. Below we discuss each of our key variables and cite the specific source for these variables.

Federal:

This variable measures whether the governor can spend unanticipated federal funds without legislative approval?

Coding:

1 = yes, governor can spend federal funds without legislative approval

0 = governor cannot spend federal funds without legislative approval.

0.5 = governor can spend the funds, but only under certain conditions. A score of 0.5 means that (a) the governor must consult with (but does not need express approval from) the legislature before unanticipated federal funds or (b) power is identified as “partial” by the NASBO Budgetary Processes in the States survey, meaning that the power is not uniform across all types of unanticipated federal funds.

Source: NASBO 1987; Table D.

Source: NASBO 1989; Table D.

Source: NASBO 1992; Table D.

Source: NASBO 1995; Table D

Source: NASBO 1997; Table I

Source: NASBO 1999; Table I

Source: NASBO 2002; Table I

Source: NASBO 2008; Table 9

Veto:

This variable measures whether the governor has line-item veto power as related to the budget.

Coding:

1 = yes, the governor has line-item veto power as related to the budget

0 = governor, does not have line-item veto power as related to the budget

0.5 = following the NASBO Budgetary Processes in the States survey, line-item veto power is identified as “partial” meaning that it is allowed (in contrast to states coded as 0 that do not allow it under any conditions) but it is not uniform to all types of budget-related activity. Examples: appropriation added to the governor’s budget by the legislature, the portion being vetoed is separate and distinct and other similar limitations.

Source: NASBO 1987; Table D.

Source: NASBO 1989; Table D

Source: NASBO 1992; Table E.

Source: NASBO 1995; Table D
Source: NASBO 1997; Table J
1999 Book of States
Source: NASBO 2002; Table J
Source: NASBO 2008; Table 10

Reorganization:

This variable measures whether the governor has the power to reorganize departments related to the budget without legislative approval.

Coding:

1 = governor can reorganize departments

0 = governor cannot reorganize departments

0.5 = governor can reorganize departments only under certain conditions. A score of 0.5 means that (a) governor must consult with (but not obtain express approval from) or (b) power is identified as “partial” by the NASBO Budgetary Processes in the States survey.

Source: NASBO 1987; Table D.
Source: NASBO 1989; Table D
Source: NASBO 1992; Table D.
Source: NASBO 1995; Table D
Source: NASBO 1997; Table I
Source: NASBO 1999; Table I
Source: NASBO 2002; Table I
Source: NASBO 2008; Table 9

Reduce the Budget:

Measures whether the governor reduce the budget without legislative approval? [Usually in case of fiscal emergency]

Coding:

1 = governor can reduce budget without legislative approval

0 = governor cannot reduce budget without legislative approval even in the case of a fiscal emergency

0.5 = governor can reduce budget in case of fiscal emergency and another conditions. A score of 0.5 is give if the governor (a) must consult with (but not obtain express consent from) the legislature first , (b) the legislature or state guidelines dictate the maximum reduction but the governor is free to make reductions without consent if the reductions are below the maximum restriction or (c) power is identified as “partial” by the NASBO Budgetary Processes in the States survey.

Source: NASBO 1987, Table D

Source: NASBO 1989, Table D

Source: NASBO 1992; Table D

Source: NASBO 1995; Table D

Source: NASBO 1997; Table I

Source: NASBO 1999; Table I

Source: NASBO 2002; Table I

Source: NASBO 2008; Table 9

Preparation:

This variable measure the level of preparation authority over the budget

1 = Governor has full preparation

0 = Governor does not have full authority

0.5 = governor shares authority

Source: Book of States