## **Sustainability Considerations for Clinical and Translational Research Informatics Infrastructure**

Dear [first_name] [last_name],		
Please complete the survey below by 5/20/2017.		
If this is not your name above, then you have been forwarded a personalized link that was sent to someone else. Please contact one of us to (re-)send you your personal invitation.		
Thank you!		
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Instructions		
The working group's efforts were focused on two aims:  1. Enumerate, define, and categorize the various informatics resources.  2. Elicit comments from domain experts on sustainability considerations for each category of resources.		
Note: We have organized the various resources that came up into Categories and those in turn into Major Headings.		
Click here to view the spreadsheet on google drive.  The distinct categories from the spreadsheet are shown in this survey. For further information on these individual resource types, their definitions, examples, references, or sustainability considerations, please refer to the spreadsheet.		
Locus of Control Definitions: Informatics: The informatics core, service, center or institute (including a CRIO) that gets some funding from the CTSA for any Informatics activity. Research Office: Office of Research and Sponsored Programs, Clinical Research Office, VP for Research Office. IT or CIO: Information Systems, Information Technology Department under the CIO either at the Health system or hospital system, or university or college.		
1 Application and Compart of Clinical and Translational Because Because / Boundatons		
1. Application and Support of Clinical and Translational Research - Research / Regulatory Compliance		
·		
Research/Regulatory Compliance		
Examples include (see spreadsheet above for definitions)  * Conflict of interest management system  * Electronic IRB management system  * Consent  * CT.gov registration process  * Public access policy compliance tracking		
Locus of control or ownership    Informatics   Research office   IT Department / CIO   Other		

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Locus of control general notes	
Sustainability options	☐ Institutional infrastructure ☐ Fees for service model ☐ Sustained grant support ☐ Other
Sustainability general notes	
Any general comments on the structure and definition of this category?	
This can include  * Additions of missing items within this category  * Removal of superfluous or inappropriate items  * Replacement / merger of specific items by / with other items  * Refining the definitions	
Please feel free to provide here any citations or existing systems that could be included as references or examples for this category.	
2. Application and Support of Clinical and Translat Fullfillment	ional Research - Service Request /
Service Request / Fulfillment	
Examples include (see spreadsheet above for definitions)  * Service request system  * Resource navigation and inventory  * Service fulfillment system	
Locus of control or ownership	<ul><li>☐ Informatics</li><li>☐ Research office</li><li>☐ IT Department / CIO</li><li>☐ Other</li></ul>
Locus of control general notes	
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3. Application and Support of Clinical and Transla	tional Research - Program Evaluation
Program Evaluation	
Examples include (see spreadsheet above for definitions)  * Citation Capture and Service  * Fulfillment Attribution	
Locus of control or ownership	<ul><li>☐ Informatics</li><li>☐ Research office</li><li>☐ IT Department / CIO</li><li>☐ Other</li></ul>
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4. Application and Support of Clinical and Translation Systems	nal Research - Grants and Contracts
Grants and Contracts Systems	
Examples include (see spreadsheet above for definitions)  * Electronic Grants and Contracts System  * CTSA and other pilot grants	
Locus of control or ownership	☐ Informatics ☐ Research office ☐ IT Department / CIO ☐ Other
Locus of control general notes	
Sustainability options	<ul> <li>☐ Institutional infrastructure</li> <li>☐ Fees for service model</li> <li>☐ Sustained grant support</li> <li>☐ Other</li> </ul>
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5. Application and Support of Clinical and Translation	nal Research - CTMS Components
CTMS Components	
Examples include (see spreadsheet above for definitions)  * Clinical Research Budgeting  * Participant Payment Model  * Billing compliance  * Adverse events reporting	
Locus of control or ownership	☐ Informatics ☐ Research office ☐ IT Department / CIO ☐ Other
Locus of control general notes	



Sustainability options	<ul><li>☐ Institutional infrastructure</li><li>☐ Fees for service model</li><li>☐ Sustained grant support</li><li>☐ Other</li></ul>
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6. Application and Support of Clinical and Translation	nal Research - Electronic Data Capture
Electronic Data Capture	
Examples include (see spreadsheet above for definitions)  * Investigator Initiated Studies  * Multicenter studies  * Large studies  * 21CFR11 support  * Patient Reported Outcomes (PRO)  * Mobile app support	
Locus of control or ownership	☐ Informatics ☐ Research office ☐ IT Department / CIO ☐ Other
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7. Application and Support of Clinical and Translation	onal Research - Biobanking Systems
Biobanking Systems	
Examples include (see spreadsheet above for definitions) * Biobank or biorepository management systems	
Locus of control or ownership	☐ Informatics ☐ Research office ☐ IT Department / CIO ☐ Other
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## 8. Application and Support of Clinical and Translational Research - Data Repositories and EHR Data

Data Repositories and EHR Data	
Examples include (see spreadsheet above for definitions)  * Cohort exploration tools (self-service)  * IDR navigation/honest broker services  * Analytics services  * Study specific integrated data  * Big data storage  * Big data interfaces  * Analysis tools  * Visualization tools  * Molecular Data Set  * Imaging Data Set  * Public Health Data Set  * Environmental Data Set	
Locus of control or ownership	<ul><li>☐ Informatics</li><li>☐ Research office</li><li>☐ IT Department / CIO</li><li>☐ Other</li></ul>
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## 9. Application and Support of Clinical and Translational Research - Clinical Medical Information Systems (EHR Systems Research Interface)

Clinical Medical Information Systems (EHR Systems Research Interface) Examples include (see spreadsheet above for definitions) \* Research Related Decision Support \* Recruitment \* Research that utilizes the EMR \* Interfaces with EHR Locus of control or ownership ☐ Informatics ☐ Research office ☐ IT Department / CIO ☐ Other Locus of control general notes Sustainability options ☐ Institutional infrastructure ☐ Fees for service model ☐ Sustained grant support ☐ Other Sustainability general notes Any general comments on the structure and definition of this category? This can include \* Additions of missing items within this category \* Removal of superfluous or inappropriate items \* Replacement / merger of specific items by / with other items \* Refining the definitions Please feel free to provide here any citations or existing systems that could be included as references or examples for this category. 10. Application and Support of Clinical and Translational Research - Communication Communication Examples include (see spreadsheet above for definitions) \* Content collaboration \* Project management \* Information/Service Portal \* Research Networking \* Data publishing and data sharing plans Locus of control or ownership ☐ Informatics ☐ Research office ☐ IT Department / CIO ☐ Other

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11. Research Collaboration - Extramural data collab	orations
Extramural data collaborations	
Examples include (see spreadsheet above for definitions)  * Resources for participating in large networked collaborations  * Back-end  * Front-end provider facing  * Front-end patient facing	
Locus of control or ownership	<ul><li>☐ Informatics</li><li>☐ Research office</li><li>☐ IT Department / CIO</li><li>☐ Other</li></ul>
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12. Cyber Infrastructure - Security		
Security		
Examples include (see spreadsheet above for definitions)  * People/documentation/Training  * Protecting privacy  * Server security  * FISMA compliance		
Locus of control or ownership	☐ Informatics ☐ Research office ☐ IT Department / CIO ☐ Other	
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13. Cyber Infrastructure - IT Infrastructure	
IT Infrastructure	
Examples include (see spreadsheet above for definitions)  * Servers  * Software licenses  * Networks	
Locus of control or ownership	<ul> <li>☐ Informatics</li> <li>☐ Research office</li> <li>☐ IT Department / CIO</li> <li>☐ Other</li> </ul>
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14. Oversight and Governance - Governance	
Governance	
Examples include (see spreadsheet above for definitions)  * Standing IT governance committees or steering committees  * Regulatory operational support	
Locus of control or ownership	<ul><li>☐ Informatics</li><li>☐ Research office</li><li>☐ IT Department / CIO</li><li>☐ Other</li></ul>
Locus of control general notes	
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15. Training and Support - Education	
Education	
Examples include (see spreadsheet above for definitions)  * Seminars (Top Down)  * Courses  * In-app video training  * Regulatory training  * One on one (Bottom up)	
Locus of control or ownership	<ul><li>☐ Informatics</li><li>☐ Research office</li><li>☐ IT Department / CIO</li><li>☐ Other</li></ul>
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